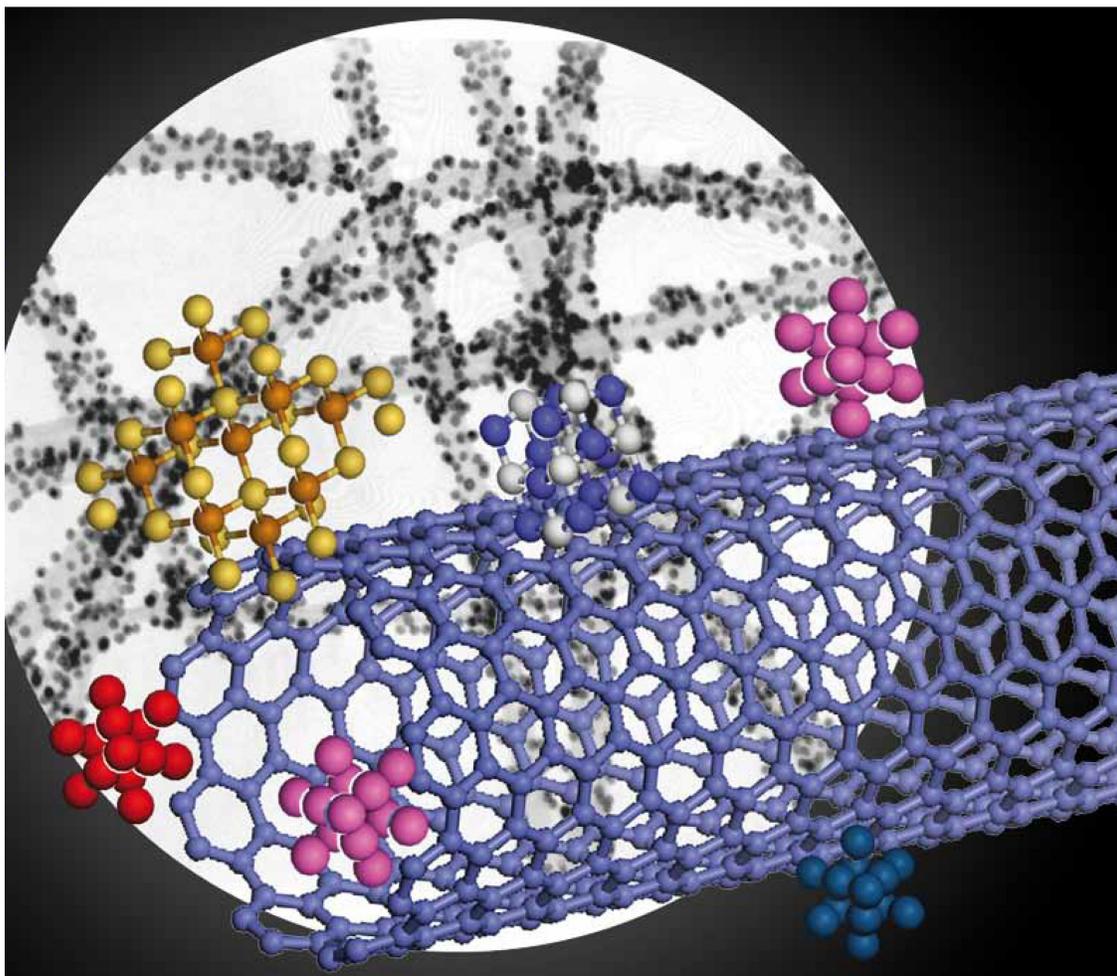


# Chemical Approach for Carbon Nanotubes

~Recent progress in Organic Synthesis~



*Chem. Soc. Rev.* 2009, 38, 1076

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- 1-1. Identification
  - 1-2. Physical properties and applications
  - 1-3. Difficulties in conventional syntheses
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  - 2-3. Synthesis of CPP ~ Prof. Itami's work
  - 2-4. Synthesis of CPP ~ Prof. Yamago's work
  - 2-5. Elongation ~ Diels-Alder strategy
- 3. Summary**

# 1. Introduction: What is "Carbon Nanotube" ?

参考文献:

齋藤弥八、坂東俊治 「カーボンナノチューブの基礎」 1998、コロナ社

齋藤理一郎、篠原久典 「カーボンナノチューブの基礎と応用」 2004、培風館

Terrones M. *Annu. Rev. Mater. Res.* 2003, 33, 419

## 1-1. Identification

### Carbon Nanotube (CN):

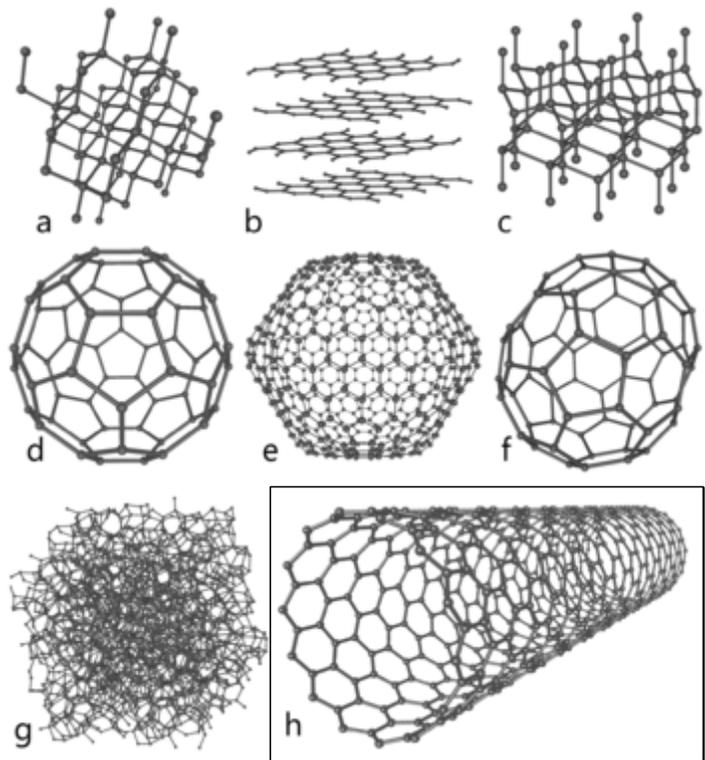
One of the allotropes of Carbon with a nano-scale cylindrical structure of a graphene sheet.  
First discovered in 1991 by Dr. Iijima (NEC corporation) Iijima S. *Nature* **1991**, 354, 56

cf. Graphene sheet: a 1-atom-thick sheet of graphite (network of  $sp^2$ -hybridized carbons)  
first generated by Dr. Geim and Dr. Novoselov. (2010 Nobel prize in physics)



Dr. Sumio Iijima

<http://www.nec.co.jp/rd/innovative/cnt/top.html>



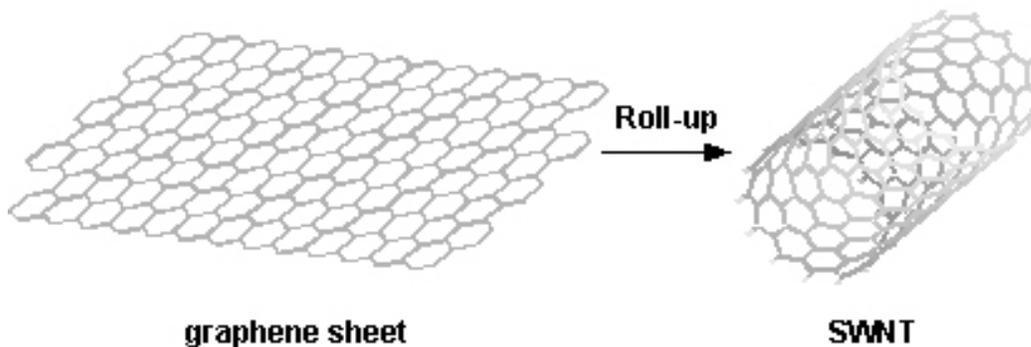
Some allotropes of carbon:

a) diamond; b) graphite; c) lonsdaleite;

d-f) fullerenes ( $C_{60}$ ,  $C_{540}$ ,  $C_{70}$ );

g) amorphous carbon; h) **carbon nanotube**

<http://schools-wikipedia.org/wp/c/Carbon.htm>



<http://www.nanotech-now.com/nanotube-buckyball-sites.htm>

**History of discovery:**

Fullerene, another allotrope of carbon, was first found in 1985, and around 1990-1991 was developed the large-scale synthesis by using arc discharge method.

Although in those days many researchers were interested only in fullerene synthesis, Dr. Iijima paid attention to sediment left on cathode after fullerene formation.

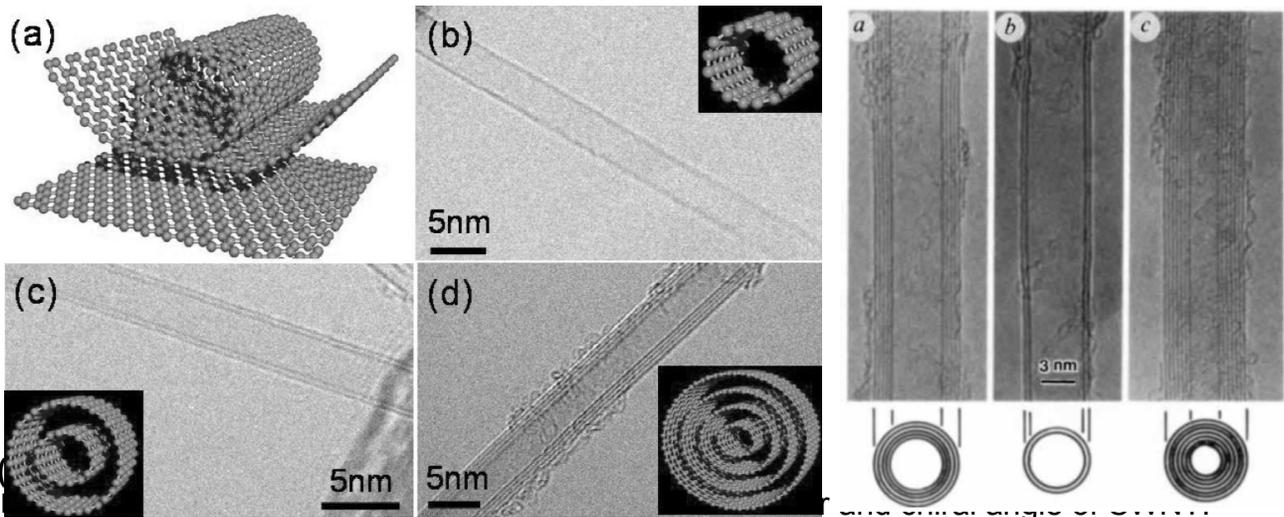
Analyzing it by electron microscope, he discovered the first multi-walled carbon nanotubes (MWNTs).

**Structural diversity:**

**(1) Wall number**

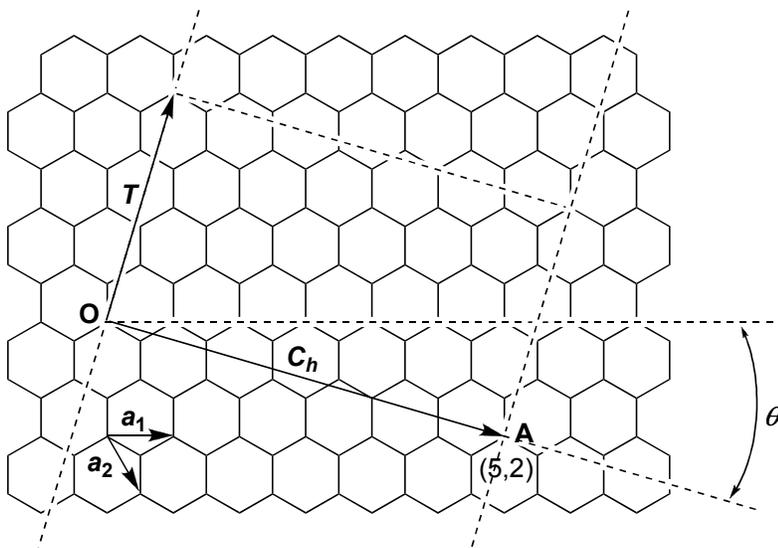
**Single-walled nanotube (SWNT):** Rolled single graphene sheet  
 Diameter = 0.5~5 nm (cf. fullerene ~0.7nm)  
 Length = ca. 1 $\mu$ m (max.~18.5cm *Nano Lett.* **2009**, 9, 3137)  
 Simpler electrical property than MWNTs

**Multi-walled nanotube (MWNT):** Rolled multiple number of graphene sheets  
 Diameter = 4~50 nm, Length >10 $\mu$ m  
 Stronger materials than SWNTs



*Pure. Appl. Chem.* **2006**, 76, 1703

Iijima S. *Nature* **1991**, 354, 56



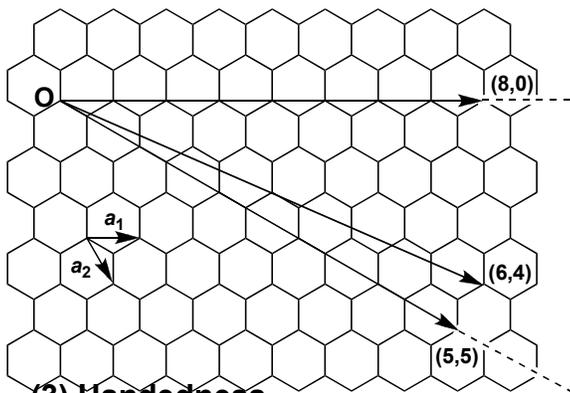
**O = A** in the rolled structure  
**a<sub>1</sub>, a<sub>2</sub>**: elementary vector  
**C<sub>h</sub>**: chiral vector (=  $\vec{OA} = na_1 + ma_2 = (n,m)$ )  
**T**: lattice vector (= tube direction)  
**d**: diameter of tube,  $\theta$ : chiral angle  
**a<sub>c-c</sub>**: distance between nearest carbon atoms

$$d = \frac{\sqrt{3}a_{c-c}\sqrt{n^2 + nm + m^2}}{\pi}$$

$$\theta = \tan^{-1}\left(-\frac{\sqrt{3}m}{2n + m}\right) \quad (|\theta| \leq \frac{\pi}{6})$$

In this case,  
**C<sub>h</sub> = 5a<sub>1</sub> + 2a<sub>2</sub> = (5,2)**

There are **3 kinds of classification** in the structure of SWNTs.



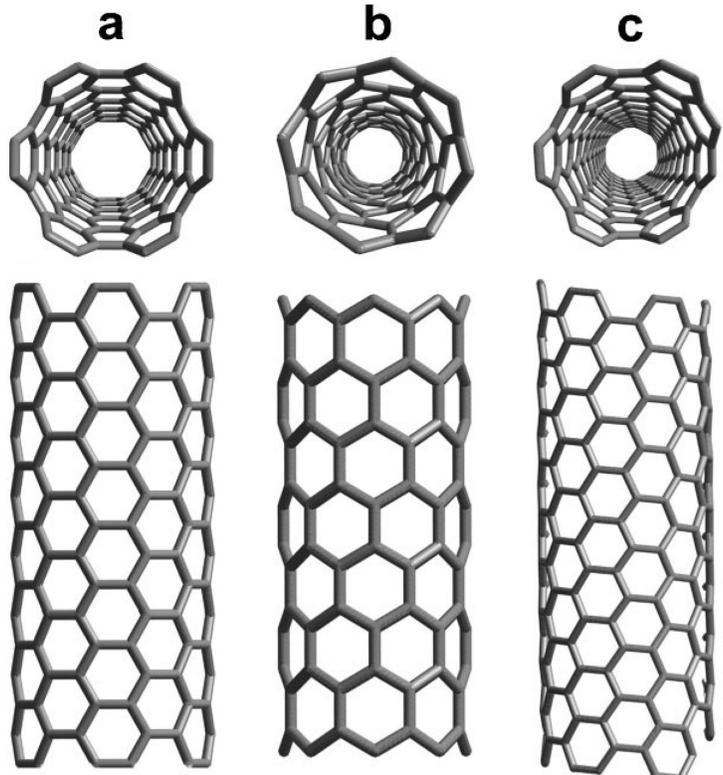
**(3) Handedness**

= direction of helicity (positive or negative)  
In the case of chiral SWNTs, two kinds of helicity exist.

**(4) "Cap"**

The terminal of tubes is often closed by a cap. Each cap has six 5-membered rings that are fused to the hexagonal lattice. By using proper methods (e.g. oxidation), the cap can be removed and replaced with carboxylic groups on terminal instead of fullerene caps.

Roll-up



**armchair**

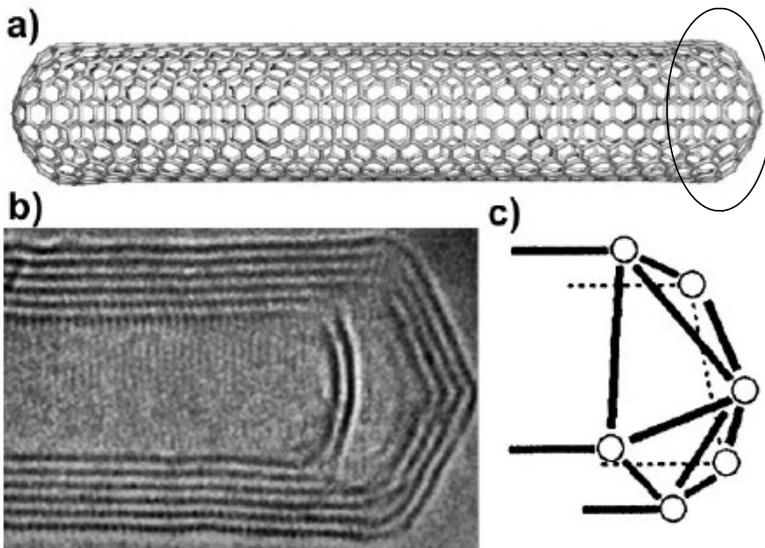
$$C_h = (n, n) \\ n = m \\ (\theta = \pi/6)$$

**zigzag**

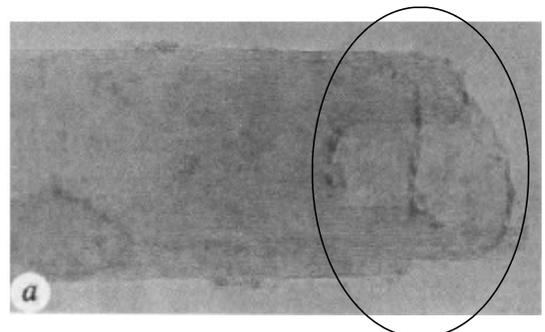
$$C_h = (n, 0) \\ m = 0 \\ (\theta = 0)$$

**chiral**

$$C_h = (n, m) \\ \text{the other case} \\ (0 < |\theta| < \pi/6)$$



a) Model of a capped SWNT  
b) HRTEM image of a capped MWNT  
c) Model of closed MWNT indicating the location of six pentagons



Uncapped MWNT  
Iijima S. et al. Nature 1993, 362, 522

## 1-2. Physical properties and applications

### (1) Electrical property

Carbon nanotube can become **both metal and semi-conductor** !

# About "metal (metallic conductor)" and "semiconductor"

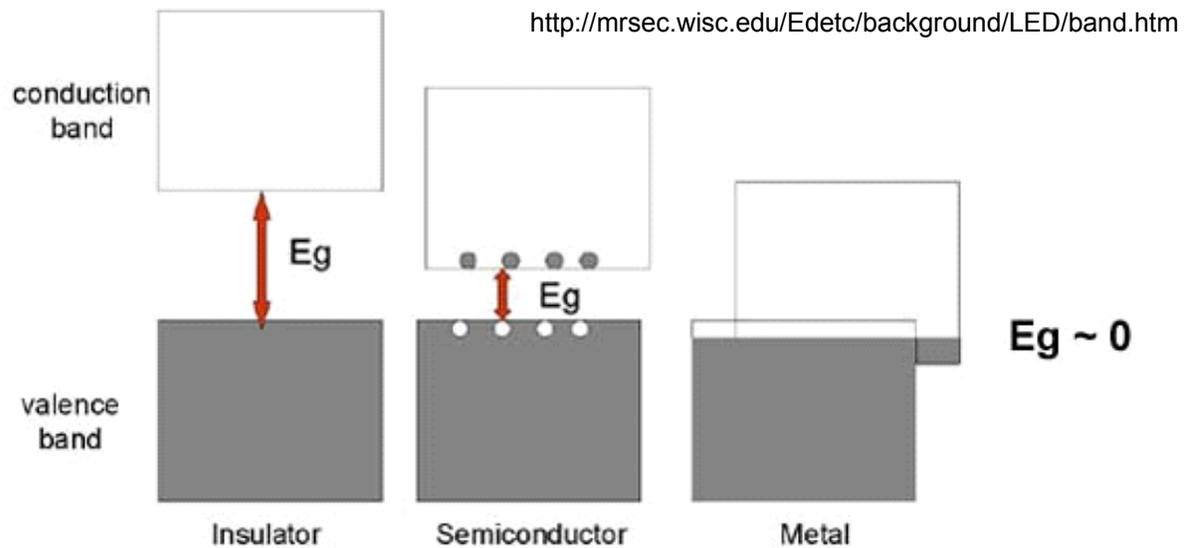
**Metallc conductor:** No band gap between valence band and conduction one.

The more the temp increases, the lower the conductivity becomes.

**Semiconductor:** Possesing a smaller band gap.

The more the temp increases, the higher the conductivity becomes.

**Insulator:** Possesing a bigger band gap. Electrons are forced to stay in valence band.

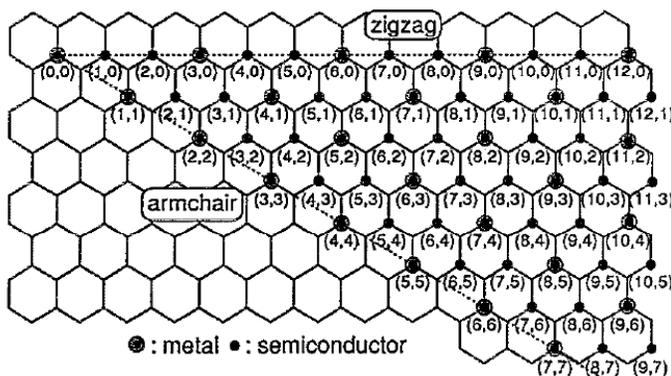


Graphene itself is known as a **zero-gap semiconductor** due to the lack of band gap. On the other hand, as the quantum conditions appear due to rolling the graphene sheet, the band gap of CN becomes different from graphene.

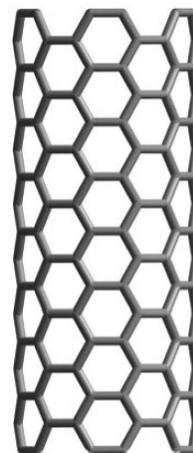
quantum condition:

$$C_h \cdot k = 2\pi q \quad (k = \text{wavenumber}, q = \text{integer})$$

$$\begin{aligned} n - m \equiv 3q &: \text{metal} \\ n - m \not\equiv 3q &: \text{semi-conductor} \end{aligned}$$



Saito R. et al. *Appl. Phys. Lett.* **1992**, 60, 2204



armchair  
 $C_h = (5,5)$

↓  
metal



zigzag  
 $C_h = (8,0)$

↓

metal or semiconductor

(In this case, both are semiconductor)



chiral  
 $C_h = (6,4)$

↓

## (2) Strength

**Current density:** 1000 times larger than copper ( $\sim 4 \times 10^9 \text{ A/cm}^2$ )

*Science* **2001**, 292, 2462

**Electrical conductivity:** larger than copper (in the case of metallic CN)  $\Rightarrow$  **Next generation LSI instead of silicon-based IC**

**Thermal conductivity:** 7-8 times larger than silver (3000 W/m/K)

**Tensile strength:** almost same as diamond ( $\sim 63 \text{ GPa}$  for MWNTs)  $\Rightarrow$  **Tough materials**

*Science* **2000**, 287, 637

**(Rope for space elevator ?)**

## (3) Large surface area (2000 m<sup>2</sup>/g if opened)

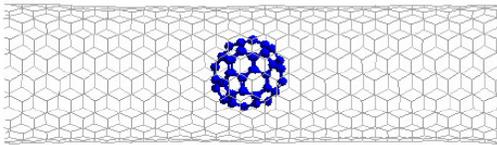
**Aspect ratio** (length-to-width) :  $\sim 10$  million

Opened SWNT can become clathrate holding small molecules inside.  $\Rightarrow$

**Storage of gas molecules  
Gas sensor**

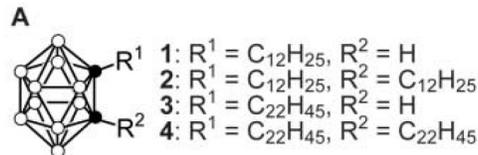
To dope new elements or to absorb other molecules inside, physical property of materials can surprisingly change.  $\Rightarrow$

**Development of new materials**

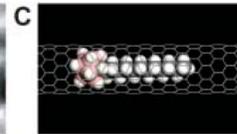
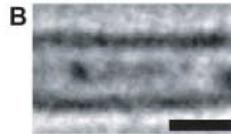


**Carbon peapod  
(C<sub>60</sub> @ [10,10]SWNT)**

*Nanotechnology* **2006**, 17, 5691



○BH ●C



**Carborane as a guest molecule**

Nakamura E. *et al.* *Science* **2007**, 316, 853

See also: Dr. Morimoto's Lit. Semi. (2007.8.1)

## (4) Field emission

Electrons are easily emitted from pentagons of CN cap by putting in an electric field.  $\Rightarrow$

**Field emission display**

## (5) Poor solubility

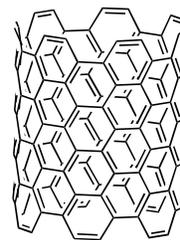
Capped CNs are not soluble for most solvents due to the large molecular weight.

It was known the commercially available green tea "伊右衛門 濃いめ" can dissolve SWNTs, probably due to containing catechin. *Chem. Lett.* **2007**, 36, 1140



C<sub>60</sub> fullerene

Mw: 720  
Solubility: good



[7,7]SWNT

Mw:  $>10^7$   
Solubility: bad



"伊右衛門 濃いめ"  
(Suntory)

As carbon nanotubes possess a lot of interesting properties and corresponding uses, required features of each purpose are so various.

ex. gas storage...large-scale, large surface area

LSI...large-scale, chirality control (metallic or semiconducting)

$\Rightarrow$  Both **large-scale synthesis** and **selective synthesis** are important.

# 1-3. Difficulties in conventional syntheses

**Conventional synthetic methods:** Ref. <http://www.nedo.go.jp/>  
Bertozzi C. R. *et al. Chem. Phys. Lett.* **2010**, 494, 1

## (1) Arc discharge synthesis (First discovery of CNs in 1991)

While a current is passed between two graphitic electrodes under inert atmosphere, some graphites vaporize and condense on the cathode as CNs.

## (2) Laser ablation

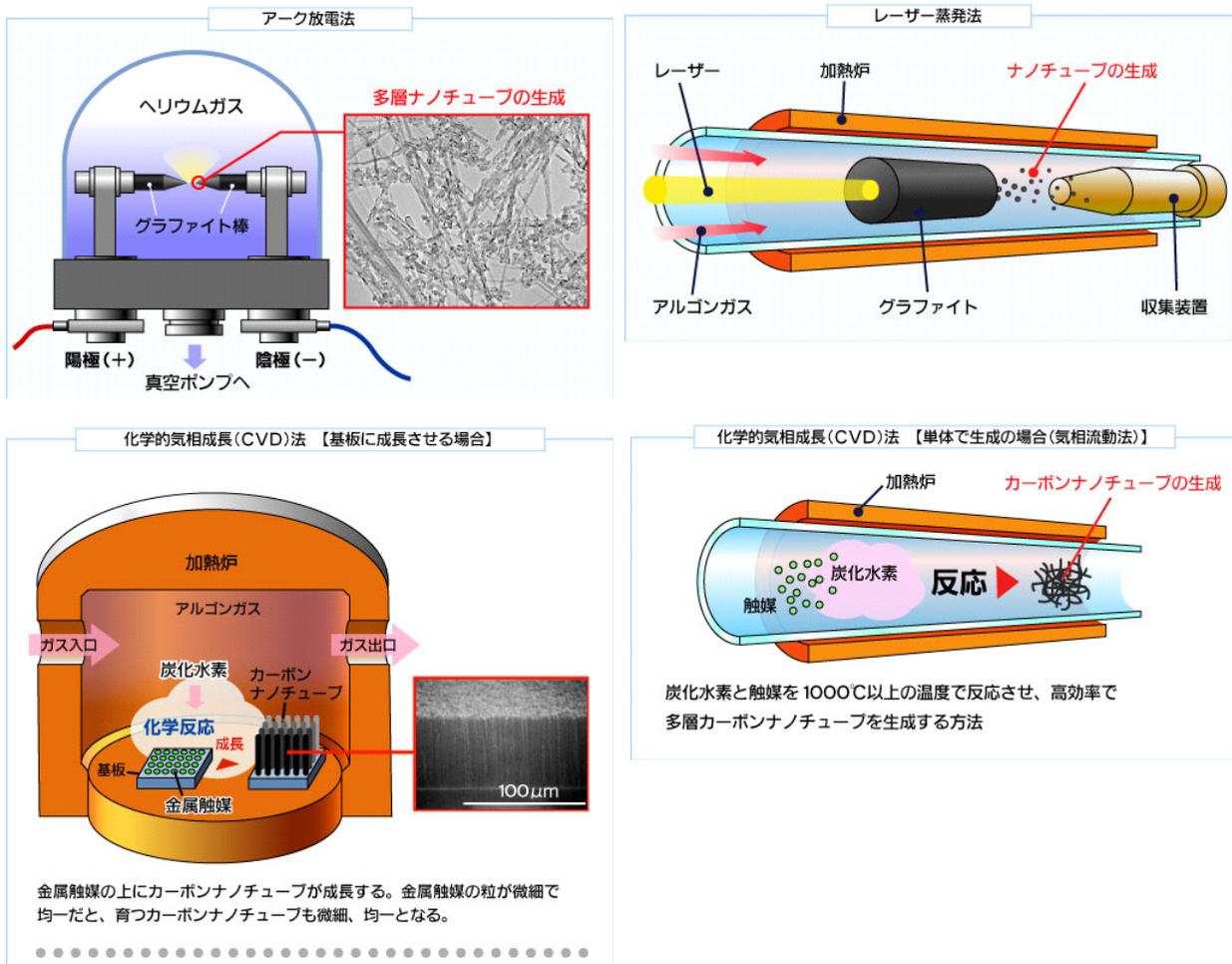
A pulsed laser is used to vaporize a graphite/catalyst target generating CNs on the walls of the reactor. Yield and selectivity (purity) are better, but costly and not useful for large-scale production

## (3) Chemical vapor deposition (CVD)

A metal catalyst particle is deposited on a substrate and placed into a high temperature furnace. A carbon gas source (e.g. CH<sub>4</sub>, C<sub>2</sub>H<sub>2</sub>) is then passed, resulting in growth of CNs on the substrate. Useful for large-scale production. Several advanced methods are available.

cf. High pressure carbon monoxide method (HiPCO)

Super growth CVD method: Highly pure SWNTs Hata K., Iijima S. *et al. Science* **2004**, 306, 1362



Some advanced methods can produce enough "pure" CNs to utilize for several industrial purposes. For example, ratio of metallic/semiconducting can be controled as 90:10 ~ 5:95. (*Science* **2009**, 326, 116; *Nano Lett.* **2008**, 8, 2682)

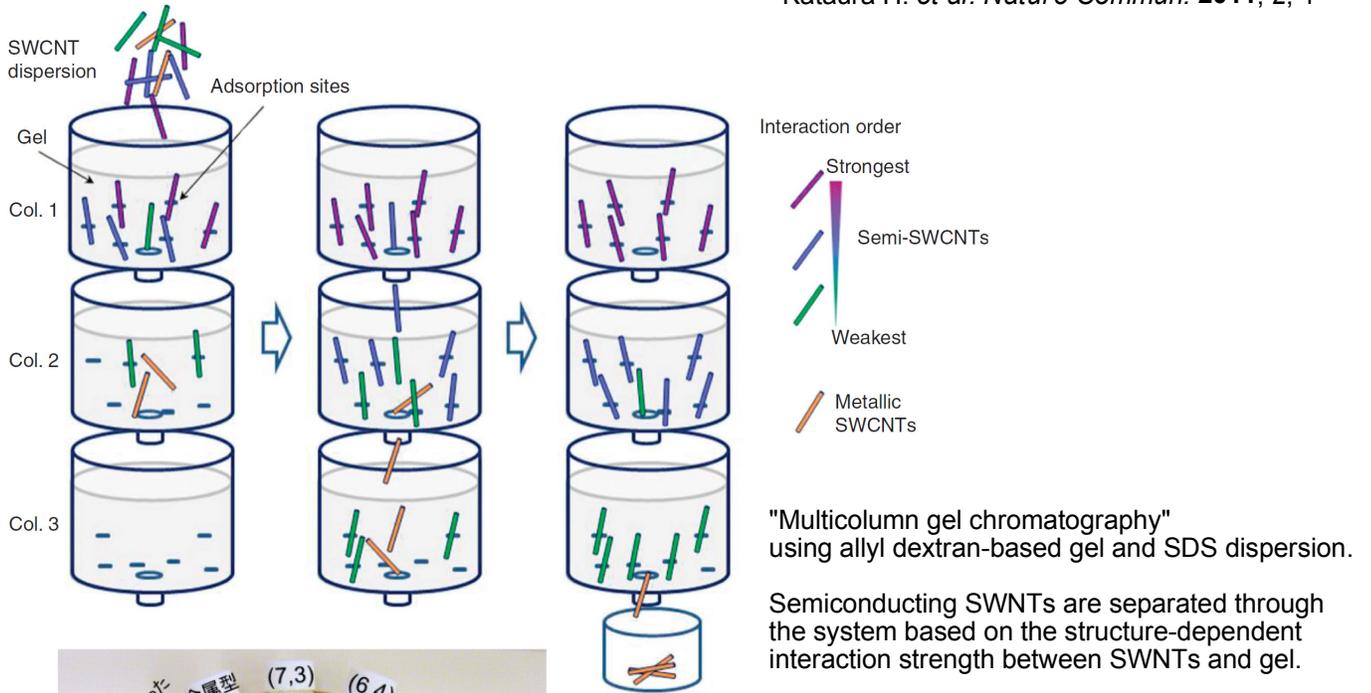
But these methods **cannot control the chirality** completely (produced "pure" CNs are always mixture), although many applications (conductive films, transistors, nanosensors, chemical research etc.) require chirality-pure CNs. It is unclear how to extend these empirical results to chirality-selective synthesis of CNs.

**Conventional purification methods:**

Unfortunately, CNs are not dissolved in most solvents due to their large molecular weight. So it is expected to be difficult to apply general chromatographical purification methods.

Recently, large-scale **single chirality separation of SWNTs** by using simple gel was reported.

Kataura H. *et al. Nature Commun.* **2011**, 2, 1



13 kinds of semiconducting SWNT were separated in 39~94% purity.

Each SWNT was determined by analyzing its specific wavelength (for excitation/emission).

[http://www.aist.go.jp/aist\\_j/press\\_release/pr2011/pr20110511/pr20110511.html](http://www.aist.go.jp/aist_j/press_release/pr2011/pr20110511/pr20110511.html)

But generally, purification is an **inefficient** and **stressful** process, and so ideally it is the best to produce the chirality-pure CNs **without purification steps**.

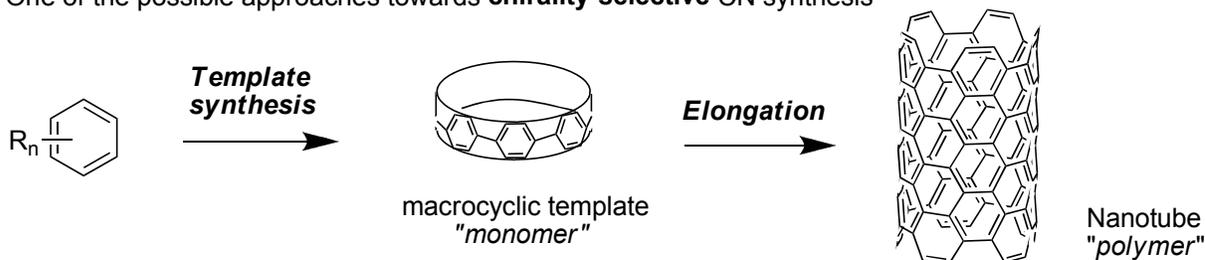
➡ **New strategy for chirality-selective CN synthesis is highly required today.**

## 2. Organic synthesis approach

Bertozzi C. R. *et al. Chem. Phys. Lett.* **2010**, 494, 1

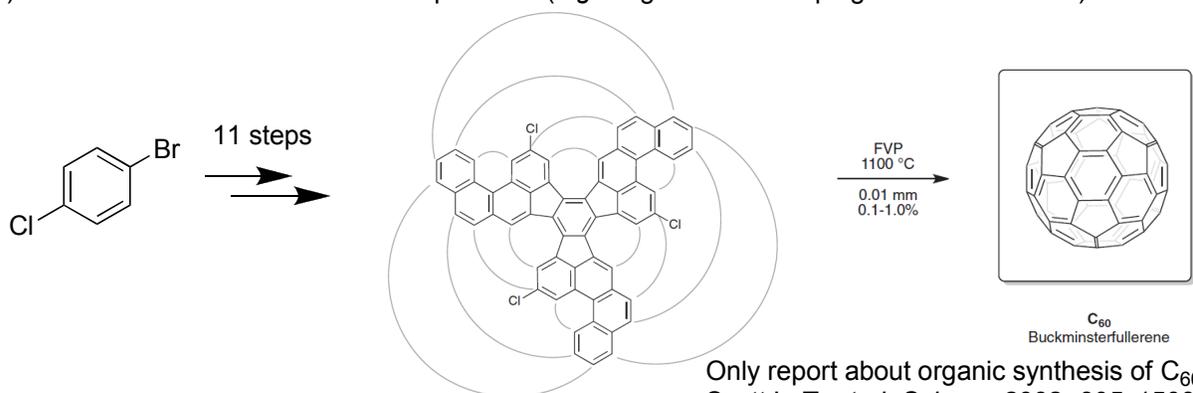
### 2-1. About "Bottom-up template synthesis"

~ One of the possible approaches towards **chirality-selective** CN synthesis



#### Advantages of organic synthesis approach:

- (1) Strategy is more reliable because it's based on mechanistically well-understood reactions.
- (2) Lower temperature ( $\sim 200^\circ\text{C}$ ) can be applied than current methods ( $> 1000^\circ\text{C}$ ).
- (3) Structural diversification should be possible. (e.g. Regioselective doping of other elements)



Only report about organic synthesis of  $C_{60}$   
Scott L. T. *et al. Science* **2002**, 295, 1500

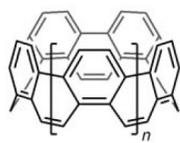
There has been **NO REPORT** about organic synthesis of carbon nanotubes.

#### Candidates for "templates"

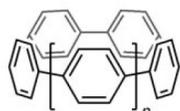
Sidewall segments of an armchair carbon nanotube



Vögtle belt  
(pyrene type)

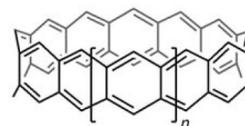


cyclophenacene  
(phenanthrene type)



cycloparaphenylene  
(benzene type)  
[n]-CPP  
"carbon nanohoop"

Sidewall segment of a zigzag carbon nanotube



cyclacene

#### Main theme of this chapter

Bertozzi (2008)  
Itami (2009, 2010, 2011)  
Yamago (2010, 2011)



**Prof. Carolyn R. Bertozzi**  
University of California, Berkeley

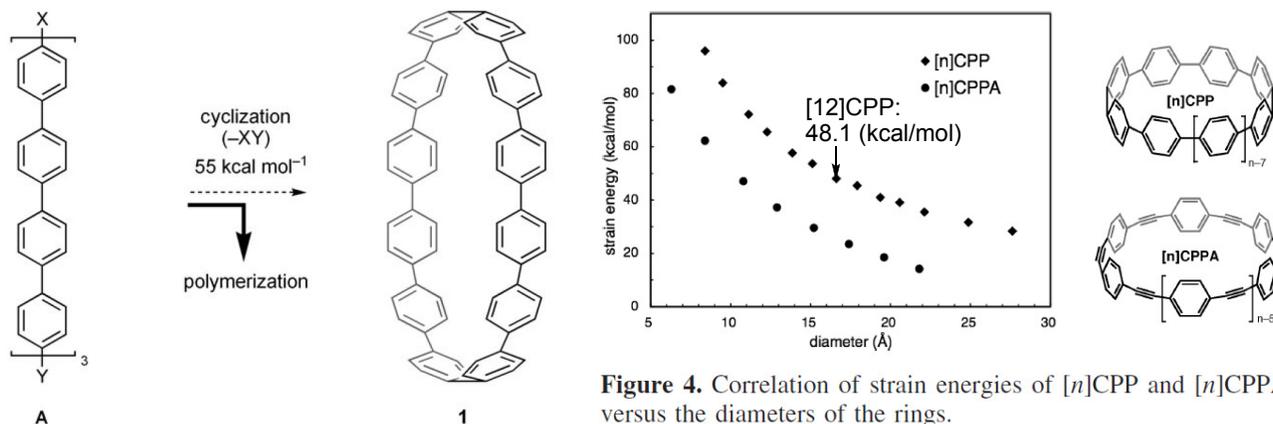


**Prof. Kenichiro Itami**  
Nagoya University



**Prof. Shigeru Yamago**  
Kyoto University

## Difficulty in CPP synthesis: Strain energy caused by aryl ring closure



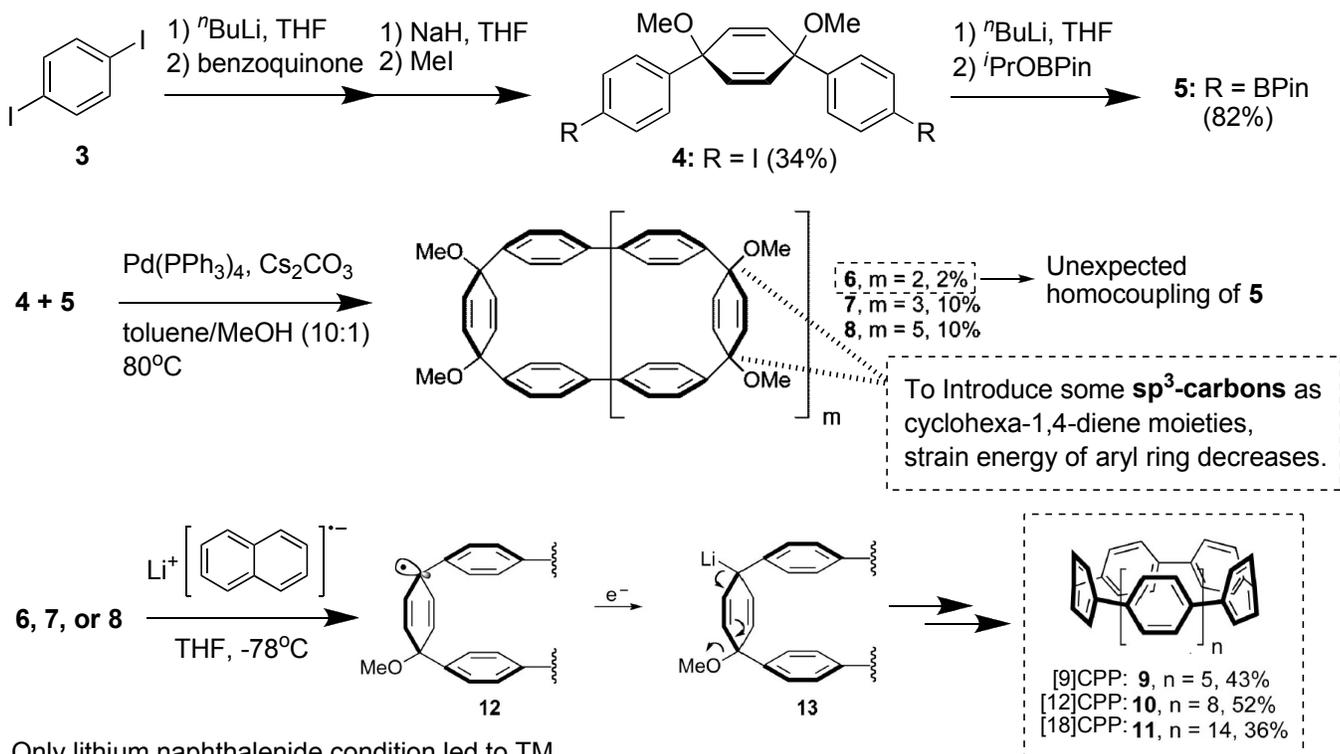
Itami K. *et al.* *ACIE* **2009**, *48*, 6112

Itami K. *et al.* *Org. Lett.* **2010**, *12*, 2262

Key point: How to overcome such a big strain energy

## 2-2. Synthesis of CPP ~ Prof. Bertozzi's work

**The first report of CPP synthesis** Bertozzi C. R. *et al.* *J. Am. Chem. Soc.* **2008**, *130*, 17646



Only lithium naphthalenide condition led to TM.

(Nucleophilic hydride source, Tin(II), and low-valent titanium didn't give good result.)

Structures were determined by  $^1\text{H-NMR}$ ,  $^{13}\text{C-NMR}$ , IR, and MALDI-TOF-MS.

### Summary of Bertozzi's work

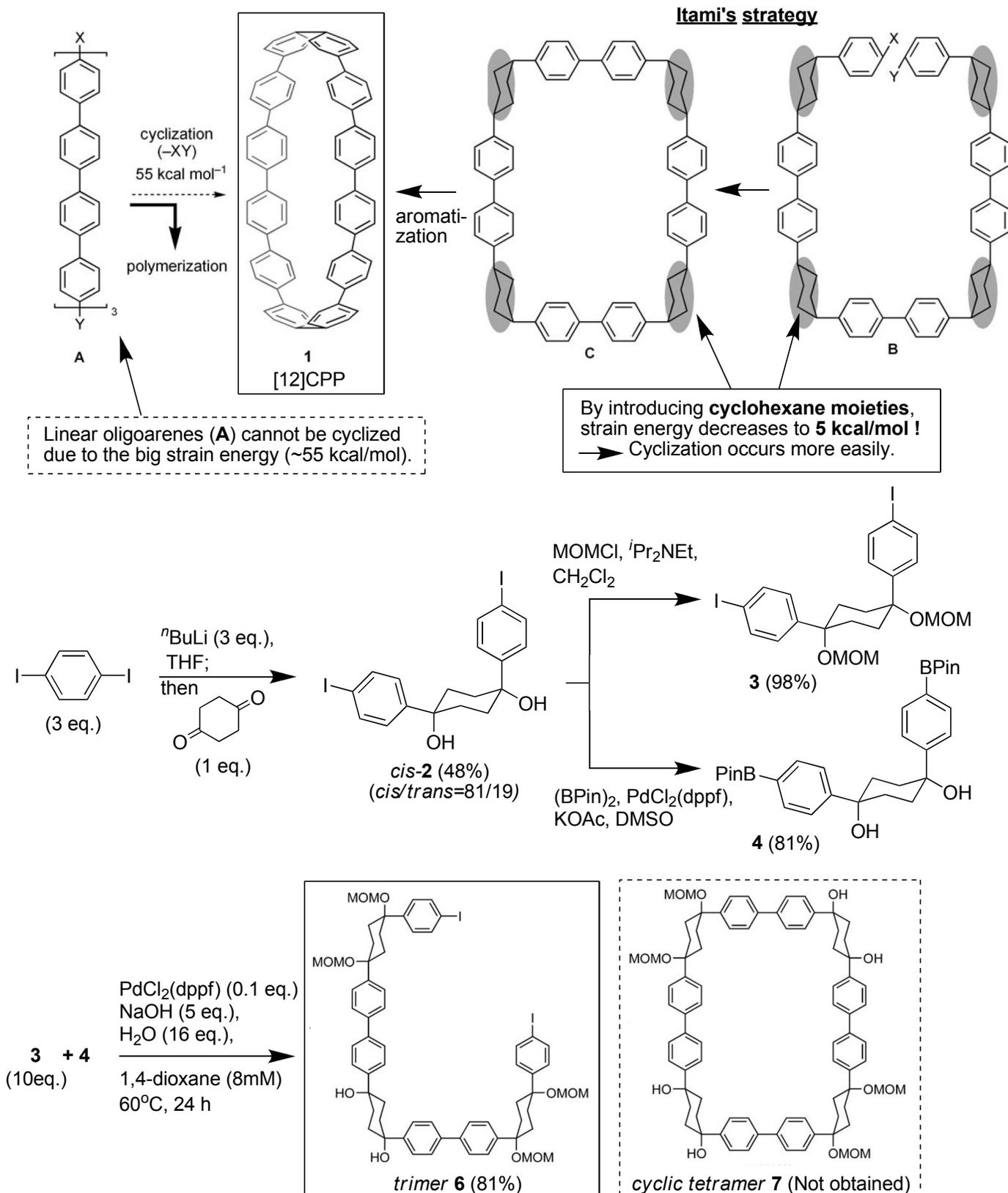
First report of CPP synthesis (0.2 ~ 1.4% overall yield for 5 steps)

Introduced  $\text{sp}^3$ -carbons to decrease the strain energy

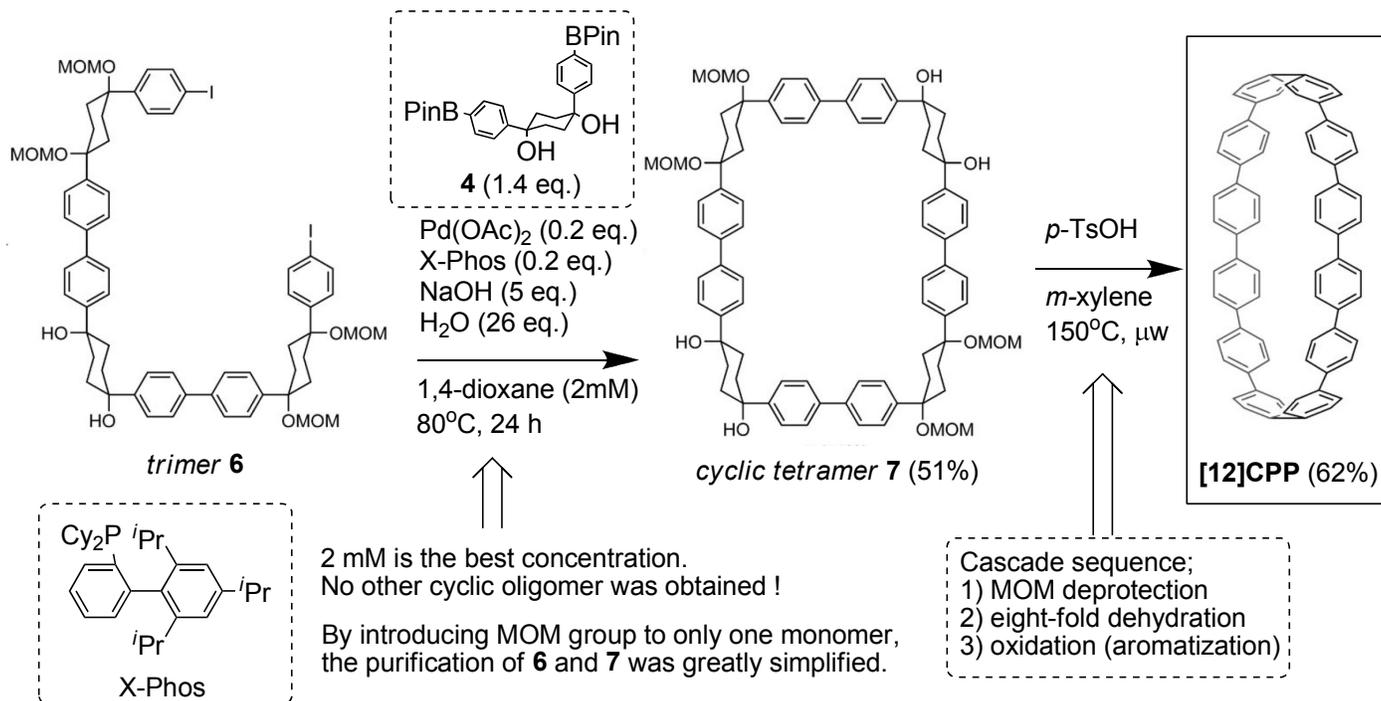
Macrocyclization step is not so satisfying (low yield, no selectivity).

## 2-3. Synthesis of CPP ~ Prof. Itami's work

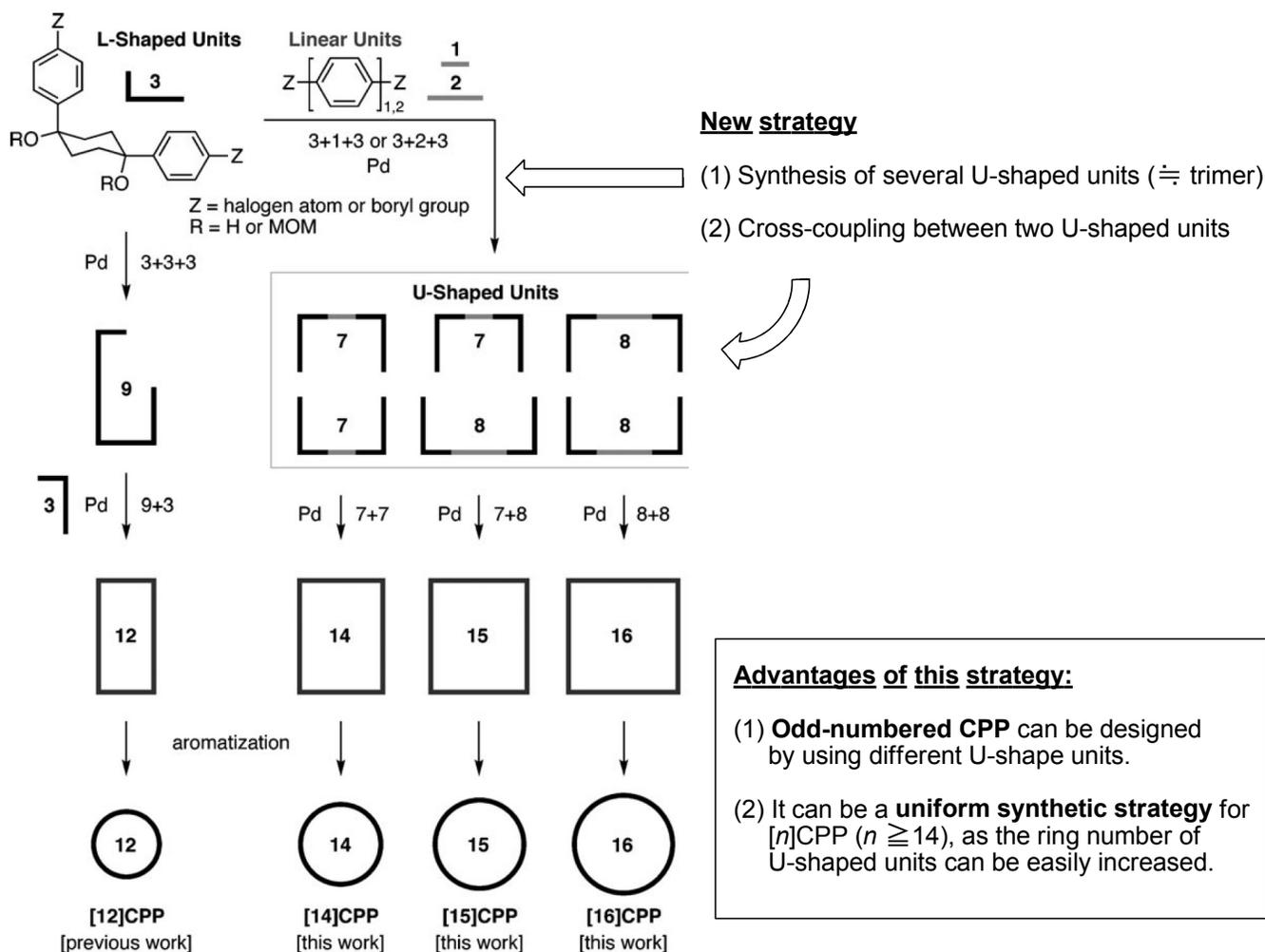
(1) **Selective synthesis of [12]CPP** Itami K. et al. *Angew. Chem. Int. Ed.* 2009, 48, 6112

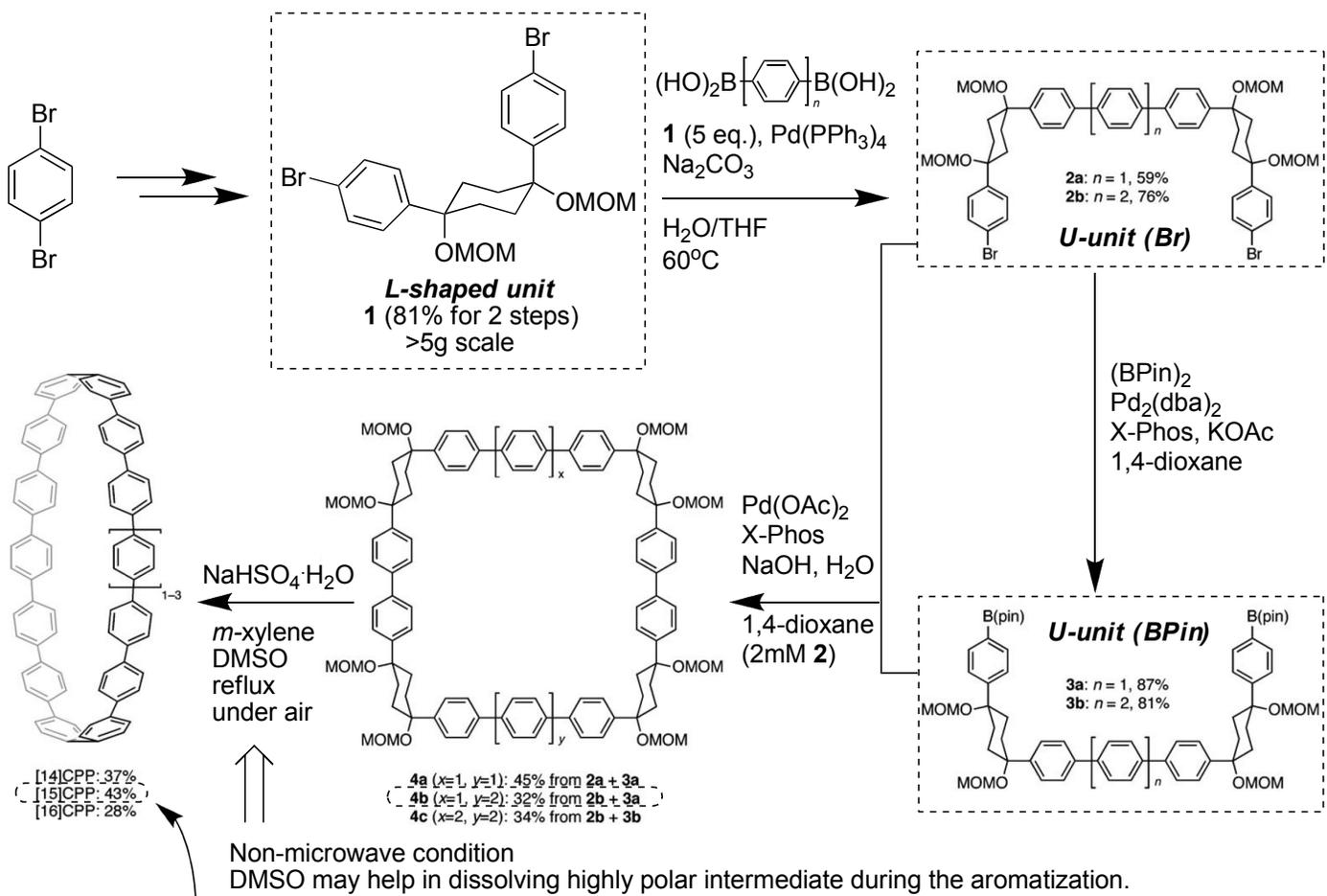


Despite the screening of conditions, their first target (cyclic tetramer **7**) was not obtained in one pot.



**(2) Selective synthesis of [14], [15], [16]CPP** Itami K. *et al. Angew. Chem. Int. Ed.* **2010**, *49*, 10202



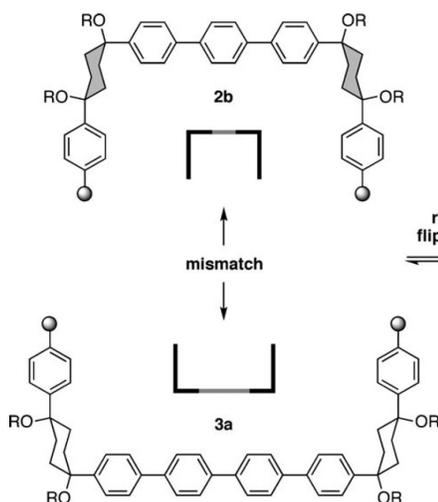


### First selective synthesis of odd-numbered CPP

#### Why does hetero-size coupling occur ?

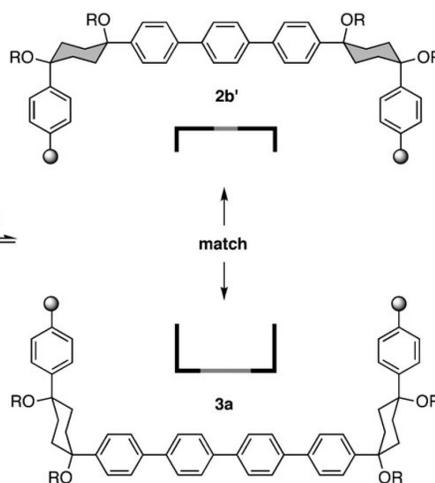
"Arch widths" of U-shaped units are different.

→ Coupling does not occur.

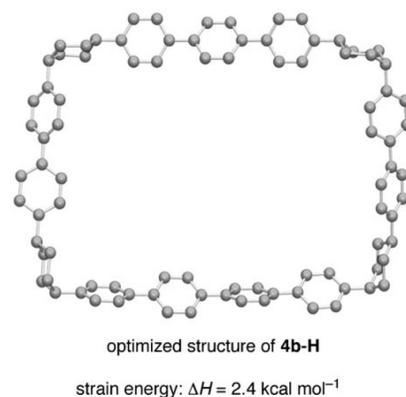


After chair-flipping of one unit, "arch widths" of two units nicely match.

→ "Hetero-size" coupling does occur !



DFT calculation of **4b** implies the optimized structure matches well with the chair-flipped model.



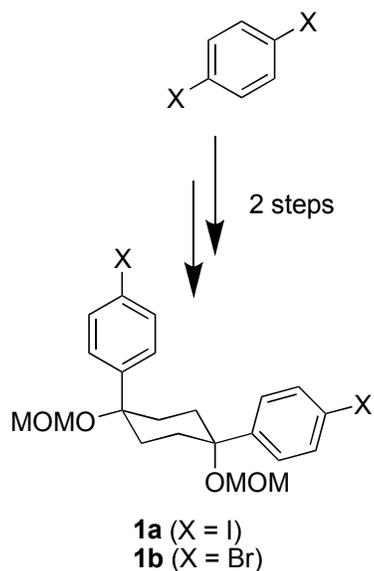
### (3) Concise synthesis of [12]CPP

 Itami K. *et al.* *Angew. Chem. Int. Ed.* **2011**, *50*, 3244

Problems of previous method: (1) Need of 2 monomers  
(2) Stepwise macrocyclization  
(3) Use of expensive Pd catalyst  
(4) Low yield (4-10% overall)

As a solution

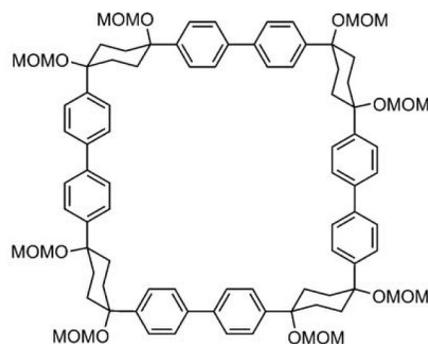
(1) Need of **single monomer**  
(2) **1-step** macrocyclization  
(3) Use of **Ni** instead of Pd  
(4) Higher overall yield



**1-step cyclization  
from single monomer**

Ni(cod)<sub>2</sub> (2.0 eq.)  
2,2'-bipyridyl (0.5 eq.)  
COD (only for **1a**, 1.7 eq.)

THF (16 mM), 60°C

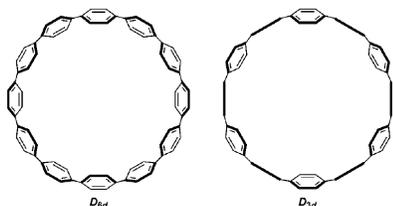


>5g **2**

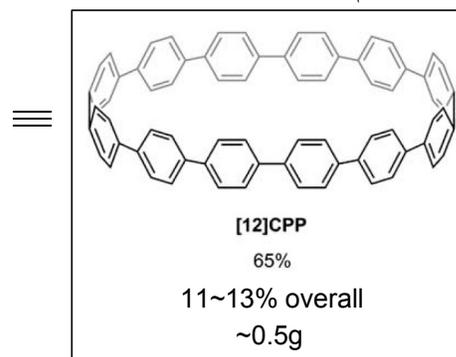
27% (from **1a**)  
22% (from **1b**)

NaHSO<sub>4</sub>·H<sub>2</sub>O  
*m*-xylene/DMSO  
reflux under air

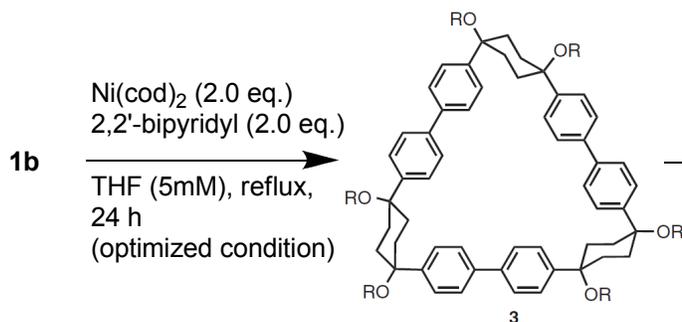
**First X-ray crystal structure  
of [12]CPP·(Cy)<sub>2</sub>**  
from CHCl<sub>3</sub>/cyclohexane



This x-ray structure is closer to *D*<sub>3d</sub> form which has higher energy (by 3.7 kcal/mol) than most stable *D*<sub>6d</sub> form, indicated by DFT calculation.



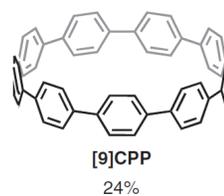
In the macrocyclization step, cyclic trimer (**3**) was also obtained, which can be transformed to [9]CPP.



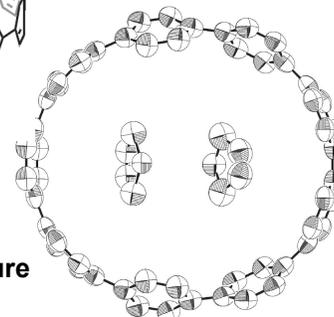
R = MOM

**3** (32%), **2** (23%)

Itami K. *et al.* *Chem. Lett.* **2011**, *40*, 423

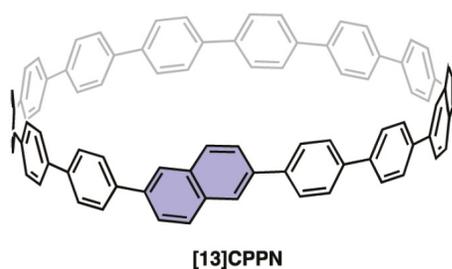


**X-ray crystal structure  
of [9]CPP·(thf)<sub>2</sub>**



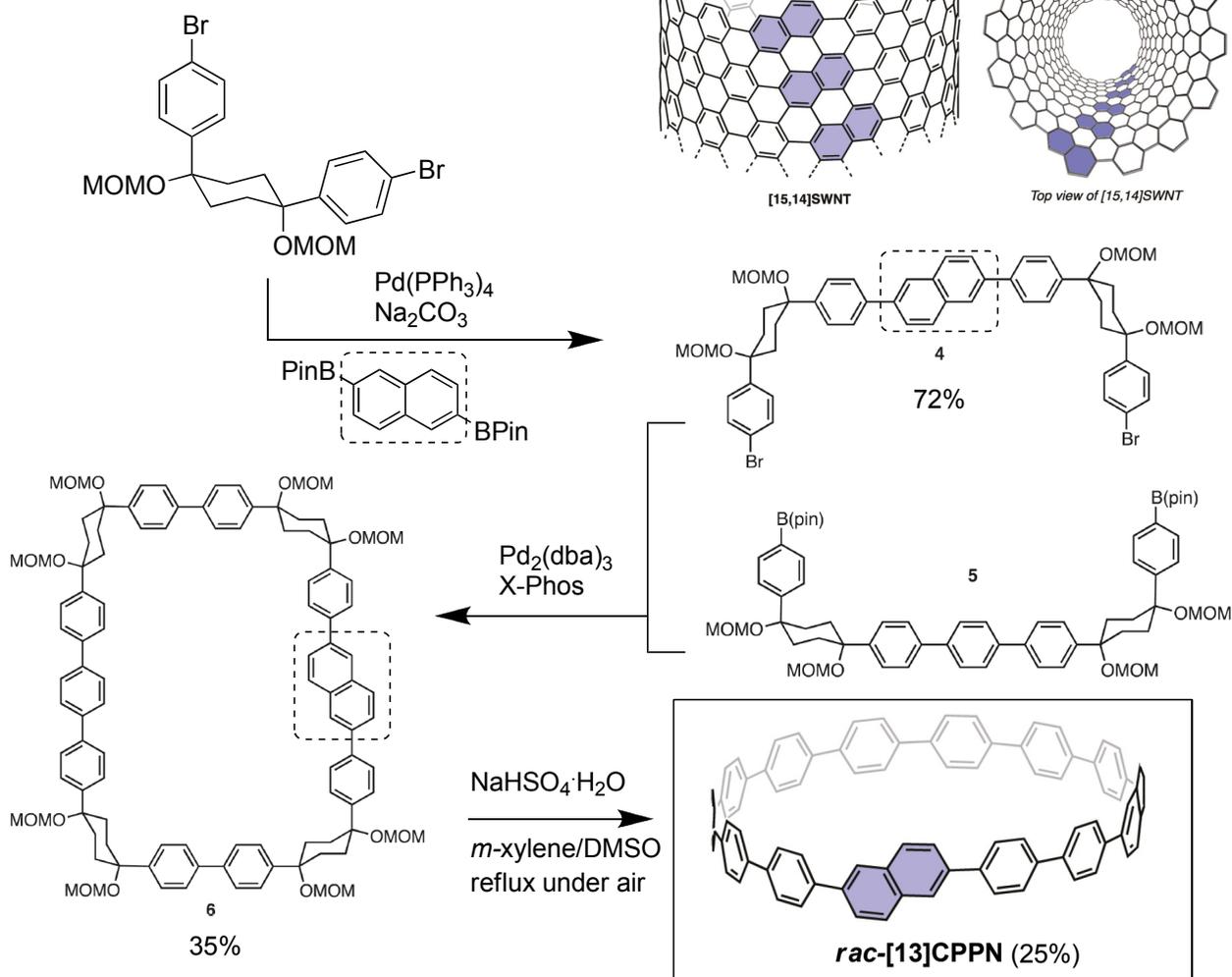
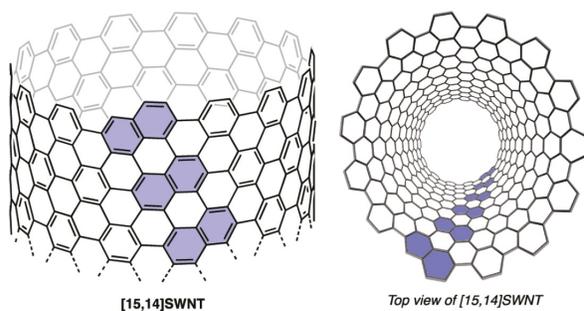
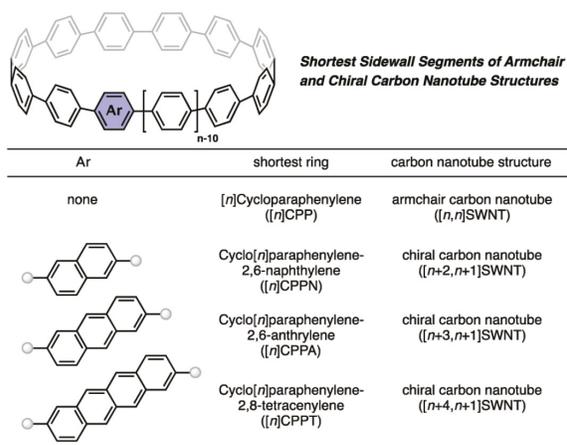
#### (4) Synthesis of [13]CPPN

Itami K. *et al. Org. Lett.* 2011, 13, 2480



CPPN is a potential template of an  $[n+2, n+1]$ SWNT (chiral CN).

They supposed their synthetic methodology of CPP can be applied to CPPN synthesis.



According to DFT calculation, racemization energy of [13]CPPN is only 8.4 kcal/mol.

In order to achieve enantioselective synthesis of CPPN, new strategies must be required to avoid racemization.

#### Summary of Itami's work

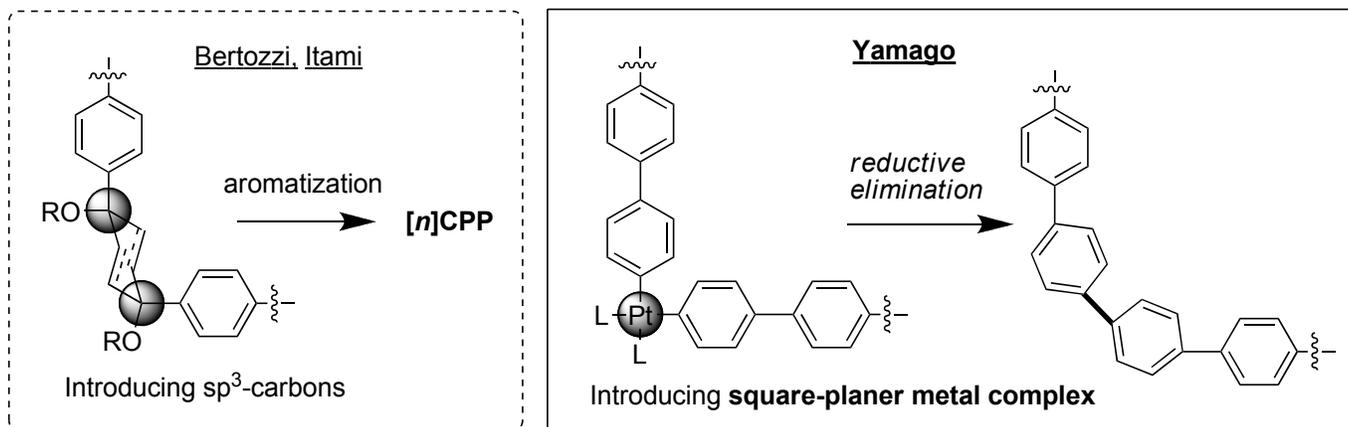
4~13% overall yield. Introducing  $\text{sp}^3$ -carbons like Bertozzi's case

**Selective synthesis** for  $n = 12$ , **uniform strategy** for  $n \geq 14$  (14, 15, 16 were reported)

Catalytic Pd or stoichiometric Ni (only for  $n = 12$ )

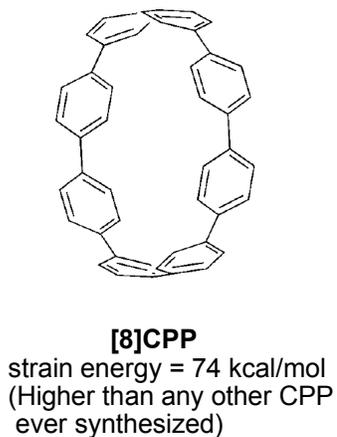
Crystal structure of [12] and [9]CPP

## 2-4. Synthesis of CPP ~ Prof. Yamago's work

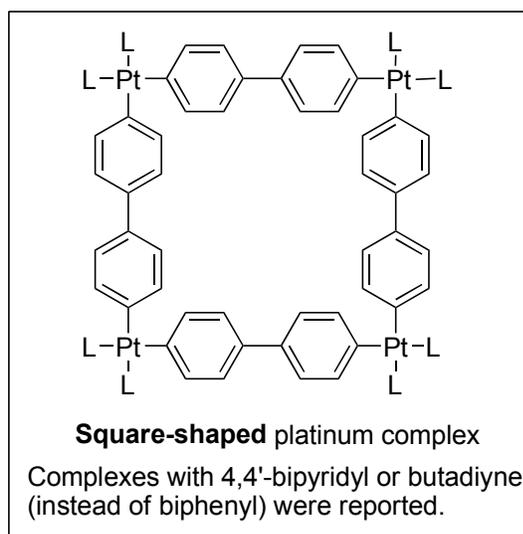


### (1) Synthesis of [8], [12]CPP

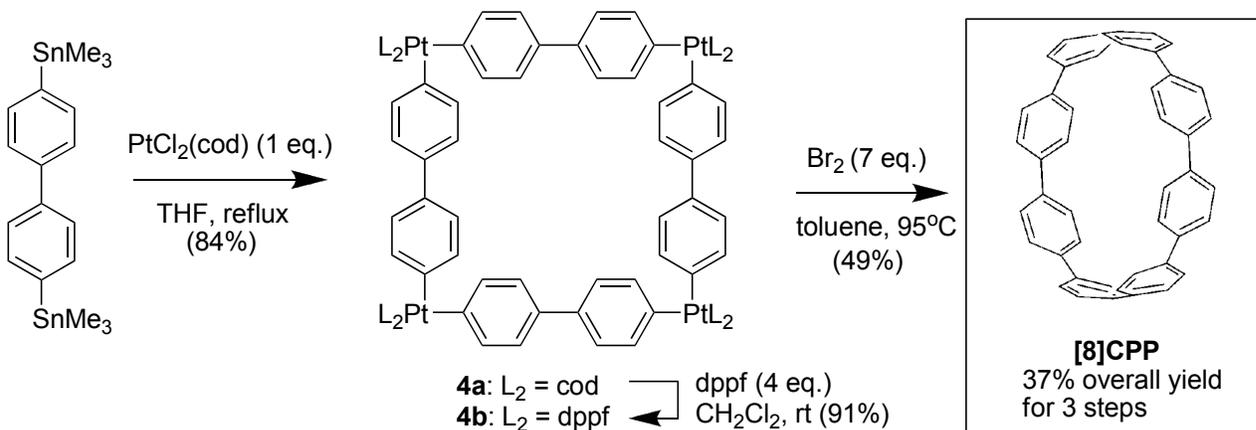
Yamago S. *et al. Angew. Chem. Int. Ed.* **2010**, 49, 757  
 Yamago S. *et al. J. Am. Chem. Soc.* **2011**, 133, 8354



reductive elimination  
 →



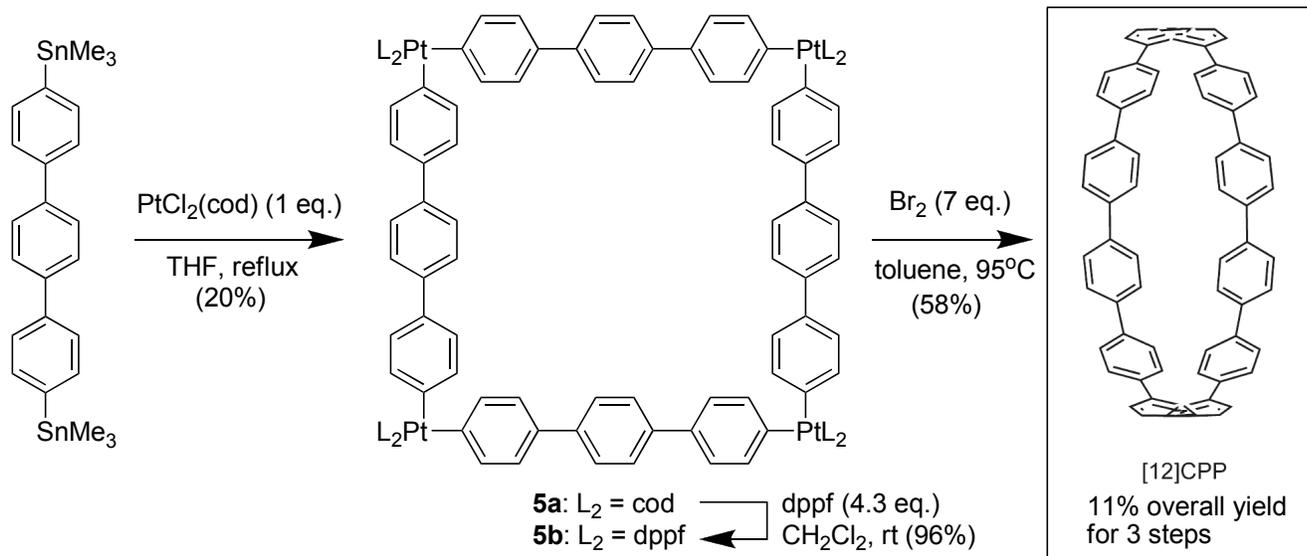
Same strategy has already been utilized for [n]cyclothiophenes synthesis.  
 (But cyclothiophenes are almost planer molecules) *Chem. Commun.* **2003**, 948



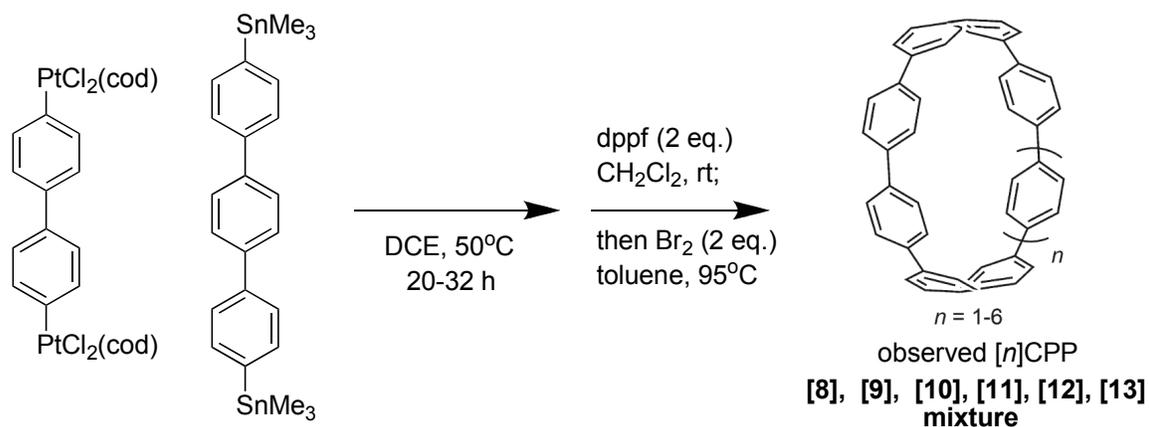
**Ligand effect:** dppe, dppp, and xantphos gave only <2% [8]CPP,

even though the corresponding platinum phosphine complexes were obtained.

**Reductive elimination:** addition of iodine, triphenyl phosphine or additive-free condition didn't lead to good result.



## (2) Random synthesis of $[n]$ CPP ( $n = 8 \sim 13$ )



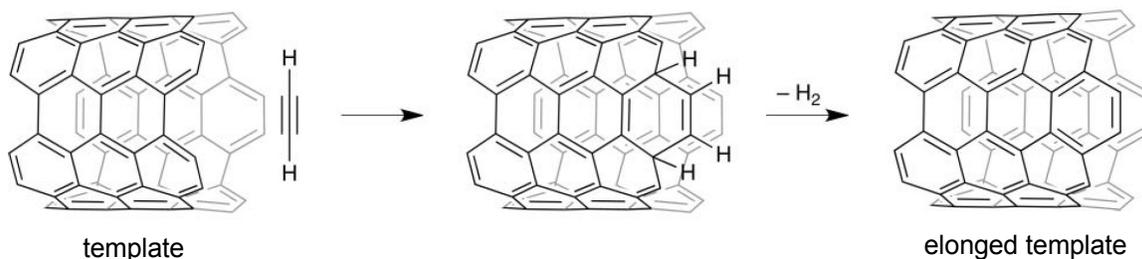
They first expected the selective synthesis of [10]CPP, but as a result mixture of six  $[n]$ CPPs were obtained. This must be because the equilibrium during transmetalation and/or ligand exchange reaction.

### Summary of Yamago's work

~ 37% overall yield (**highest**) for 3 steps (**shortest**)  
 Using **square-planer metal complex** to reduce the strain effect  
**Selective synthesis** for only **[4m]CPP** ( $m = 2, 3$  are reported.)  
**Smallest numbered  $[n]$ CPP synthesis** ([8]CPP)

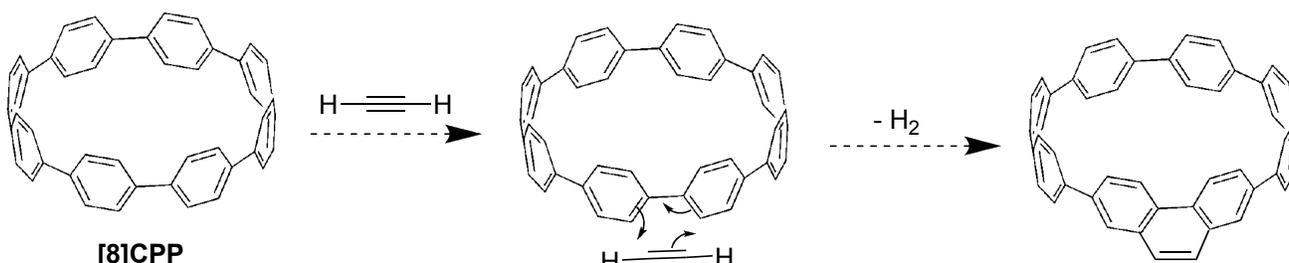
## 2-5. Elongation ~ Diels-Alder strategy

Bertozzi C. R. *et al. Chem. Phys. Lett.* **2010**, 494, 1  
 Scott L. T. *et al. Polycycl. Aromat. Comp.* **2010**, 30, 247



As additional carbon sources, acetylene (or its derivative) is used.  
 Repetitive 2-step cascade (bay region Diels-Alder and dehydrogenation) will lead to armchair (or chiral) CNs.

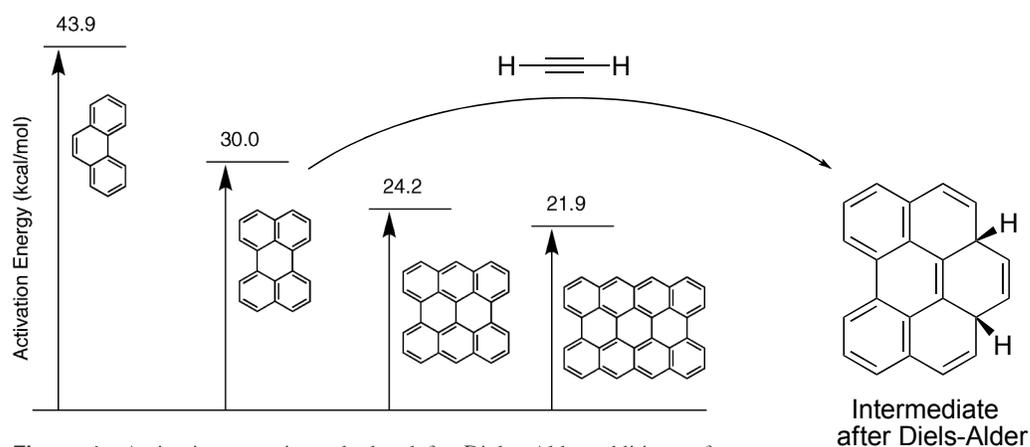
**Advantages:**  $[n]$ CPP or its derivatives are possible candidates of this substrate.  
 1 mg of a template grown to 1 mm long CNs would produce over 1 ton of CNs.  
 Ideally, no oxidant is required for dehydrogenation step because it's highly exothermic.



**[8]CPP**  
**Disadvantages:** Zigzag nanotubes cannot be constructed.  
 Both acetylene and poryarene are not so reactive for Diels-Alder reaction.

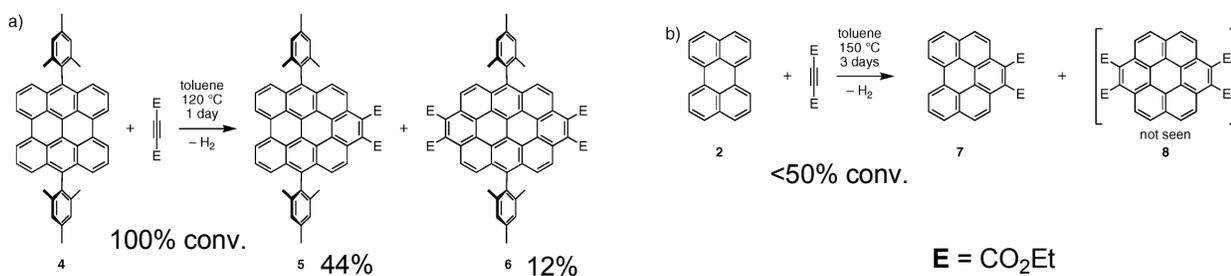
## Reactivity of polyarenes

Scott L. T. *et al. J. Am. Chem. Soc.* **2009**, 131, 16006



**Figure 1.** Activation energies calculated for Diels–Alder additions of acetylene to aromatic hydrocarbon bay regions (B3LYP/6-31G\*).

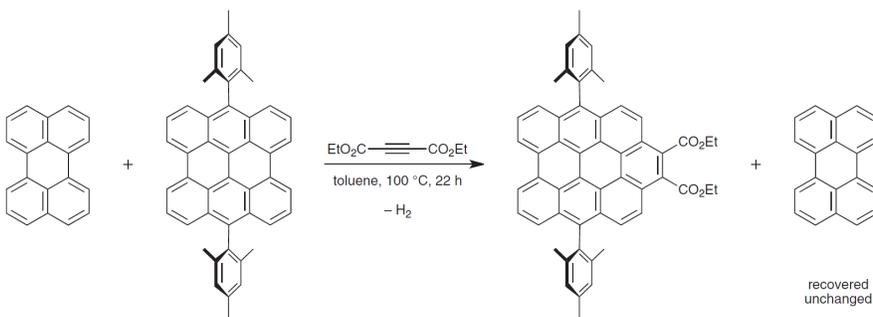
They expected the  $[4+2]$  reactivity of polyarenes are related to **how low the activation energy is**.  
 As the number of benzene rings is increased, aromaticity is not so decreased even in the intermediate.



**E = CO<sub>2</sub>Et**

Mesityl group is introduced both to avoid the internal cycloaddition and to increase the solubility.

It is confirmed **4** is much reactive for bay region Diels-Alder than **2**.

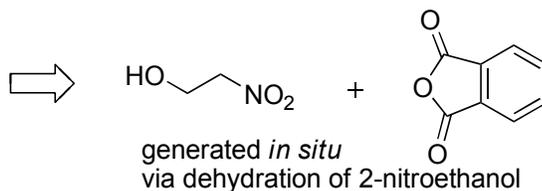


**Promising example using nitroethylene** Scott L. T. *et al. Angew. Chem. Int. Ed.* **2010**, 49, 6626

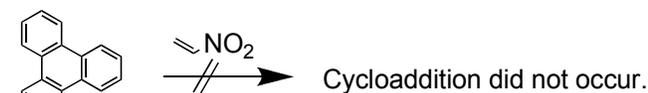
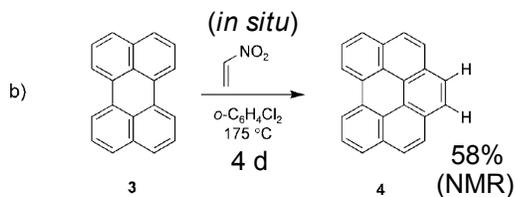
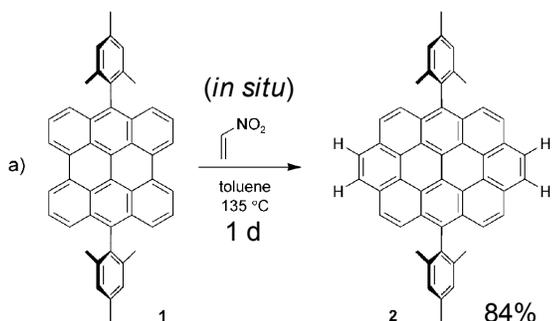
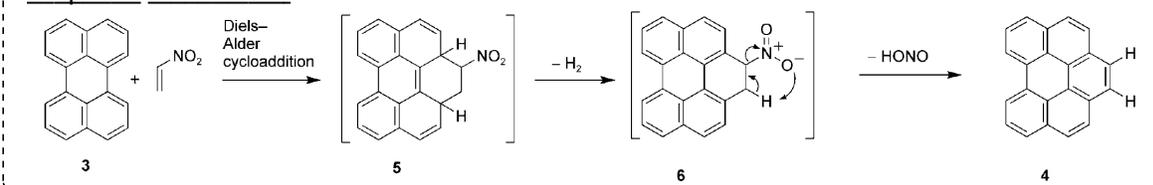
In order to achieve cycloaddition/rearomatization sequence...

H-C≡C-H Poorly reactive

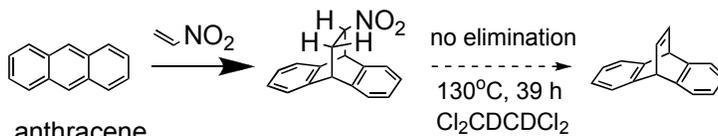
EtO<sub>2</sub>C-C≡C-CO<sub>2</sub>Et Reactive but need decarboxylation



**Proposed mechanism:**



Reactivity: **1** > **3** > triphenylene



HONO elimination requires nitrogroup on benzylic position.

The author says, "Diels-Alder cycloadditions of CPP are expected to be **difficult**" due to the strain effect and electronic consideration.

### **3. Summary**

#### **Introduction ~ suffering problems:**

Carbon nanotubes have a lot of interesting potentials such as unique electrical and physical properties. Numerous kinds of industrial applications are being developed today.

A problematic point lies in the absence of methodology to obtain chirality-pure carbon nanotubes, and "bottom-up" organic synthesis approach may become one of the solutions for this point.

#### **Template synthesis:**

Three groups have reported the synthesis of cycloparaphenylene (CPP).

They utilized either introduction of  $sp^3$ -carbons or square-planer metal complex as an intermediate, in order to reduce the strain energy.

They could synthesize  $[n]CPP$  ( $n \geq 8$ ), but there has been no success for much smaller CPP synthesis.

Perhaps, different methodologies should be preferred for narrower carbon nanotube synthesis.

#### **Elongation methods:**

Diels-Alder strategy seems to be promising, but no successful data were obtained in the case of cycloarene.

Problems lie in poor reactivity and strain effect of CPP.

Higher consideration must be required to achieve the great goal of carbon nanotube synthesis.