

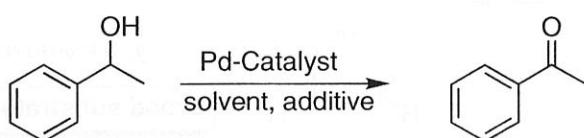
# Ligand Caused Dymanic Change in Catalyst Profile

*~Improvement in Pd Catalyzed Aerobic Alcohol Oxidation~*

-Triplet oxygen



-Recent Advances

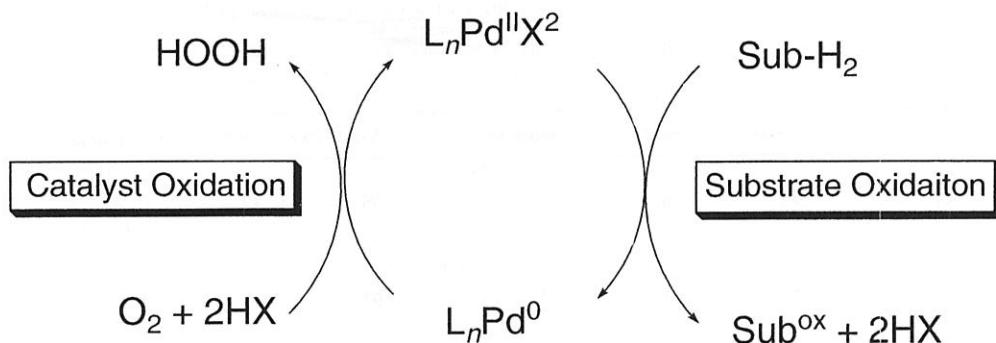


Pyridine: 5 mol % Pd, O<sub>2</sub>, 80 °C

Triethylamine: 3 mol % Pd, O<sub>2</sub>, rt

NHC: **1 mol % Pd, Air, rt**

-Oxidase Type Reaction



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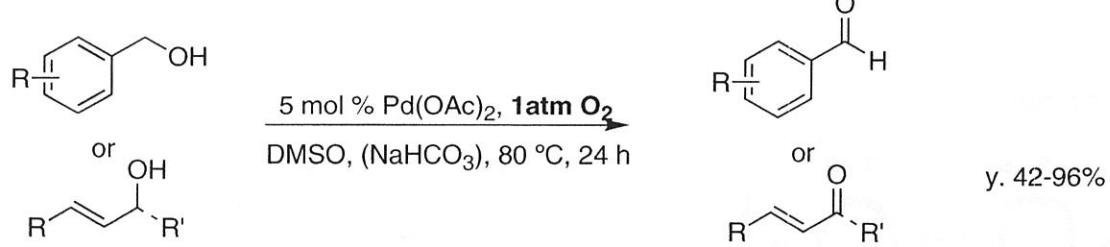
1. Introduciton
2. Ligand Effect in Alcohol Oxidation Part
3. Ligand Effect in Catalyst Oxidation Part

## 1. Introduction

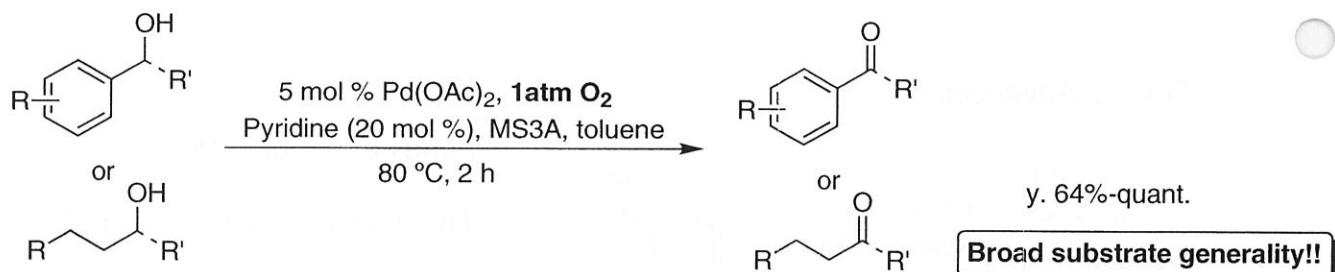
### 1-1 Property of Pd-Catalyzed Aerobic Alcohol Oxidations

-Benchmarks in catalyst development

#### i) DMSO system



#### ii) Pyridine system



-Recently advanced milder conditions

#### iii) Triethylamine system

Reaction scheme for the Triethylamine system:

Substrate: RC(=O)R'

Reagents:  $3 \text{ mol \% Pd(OAc)}_2$ ,  $6 \text{ mol \% TEA}$ , 15% THF/Toluene, **25 °C O<sub>2</sub>**, 12 hrs, 3 Å Molecular Sieves

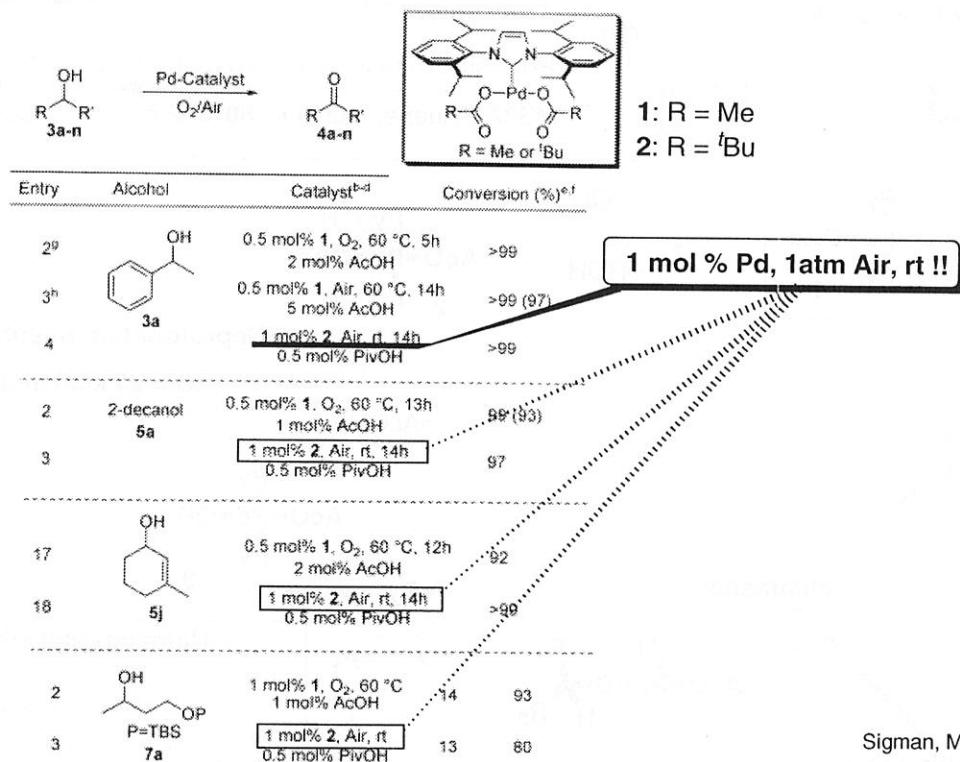
Product: RC(=O)R'

Condition: **rt !**

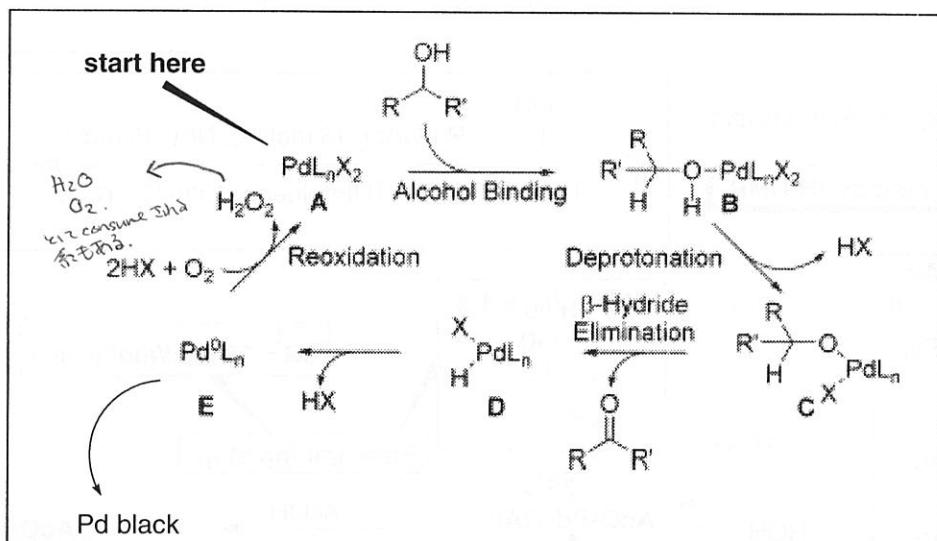
Entry	Substrate	Yield <sup>b</sup> (%)	Entry	Substrate	Yield <sup>b</sup> (%)	Entry	Substrate	Yield <sup>b</sup> (%)
1	<chem>c1ccccc1CO</chem>	93	6	<chem>c1ccccc1CO</chem>	95	12	<chem>c1ccccc1C=O</chem>	98
2	<chem>Oc1ccc(cc1)CO</chem>	96	7	<chem>Oc1ccc(cc1)CO</chem>	97	13	<chem>c1ccccc1C(=O)C1</chem>	81
3	<chem>Oc1ccc(cc1)C(F)(F)CO</chem>	95	8	<chem>Oc1ccc(cc1)ClCO</chem>	93	14	<chem>Oc1ccc(cc1)C(=O)C1</chem>	90 <sup>c</sup>
4	<chem>Oc1ccc(cc1)[N+](=O)[O-]CO</chem>	85	9	<chem>Oc1ccc(cc1)C(C)CO</chem>	0	15	<chem>c1ccccc1CO</chem>	93
5	<chem>Oc1ccc(cc1)C(C)(C)CO</chem>	50 <sup>e</sup>	10	2-Decanol	97	16	<chem>Oc1ccc(cc1)C=C1</chem>	30 <sup>f</sup>
			11	1-Dodecanol	88 <sup>d</sup>	17		39 <sup>g</sup>

<sup>a</sup> All products have been previously reported in the literature. <sup>b</sup> Average of two experiments. <sup>c</sup> 36 h. <sup>d</sup> 0.1 M alcohol. <sup>e</sup> Product is the corresponding lactone. <sup>f</sup> 5 mol% Pd(OAc)<sub>2</sub> and 50 mol% TEA. <sup>g</sup> 5 mol% Pd(OAc)<sub>2</sub> and 300 mol% TEA.

**Reaction proceeds at rt, 1 atm O<sub>2</sub> !!**



## 1-2 Proposed General Mechanism



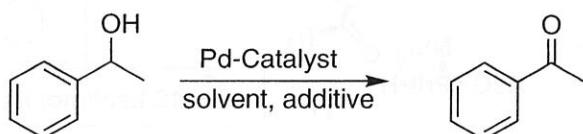
## 2. Ligand Effect in Alcohol Oxidation Part

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- 2-1 Pyridine system v.s.  $\text{NEt}_3$  system
- 2-2 Dilemma and New Design
- 2-3 NHC system
- 2-4 Key Role of Acid

2-1 Pyridine system v.s.  $\text{NEt}_3$  system

Stahl, S. S. et al. *J. Am. Chem. Soc.* 2004, 126, 11268  
Sigman, M. S. et al. *J. Am. Chem. Soc.* 2005, 127, 8499



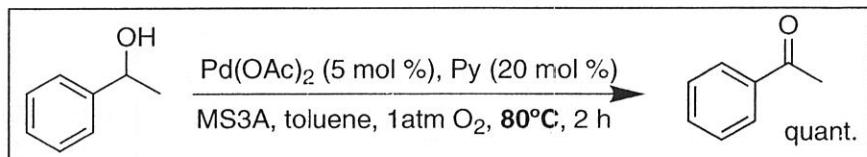
Pyridine: 5 mol % Pd,  $\text{O}_2$ , 80 °C

Triethylamine: 3 mol % Pd,  $\text{O}_2$ , rt

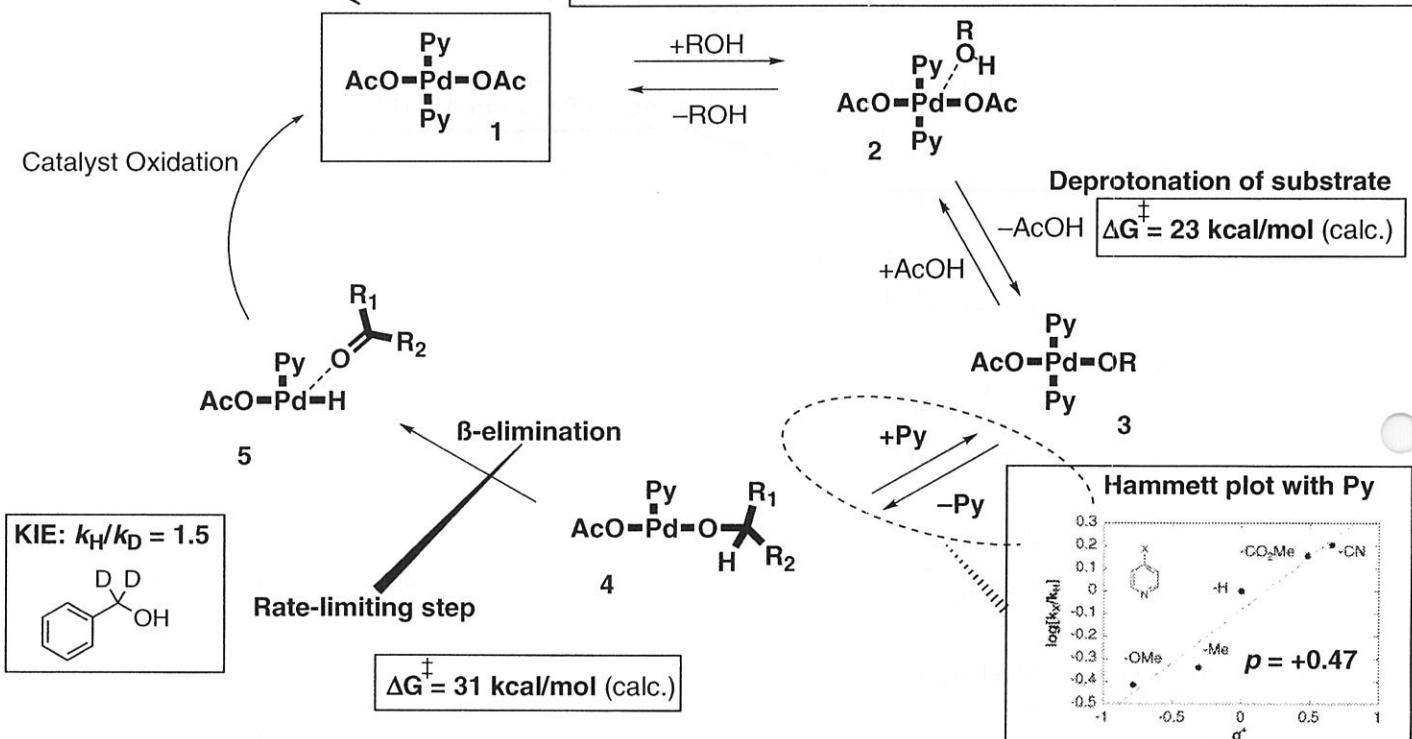
What makes the difference??

### -Proposed mechanism for pyridine system

Solely detected by  $^1\text{H-NMR}$

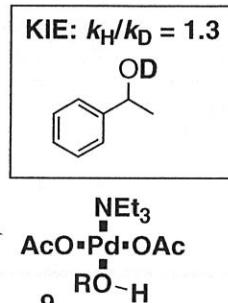
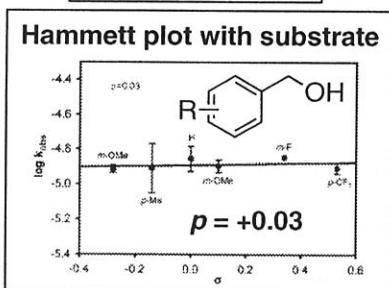
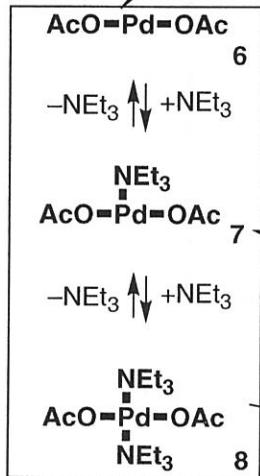
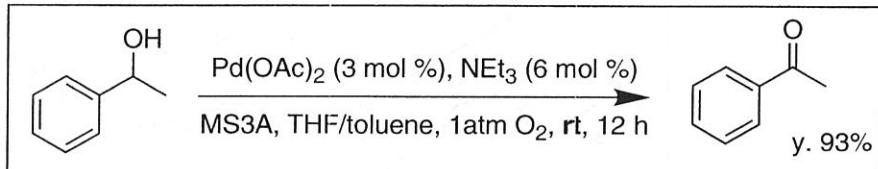


## Catalyst Oxidation

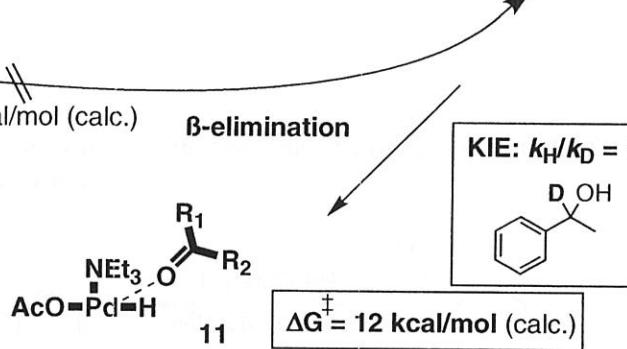


#### -Proposed mechanism for $NEt_3$ system

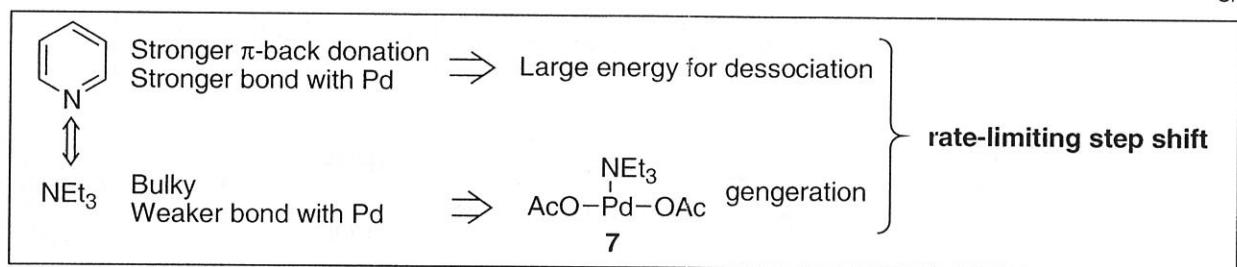
### Equilibrium is detected by $^1\text{H-NMR}$



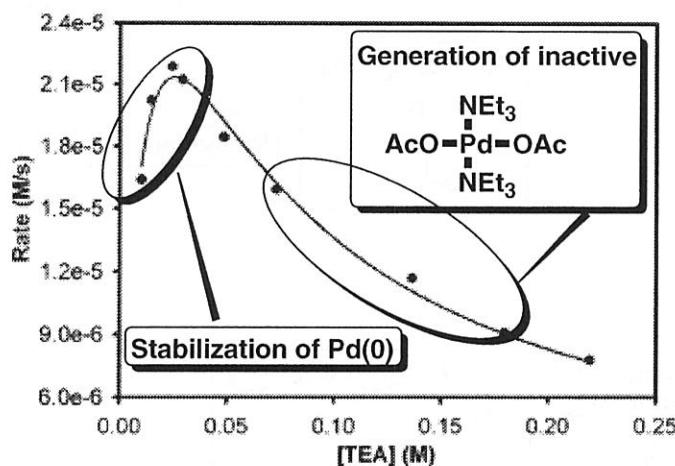
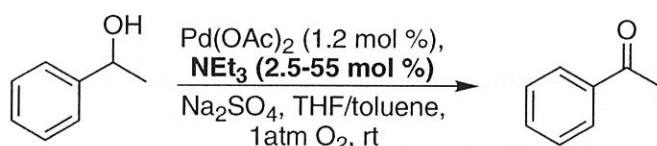
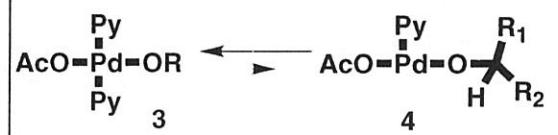
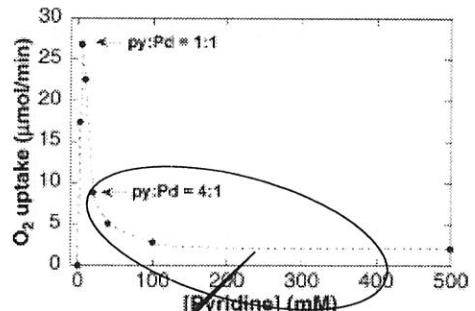
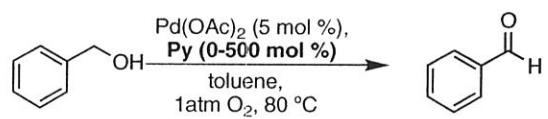
### Deprotonation of substrate



+Conclusion



## 2-2 Dilemma and New Design

-Reaction rate dependency on ligand loading-Same dilemma was seen in Py system-New Catalyst Design

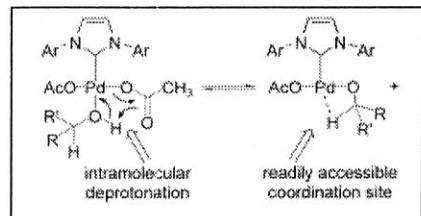
Sigman, M. S. et al. *Angew. Chem. Int. Ed.* 2003, 42, 3810  
Carreira, E. M. et al. *Angew. Chem. Int. Ed.* 2008, 47, 4482

-Monodentate ligand  
-Strong bonding to stabilize Pd(0)  $\Rightarrow$  NHC ligands

-Design

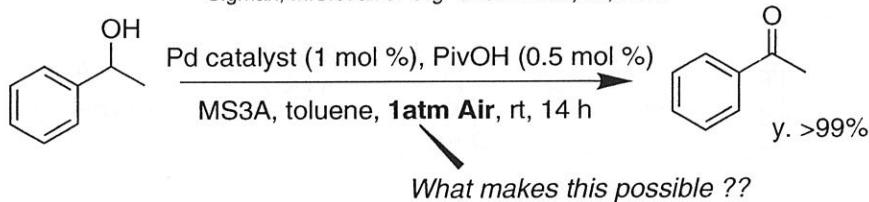
L	donation	back-donation	L	bonding energy
C <sub>2</sub> H <sub>4</sub>	0.36	0.13	C <sub>2</sub> H <sub>4</sub>	-27.6
C <sub>2</sub> H <sub>2</sub>	0.16	0.12	C <sub>2</sub> H <sub>2</sub>	-26.6
CO	0.27	0.22	CO	-34.2
PM <sub>3</sub>	0.53	0.16	PM <sub>3</sub>	-43.8
imidazol-2-ylidene	0.36	0.12	imidazol-2-ylidene	-52.7
NMe <sub>3</sub>	0.20	0.01	NMe <sub>3</sub>	-29.9

back-donation strong bond



## 2-3 NHC system

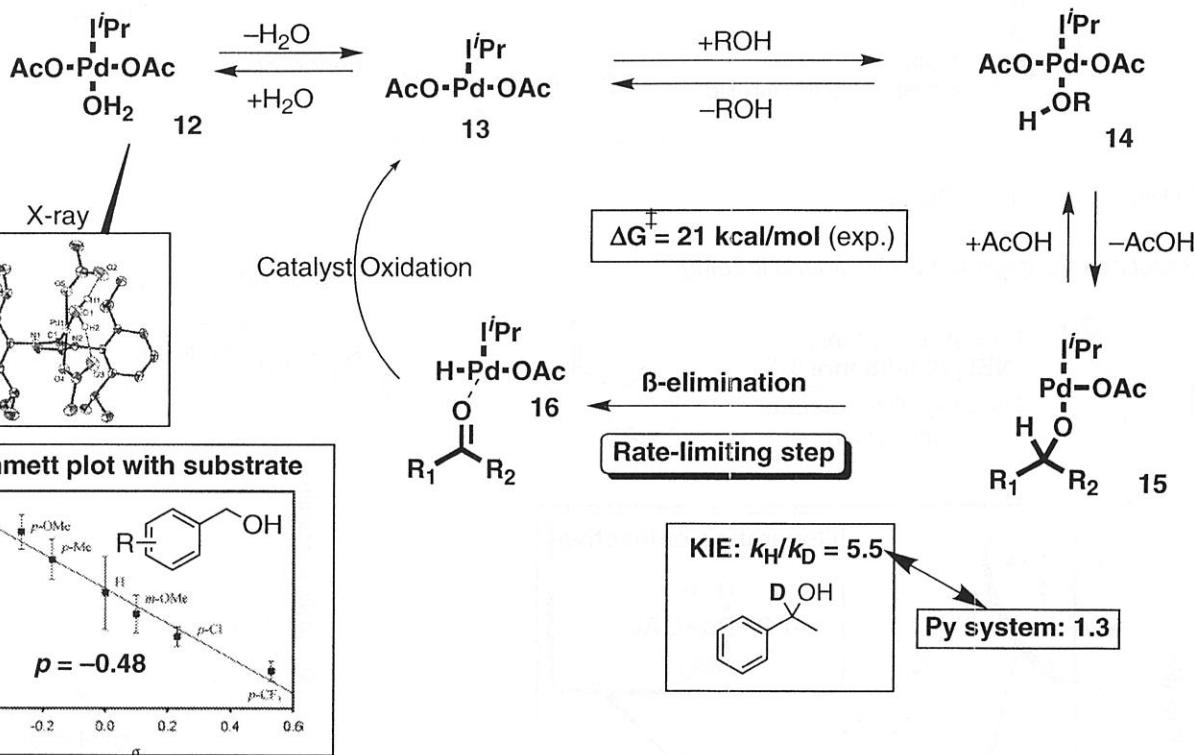
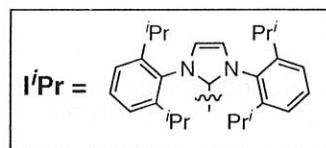
Sigman, M.S. et al. *J. Am. Chem. Soc.* 2004, 126, 9724  
Sigman, M.S. et al. *J. Org. Chem.* 2005, 70, 3343



Pd catalyst R = Me or Piv

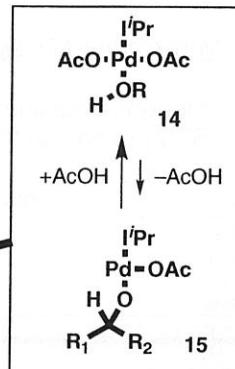
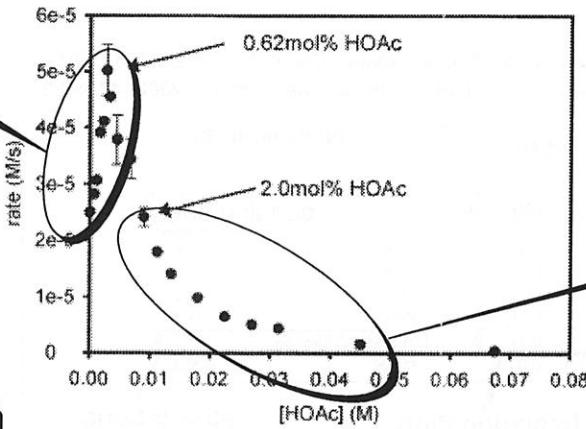
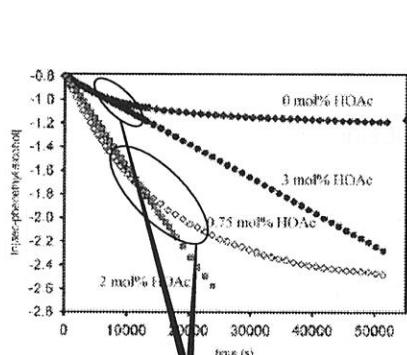
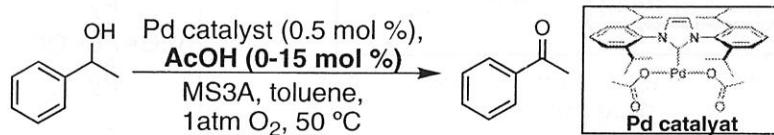
-Proposed mechanism of alcohol oxidation part

Sigman, M. S. et al. J. Am. Chem. Soc. 2004, 126, 9724



## 2-4 Key Role of Acid

Another dilemma

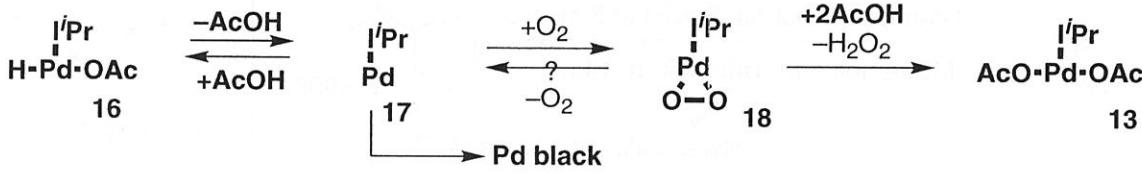


**Low AcOH → Cat Decomp.**

-Why acid prevent the catalyst from decomposition ?

-Sigman's Proposal

-Proposed catalyst oxidation step



### -Change of Rate-Limiting Step

### Kinetics study without AcOH

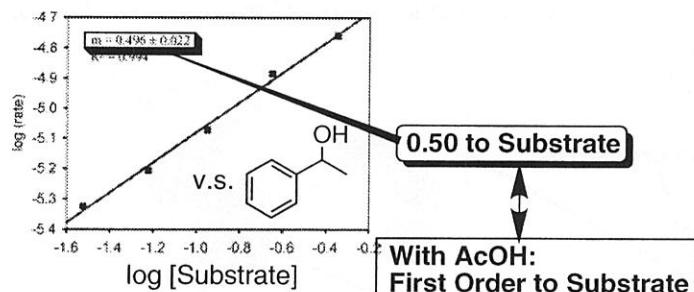


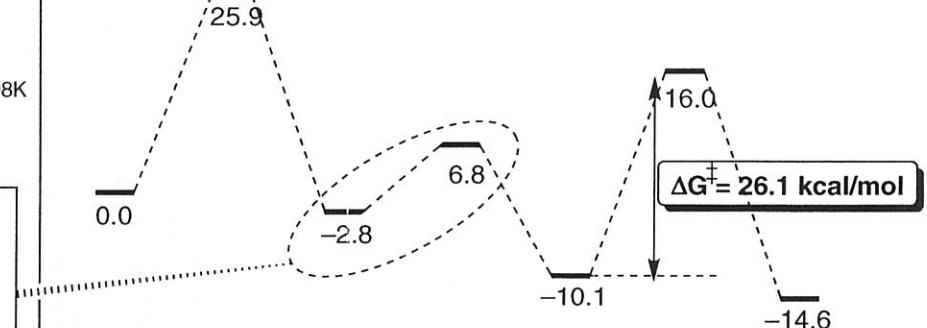
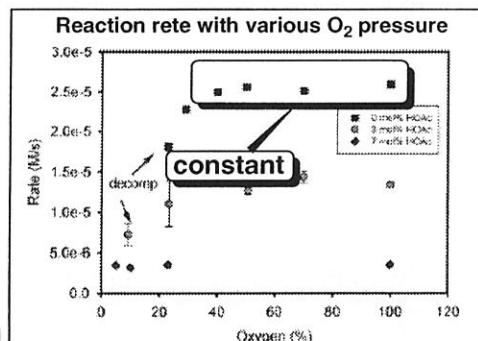
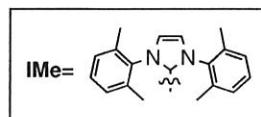
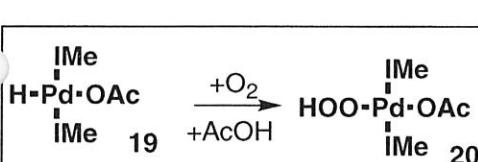
Table 3. KIE Values at Various HOAc Concentrations

[HOAc] (mM)	KIE value	[HOAc] (mM)	KIE value	[HOAc] (mM)	KIE value
0	1.7	2.79	3.1	6.75	5.2
2.12	2.7	4.50	3.4	9.00	5.5

Different between 0 mol % AcOH and 2 mol % AcOH

### -Energy Profile for Catalyst Oxidaiton

Stahl, S. S. et al. / *J. Am. Chem. Soc.* 2007, 129, 4410



### *-Conclusion*

-Relatively stable Pd(0) state

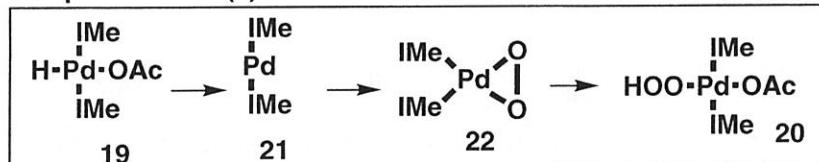
-Acid to promote protonation of   $\Rightarrow$  Rate-Limiting step shift.

### 3. Ligand Effect in Catalyst Oxidation Part

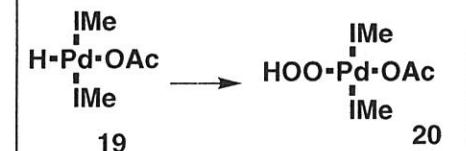
### 3-1 Major Reaction Mechanisms and Similarity of Them

#### *-Two Commonly Proposed Mechanisms*

### Stepwise via Pd(0)



### **Direct insertion of O<sub>2</sub> to Pd-H**



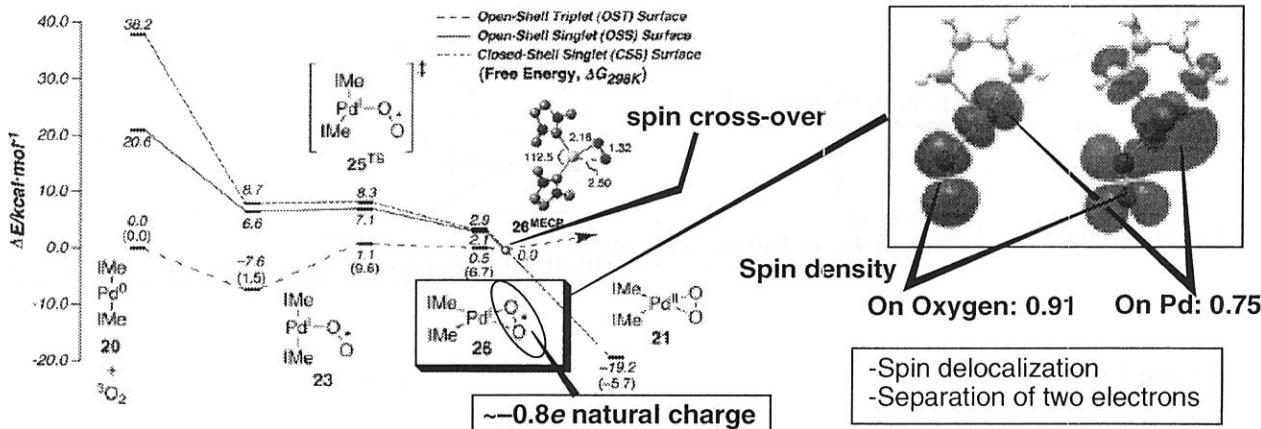
-Why Triplet  $O_2$  can React with Pd Catalyst?

Stahl, S. S. et al. J. Am. Chem. Soc. 2004, 124, 16302

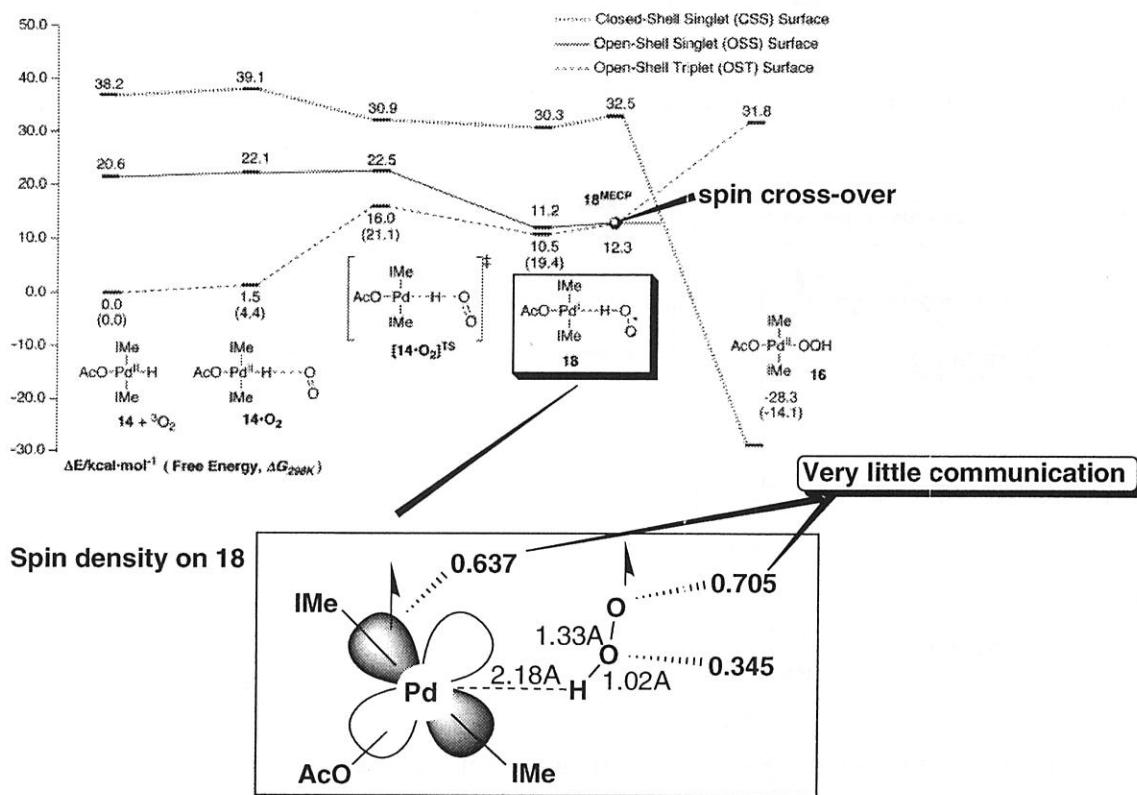
Stahl, S. S. et al. J. Am. Chem. Soc. 2007, 129, 4410

### Reaction profile for stepwise via Pd(0)

### Electronic structure of two unpaired electrons



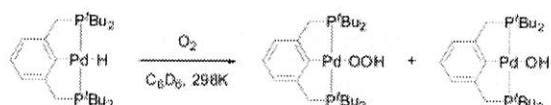
### Reaction profile for direct insertion of $O_2$ to Pd-H



### 3-2 Relationship between Ligand and Mechanism

-Electron-Rich Catalyst Prefers Direct Insertion of  $O_2$  to Pd-H

Goldberg, K. I. et al. J. Am. Chem. Soc. 2006, 128, 2508



Direct insertion mechanism is proposed.

mimic

Complex	$\Delta G^\ddagger/(kcal/mol)$
via $Pd(0)$ :	9.6 (25.9 for red. elim.)
direct:	21.1
via $CH_3-Pd(0)$ :	14.7
direct:	14.7

Stahl, S. S. et al. J. Am. Chem. Soc. 2007, 129, 4410