### Journey from Lanthanide Triflate to Metalloenzyme-Like Catalyst

2015.11.21 (Sat.) KAJINO Hidetoshi(M1)

# Today's topic ~S. KOBAYASHI's works~



1983 B. Sc.; The University of Tokyo (Professor T. Mukaiyama)
1987 Assistant Professor; Science University of Tokyo (SUT)
1988 Ph. D.; The University of Tokyo (Professor T. Mukaiyama)
1991 Lecturer; Science University of Tokyo (SUT)
1992 Associate Professor; Science University of Tokyo (SUT)
1998 Full Professor, School of Medicine, The University of Tokyo
2007 Full Professor, School of Science, The University of Tokyo

Shu KOBAYASHI

#### A leading chemist in the field of "water-tolerant Lewis acids"

1991 : Report that lanthanide and scandium triflates  $(Ln(Otf)_3, Sc(Otf)_3)$  is usable as Lewis acid in water.

2015 : Development of metalloenzyme-like catalyst

(Very Important Paper of Chem. Asian J.)

In this seminar, focus on up to development of metalloenzyme-like catalyst from discovery of water-tolerant Lewis acids

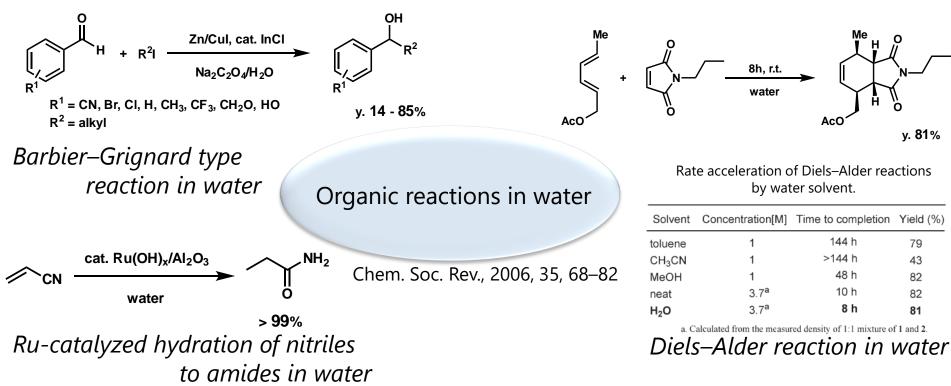
### Table of contents

- 1. Introduction
- 2. Lanthanide triflate as water-tolerant Lewis acids
- 3. Development of "LASC"
- 4. Asymmetric aldol reactions in aqueous media
- 5. Metalloenzyme-Like Catalyst
- 6. Summary

#### 1. Introduction

# Organic chemistry in water

#### Introduction

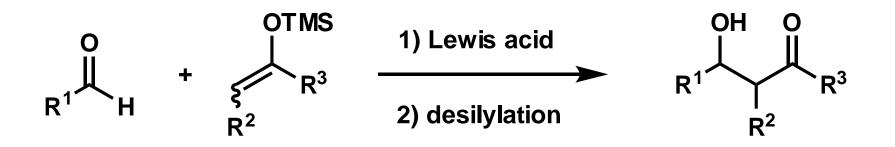


Advantage : safe, benign, environmentally friendly, and cheap

 Disadvantage : Most organic substances are insoluble in water. Many reactive substrates, reagents, and catalysts are decomposed or deactivated by water.

# 📕 Mukaiyama aldol addition

Aldol addition is a powerful method for forming a C-C bond.



typical Lewis acids : TiCl<sub>4</sub>, SnCl<sub>4</sub>, BF<sub>3</sub>•OEt<sub>3</sub>

The Mukaiyama aldol addition is a type of aldol reaction between a silyl enol ether and an aldehyde or formate.

These reactants allow for a crossed aldol reaction between an aldehyde and a ketone or a different aldehyde without self-condensation of the aldehyde.

Lewis acids undergo hydrolysis by water molecules, so strict anhydrous conditions are needed in this reaction.

#### 2. Lanthanide triflate as water-tolerant Lewis acids

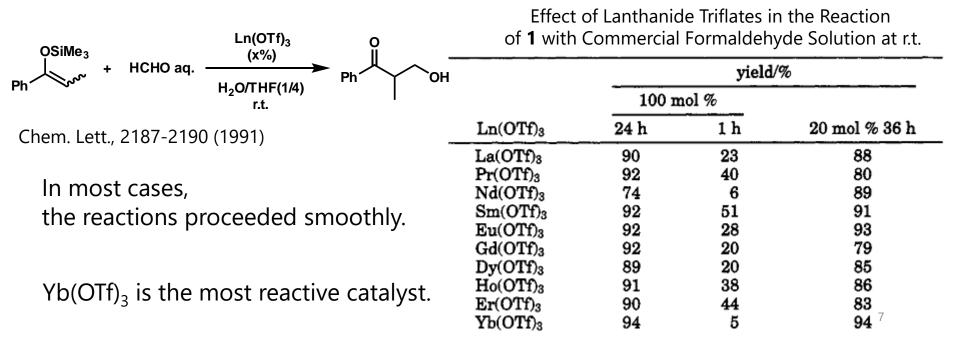
### Use of $Ln(OTf)_3$ as Lewis acid

Lanthanide triflate as water-tolerant Lewis acids

 $Ln(OTf)_3$  is prepared from the corresponding lanthanide oxides ( $Ln_2O_3$ ) and trifluoromethanesulfonic acid in water.

 $LnO_3 + 6CF_3SO_3H \longrightarrow 2Ln(OTf)_3 + 3H_2O$ 

Hydroxymethylation reactions by using Ln(OTf)<sub>3</sub>

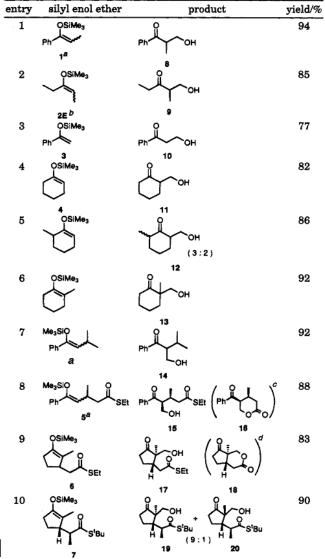


#### Several examples of hydroxymethylation reaction entry 1 Yb(OTf)<sub>3</sub> OSiMe<sub>3</sub> (10%) 2 R<sup>2</sup> + HCHO ag $\mathbb{R}^1$ H<sub>2</sub>O/THF(1/4) Rっ r.t. 1) In every case, the reactions proceeded smoothly in high yield. 5 2) Di- and polyhydroxymethylated products were not observed. 3) The absence of equilibrium allowed for a regiospecific hydroxymethylation reaction. 4) Only a catalytic amount of Yb(OTf)<sub>3</sub> was required to 9 complete the reaction. 10

5) Almost 100% of Yb(OTf)<sub>3</sub> was quite easily recovered from the aqueous layer after the reaction was completed and it couldbe reused (third use(93%yield)).
 J. Org.Chem., 59, 3590-3596 (1994)

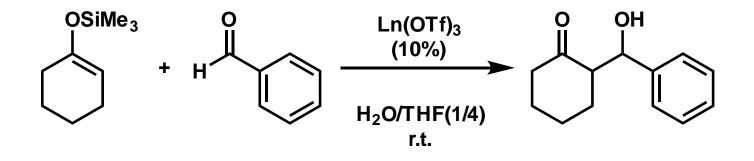
#### Lanthanide triflate as water-tolerant Lewis acids

Reaction of Silyl Enol Ethers with Commercial Formaldehyde Solution Catalyzed by Yb(OTf)<sub>3</sub>.



<sup>a</sup> Z/E = >98/2. <sup>b</sup> Z/E = 1/4. <sup>c</sup> The mixture of the hydroxy thioester and the lactone (2:1) was obtained. The other diastereomers were not observed. <sup>d</sup> The mixture of the hydroxy thioester and the lactone (3:1) was obtained. Less than 3% yield of the other diastereomers were observed.

# Screening of Ln(OTf)<sub>3</sub>



Effect of Lanthanide Triflates in the Reaction of 4 with Benzaldehyde at r.t. for 20 h in  $H_2O$ -THF (1:4).

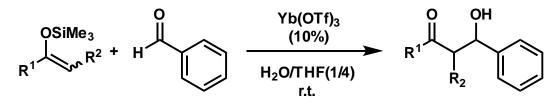
Ln(OTf)3	yield/%	Ln(OTf)3	yield/%
La(OTf)3	8	Dy(OTf)3	73
Pr(OTf) <sub>3</sub>	28	Ho(OTf) <sub>3</sub>	47
Nd(OTf) <sub>3</sub>	83	Er(OTf) <sub>3</sub>	52
$Sm(OTf)_3$	46	$Tm(OTf)_3$	20
Eu(OTf) <sub>3</sub>	34	Yb(OTf) <sub>3</sub>	91
Gd(OTf) <sub>3</sub>	89	Lu(OTf) <sub>3</sub>	88

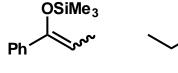
High reactivity : Nd-, Gd-, Yb-, Lu(OTf)<sub>3</sub>

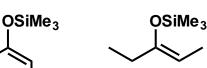
Low reactivity : La-, Pr-, Tm(OTf)<sub>3</sub>

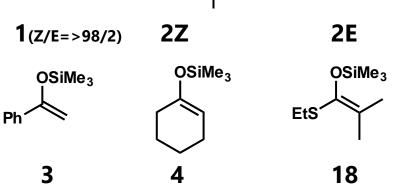
### Several examples of hydroxymethylation reaction 2

Lanthanide triflate as water-tolerant Lewis acids









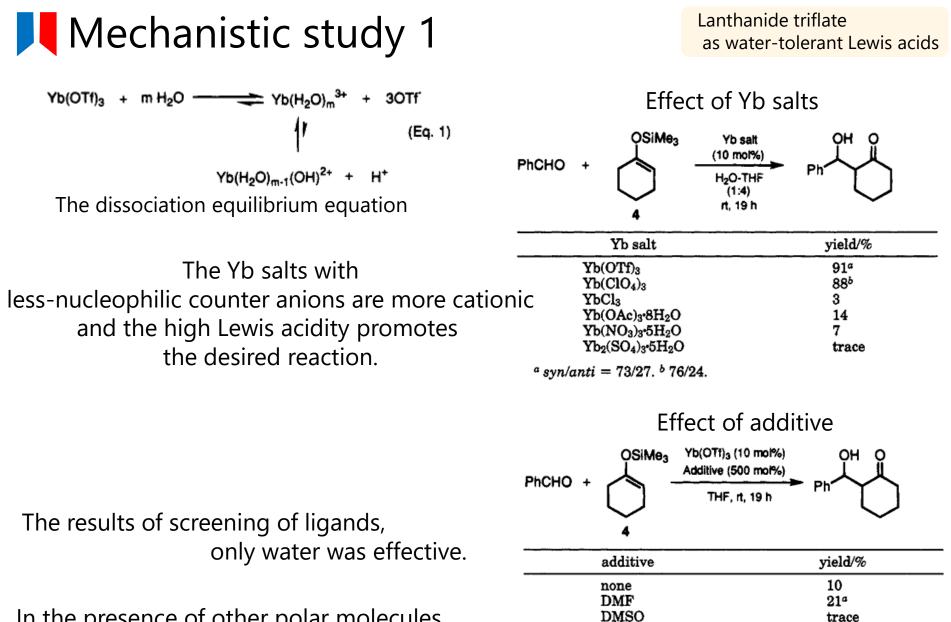
1) In every case, the reactions proceeded smoothly in high yield.

2) Diastereoselectivitieswere generally good to moderate

Lanthanide Triflate-Catalyzed Aqueous Aldol Reaction by Using Yb(OTf)<sub>3</sub> (10 mol %)<sup>a)</sup>.

entry	aldehyde	silyl enol ether	product	yield/%
1	PhCHO	4	22 <sup>b</sup>	91
2	PhCHO	2Z	23°	89
3	PhCHO	2E	23 <sup>d</sup>	93
4	PhCHO	1	24°	81
5	PhCHO	EtSC(OSi-	25	90
	•	Me <sub>3</sub> )=CMe <sub>2</sub> 18		
6	p-Cl-PhCHO	4	26	89
7	p-MeO-PhCHO	4	278	77
8	(E)-CH <sub>3</sub> (CH <sub>2</sub> ) <sub>2</sub> -	4	28 <sup>h</sup>	90
	CH=CHCHO			
9	Ph(CH <sub>2</sub> ) <sub>2</sub> CHO	2Z	29	79
10	Ph(CH <sub>2</sub> ) <sub>2</sub> CHO	2E	29 <sup>i</sup>	72
11	CH <sub>3</sub> CHO	1	30/	93
12	$CH_2 = CHCHO$	1	31 <sup>*</sup>	82
13	ClCH <sub>2</sub> CHO	1	$32^l$	95
14	ClCH <sub>2</sub> CHO	3	33	67
15	ClCH <sub>2</sub> CHO	18	34	66
16	PhCOCHO-H <sub>2</sub> O	1	$35^m$	67
17	o-HO-PhCHO	1	36 <sup>h</sup>	81
18	2-pyridinecarbox- aldehyde	1	$37^n$	97

<sup>a</sup> Gd(OTf)<sub>3</sub> was used in entries 11 and 12. In entry 17, Lu(OTf)<sub>3</sub> was used. <sup>b</sup> syn/anti = 73/27. <sup>c</sup> 63/37. <sup>d</sup> 71/29. <sup>e</sup> 53/47. <sup>f</sup> 65/35. <sup>g</sup> 61/39. <sup>h</sup> 55/45. <sup>i</sup> 68/32. <sup>j</sup> 46/54. <sup>k</sup> 60/40. <sup>l</sup> 45/55. <sup>m</sup> 27/73. <sup>n</sup><sub>10</sub> 42/58.



In the presence of other polar molecules, the reaction proceeded very slowly.

> $H_2O$ 80° <sup>a</sup> syn/anti = 66/34. <sup>b</sup> 73/27. <sup>c</sup> 76/24.

Et<sub>a</sub>N

 $Et_2O$ 

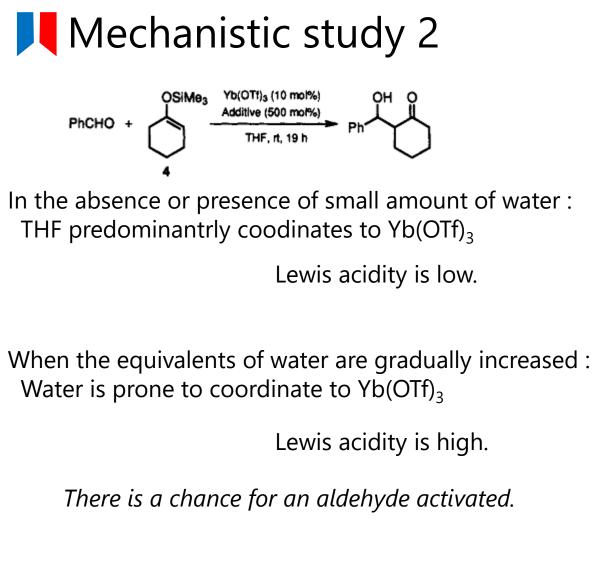
pyridine

trace

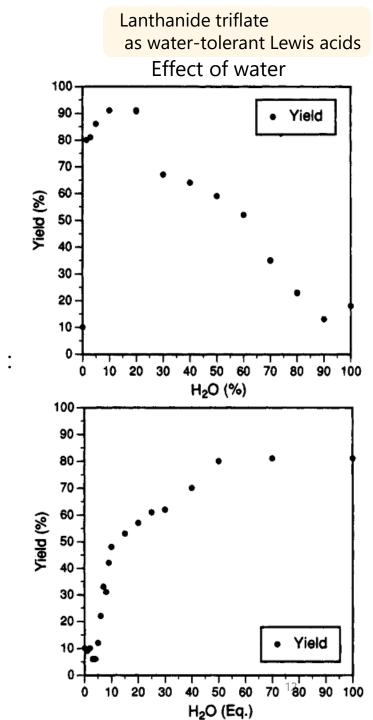
trace

11

 $23^{b}$ 14



When the amount of water is further increased : Hydrolysis of the silyl enol ether precedes the desired aldol reaction



# Short summary 1

#### Discovery of lanthanide triflate as water-tolerant Lewis acids

<u>Problem</u>

When the amount of water is further increased,

a competitive reaction precedes the desired aldol reaction.

3. Development of "LASC"

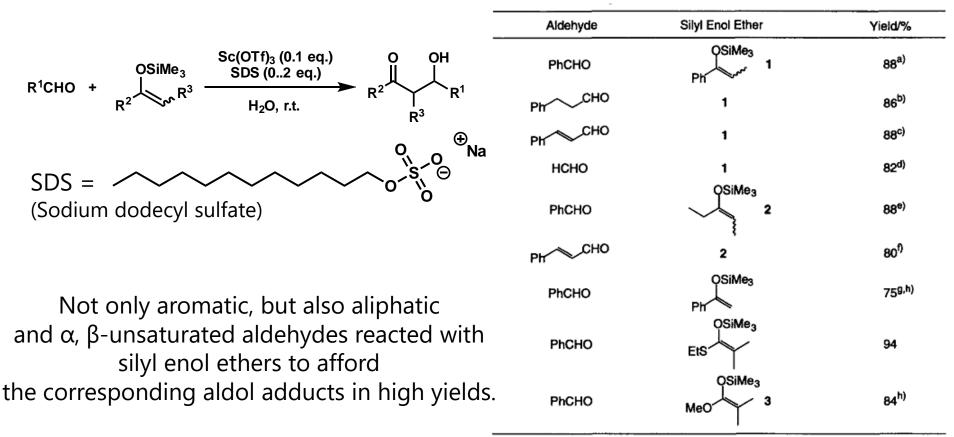
Lanthanide triflate could not be developed into enantioselective asymmetric reactions.

4. Asymmetric aldol reactions in aqueous media

#### 3. Development of "LASC"

Sc(OTf)<sub>3</sub>-SDS system

Sc(OTf)<sub>3</sub>-catalyzed Mukaiyama aldol reactions have been successfully carried out in aqueous solutions of surfactants.



#### Sc(OTf)<sub>3</sub>-SDS system

a) Syn/anti = 50/50. b) Syn/anti = 45/55. c) Syn/anti = 41/59. d) Comercially available HCHO aq. (3 ml), 1 (0.5 mmol), Sc(OTf)<sub>3</sub> (0.1 mmol), and SDS (0.1 mmol) were combined. e) Syn/anti = 57/43. f) Syn/anti = 69/31. g) Sc(OTf)<sub>3</sub> (0.2 eq.) was used. h) Additional silyl enolate (1.5 eq.) was charged after 6 h.

Tetrahedron Letters, Vol. 38, NO. 26, pp. 4559-4562, 1997

# Lewis-Acid-Surfactant-Combined Development of "LASC" Catalysts (LASC)

LASC is more simplified catalyst than Sc(OTf)<sub>3</sub>-SDS system

1a-1f were prepared from ScCl3 and the corresponding SDS or sodium alkanesulfonate.

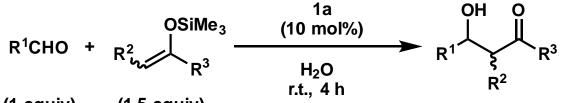
1a : Sc(O<sub>3</sub>SOC<sub>12</sub>H<sub>25</sub>)<sub>3</sub>

 $1d: Sc(O_3SC_{12}H_{25})_3$ 

Schematic representation of **1a** 

OSiMe <sub>3</sub> PhCHO +	1a OH O (10 mol%)	Effect of Solvents on LASC (	1a)-Catalyzed Aldol Reactions	
Phono Ph	H <sub>2</sub> O Ph S Ph	solvent	yield (%)	
(1 equiv) (1.5 equiv)	r.t., 4 h	H <sub>2</sub> O	92	
		CH <sub>3</sub> OH	4	
		DMF	14	
The reaction in wat	ter afforded the product	DMSO	9	
		CH <sub>3</sub> CN	3	
	in high yield.	CH <sub>3</sub> Cl <sub>2</sub>	3	
		THF	trace	
Low yield were obs	served	Et <sub>2</sub> O	trace	
in other organic solvent		toluene	trace	
		hexane	4	
		- (neat)	31	
Tetrahedron Letters 39 (1998) 5389-5392		<sup>a</sup> When solvents other than H <sub>2</sub> O an CH <sub>3</sub> OH were used, the initially		
J. Am. Chem. Soc., Vol. 1		formed trimethylsilyl ether was converted to 3 (1 N HCl/THF (1/20), 0 °C).		

### Substrate scope



(1 equiv) (1.5 equiv)

#### LASC (1a)-Catalyzed Aldol Reactions in Water

$\mathbb{R}^1$	$\mathbb{R}^2$	<b>R</b> <sup>3</sup>	product	yield (%)	syn/anti
Ph	Me	Ph	3	92	49/51
Ph(CH <sub>2</sub> ) <sub>2</sub>	Me	Ph	4	88	44/56
PhCH=CH	Me	Ph	5	91	40/60
2-pyridyl	Me	Ph	6	$84^a$	24/76
PhCO	Me	Ph	7	86	66/34
Ph	Me	Et	8	84	78/22
p-ClPh	Me	Et	9	91	79/21
Ph(CH <sub>2</sub> ) <sub>2</sub>	Me	Et	10	82 <sup>a</sup>	72/28
PhCH=CH	Me	Et	11	87	71/29
PhCH=CH	-(Cl	H <sub>2</sub> ) <sub>4</sub> -	12	85 <sup>a</sup>	52/48
Ph	H	Ph	13	94 <sup><i>a</i>,<i>b</i></sup>	
Ph	$Me_2$	SEt	14	98	
Ph	Me <sub>2</sub>	OMe	15	$80^{a,b}$	

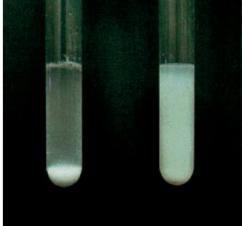
<sup>a</sup> 1a (20 mol %). <sup>b</sup> Silyl enolate (3 equiv).

Aromatic as well as aliphatic,  $\alpha$ ,  $\beta$ -unsaturated, and heterocyclic aldehydes worked well.

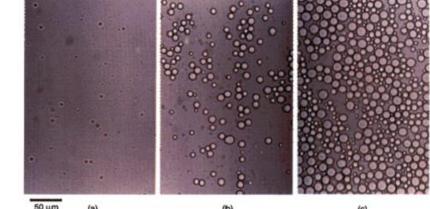
Silyl enol ethers, ketene silyl enol ethers, and an ester reacted well to give the corresponding adducts in high yields.

# Characterization of the colloidal particle

LASCs such as 1a and 1d do not dissolve in water.
On the other hand, when the LASC was mixed with organic substrates in water, a white turbid mixture formed through colloid formation.



Mixtures of LASC 1d in water (left) and LASC 1d and benzaldehyde (1:10) in water (right)

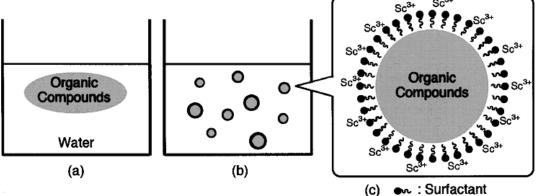


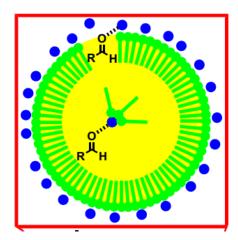
Mixture of LASC 1d and benzaldehyde as detected by light microscopy.(a) 1d:benzaldehyde=1:10; (b) 1d:benzaldehyde =1:20; (c) 1d:benzaldehyde=1:100. In all cases, the concentration of 1d was 16.7 mM.

- Light microscopic observations of the colloidal particles revealed their spherical shape.
- Finally, all of 1d formed the spherical colloidal particles.

# Mechanism of catalytic reactions.

#### Development of "LASC"





- (a) Without surfactants
- (b) With surfactants

(c) A colloidal particle formed in a mixture in the presence of surfactants

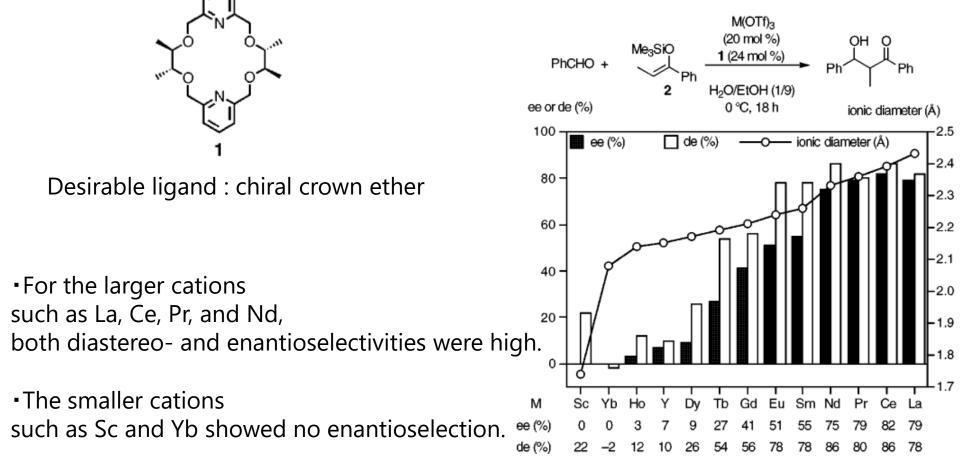
#### Reaction mechanism

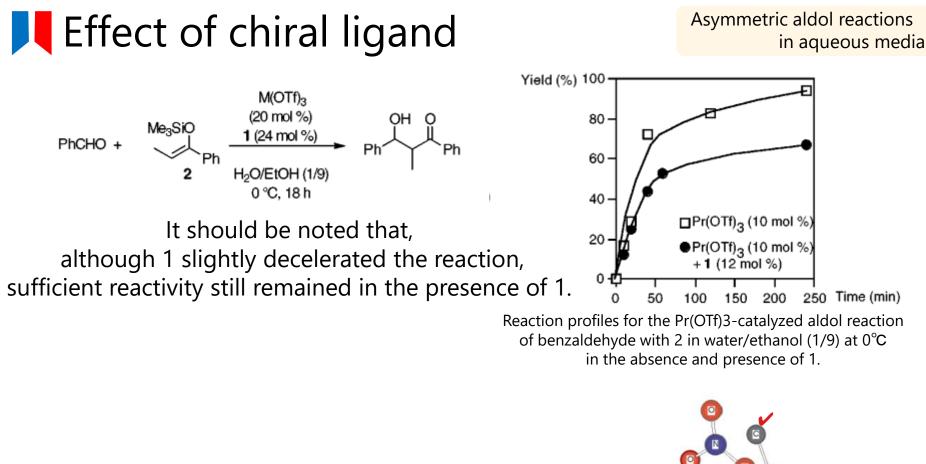
- 1) In the presence organic substrates, LASC molecules form stable colloidal particles. (the surfactant moiety of the LASCs surrounds the organic substrates.)
- 2) the countercations are attracted to the surface of the particles through electrostatic interactions between the anionic surfactant molecules and the cations.
- 3) Although each Sc(III) cation is hydrated by several water molecules, they can be readily replaced by a substrate.
- 4) The substrates to be activated move to the interface from the organic phase, coordinate to the cations, and then react with nucleophilic substances there.

#### 4. Asymmetric aldol reactions in aqueous media

# Chiral ligand for Ln(OTf)<sub>3</sub>

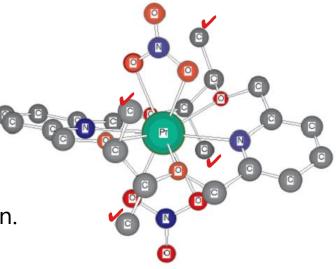
Need to finding a chiral ligand which has strong binding ability and does not significantly reduce the Lewis acidity of  $Ln(OTf)_3$ .





•The methyl groups of 1 are all in axial positions.

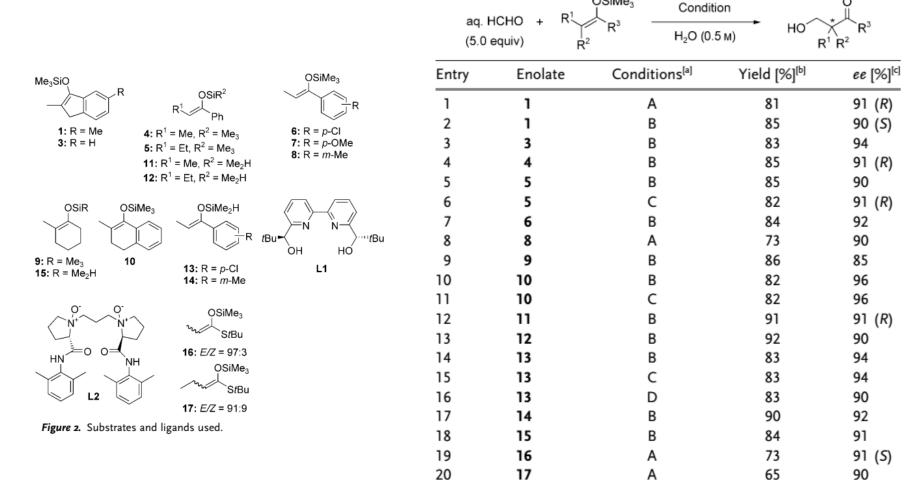
 one or two of the nitrate anions are dissociated in aqueous media and that aldehydes to be activated coordinate in place of the nitrate anion.



**Figure 3.**  $[Pr(NO_3)_2 \cdot 1]^+$  moiety in the X-ray structure of  $[Pr(\mathbb{NO}_3)_2 \cdot 1]_3[Pr(NO_3)_6]$ . Hydrogen atoms are omitted for clarity.

#### 5. Metalloenzyme-Like Catalyst

# 1st generation : Asymmetric hydroxymethylation in water

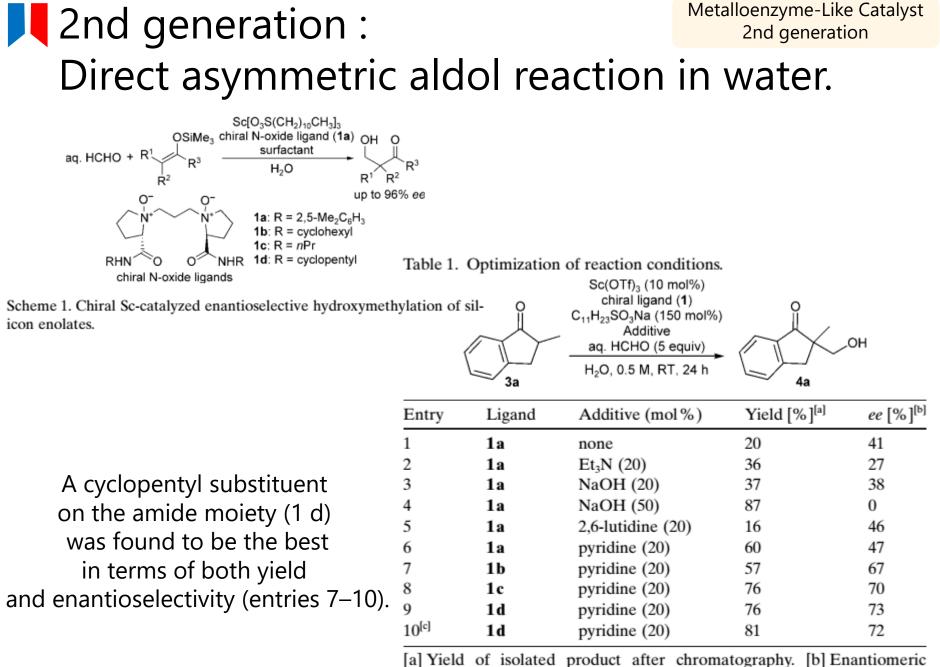


#### •by using

2 mol% or 1 mol% of the catalyst (Conditions C and D) led to the same range in yields and enantioselectivities as those reactions employing 10 mol% of the catalyst.

Angew. Chem. Int. Ed. 2008, 47, 6909 –6911

[a] Conditions A: Sc(DS)<sub>3</sub> (10 mol%), **L1** (12 mol%), Triton X-705, RT, 20 h. Conditions B: Sc[O<sub>3</sub>S(CH<sub>2</sub>)<sub>10</sub>CH<sub>3</sub>]<sub>3</sub> (10 mol%), **L2** (12 mol%), CH<sub>3</sub>(CH<sub>2</sub>)<sub>10</sub>SO<sub>3</sub>Na, 5 °C, 48 h. Conditions C: Sc[O<sub>3</sub>S(CH<sub>2</sub>)<sub>10</sub>CH<sub>3</sub>]<sub>3</sub> (2 mol%), **L2** (2.4 mol%), CH<sub>3</sub>(CH<sub>2</sub>)<sub>10</sub>SO<sub>3</sub>Na, 5 °C, 96–110 h. Conditions D: Sc[O<sub>3</sub>S(CH<sub>2</sub>)<sub>10</sub>CH<sub>3</sub>]<sub>3</sub> (1 mol%), **L2** (1.2 mol%), CH<sub>3</sub>-(CH<sub>2</sub>)<sub>10</sub>SO<sub>3</sub>Na, 5 °C, 81 h. [b] Yield of isolated product. [c] Determined by chiral HPLC analysis.



Chem. Asian J. 2010, 5, 490 - 492

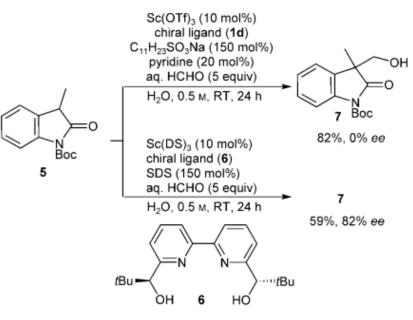
excess was determined by chiral HPLC analysis. [c] Reaction for for the formation of the formation of the formation for the formation for the formation for the formation for the formation of th

#### Substrate scope

Table 2. Asymmetric hydroxymethylation of ketones in water.<sup>[a]</sup> Yield [%][b] ee [%]<sup>[c]</sup> Product Entry Ketone Ο 81 72 1 3a OH 72 72 2 ÓН 3b 3[d] 39 88 ĊН 3c 4c **∆**[d] Ph 29 81 4d 3d ЮH 67 5 quant 3e 4e

[a] Conditions: Ketone **3** (0.3 mmol), Sc(OTf)<sub>3</sub> (10 mol%), N-oxide **1d** (12 mol%),  $C_{11}H_{23}SO_3Na$  (150 mol%), pyridine (20 mol%), formalin (5 equiv), water, RT, 24 h. [b] Yield of isolated product after chromatography. [c] Enantiomeric excess was determined by chiral HPLC analysis. [d] Reaction was carried out at 40 °C for 48 h.

#### Metalloenzyme-Like Catalyst 2nd generation

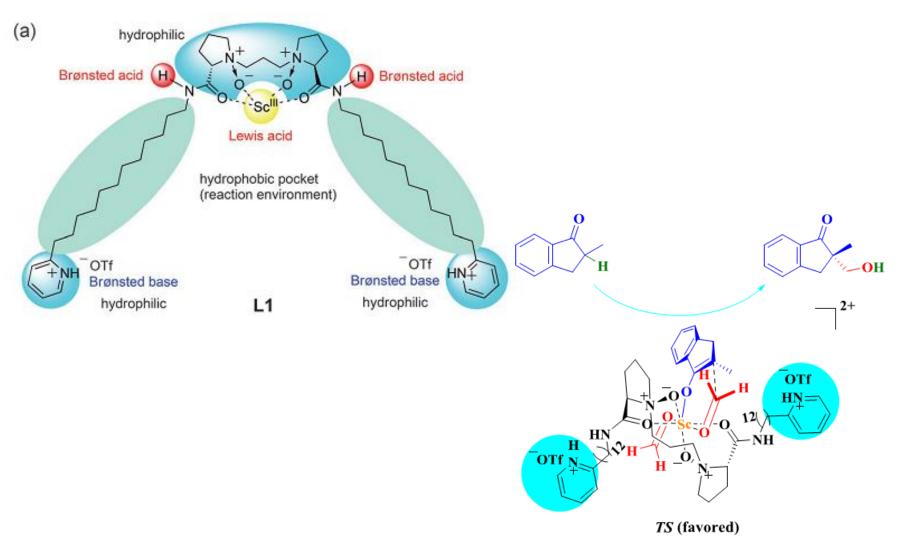


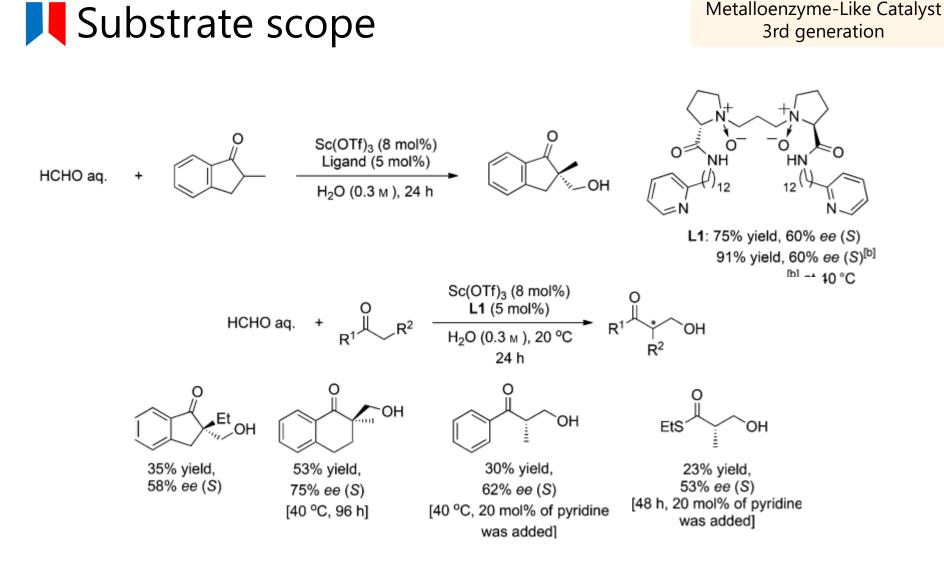
Scheme 2. Asymmetric hydroxymethylation of oxindole.

The addition of a catalytic amount of pyridine enabled us to use ketones directly in asymmetric hydroxymethylation reactions.

### 3rd generation : Metalloenzyme-Like catalyst

Metalloenzyme-Like Catalyst 3rd generation

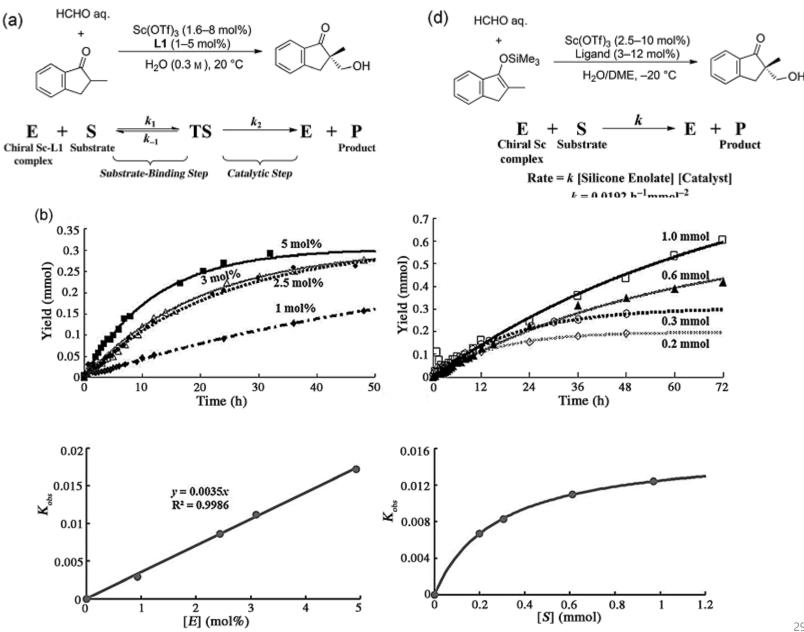




The addition of a catalytic amount of pyridine enabled us to use ketones directly in asymmetric hydroxymethylation reactions.

### Reaction mechanism

Metalloenzyme-Like Catalyst 3rd generation

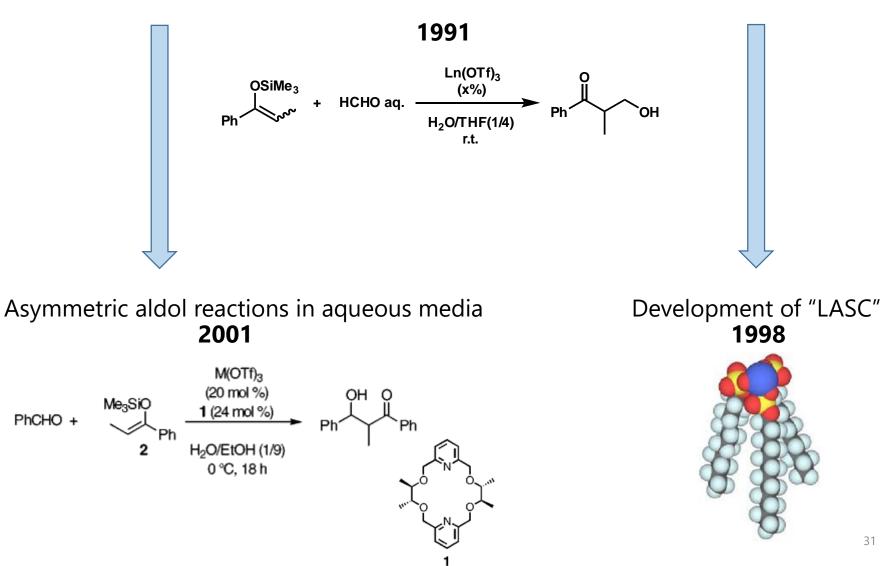


#### 6. Summary



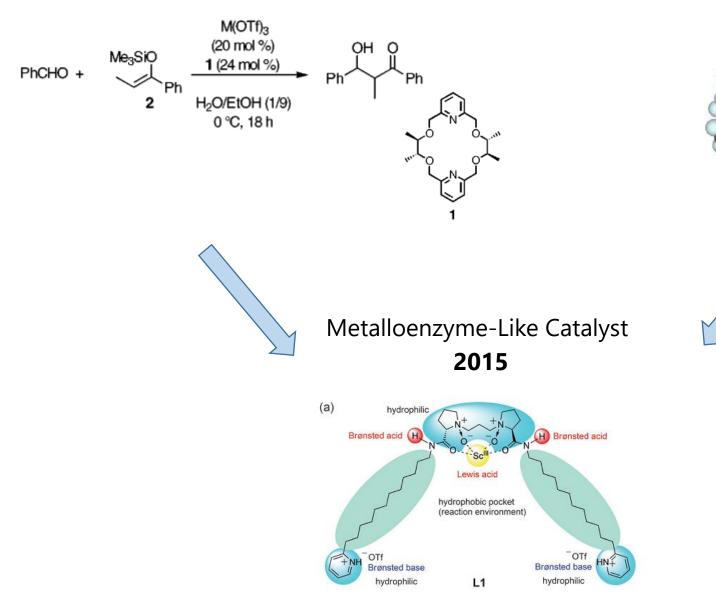
Summary

Discovery of lanthanide triflate as water-tolerant Lewis acids



Summary 2

Asymmetric aldol reactions in aqueous media 2001



Summary

Development of "LASC" 1998