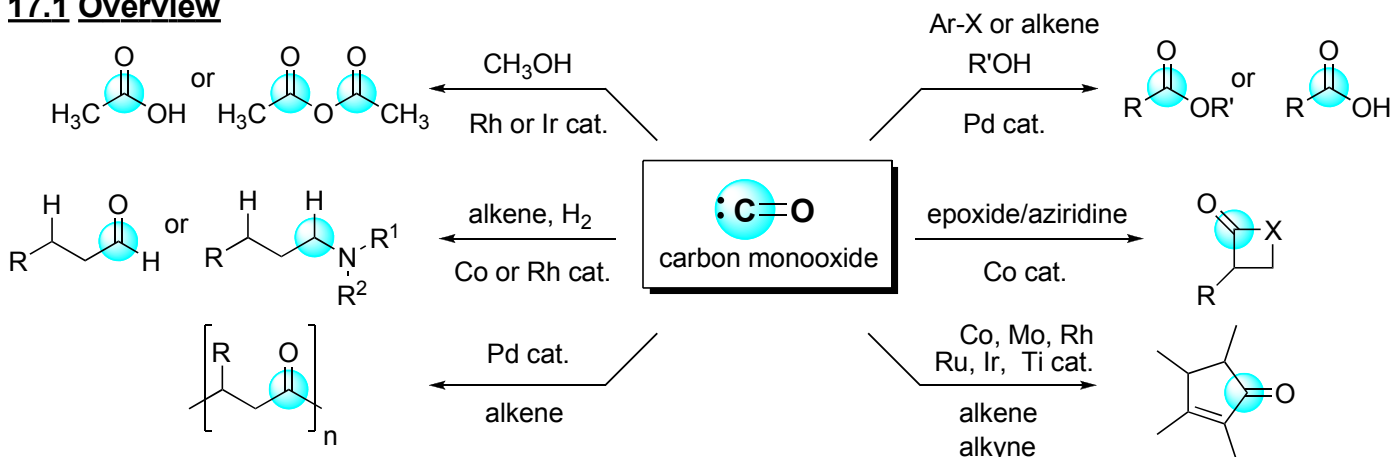


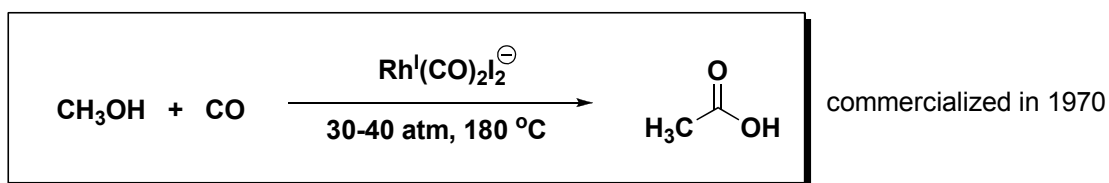
Chapter 17. Catalytic Carbonylation

17.1 Overview

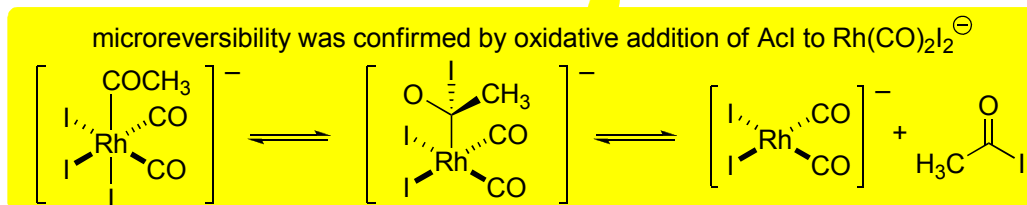
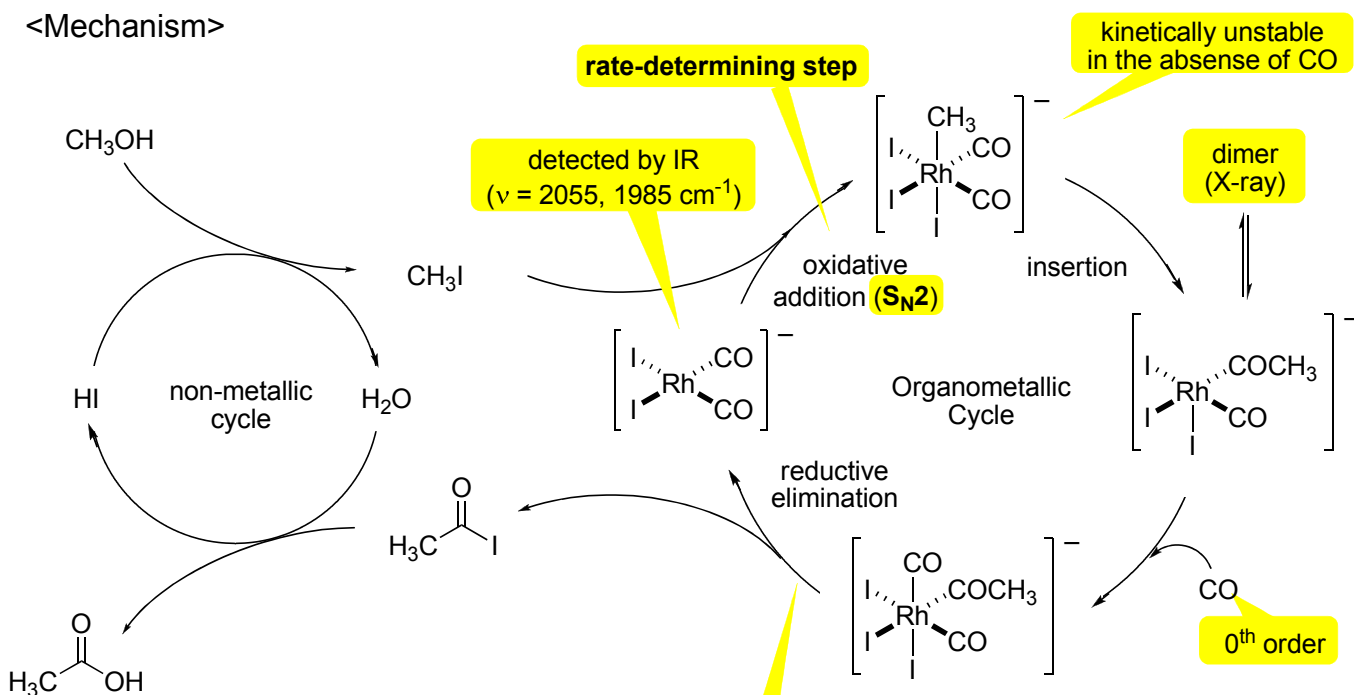


17.2. Carbonylation to Produce Acetic Acid

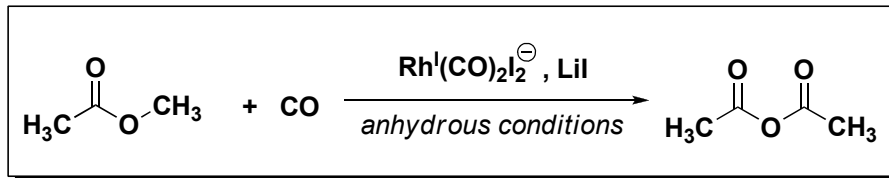
17.2.1. Rh-catalyzed carbonylation of MeOH to produce AcOH (Monsanto Process)



<Mechanism>

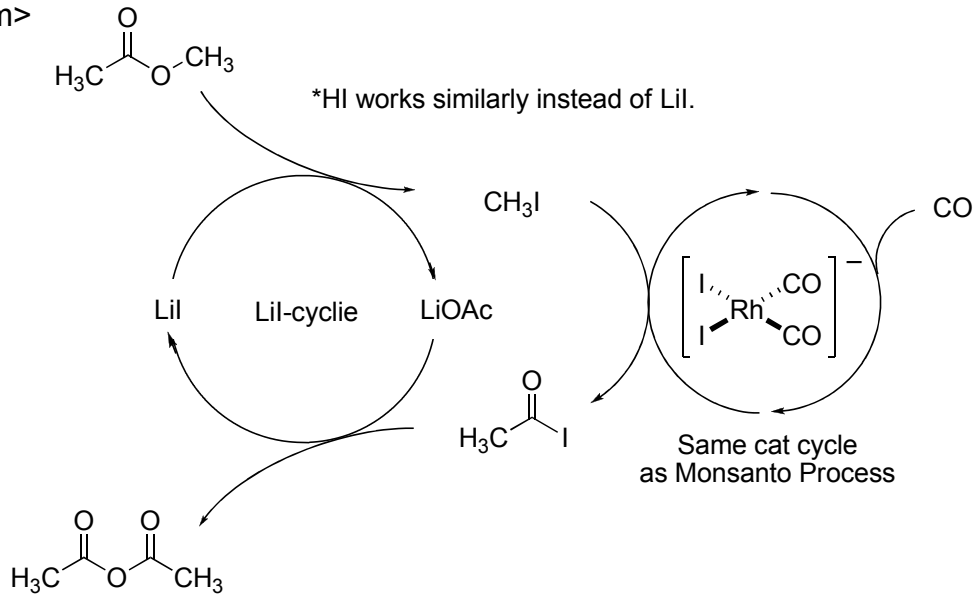


### 17.2.2. Rh-catalyzed carbonylation of MeOAc to produce Ac<sub>2</sub>O (Eastman Process)

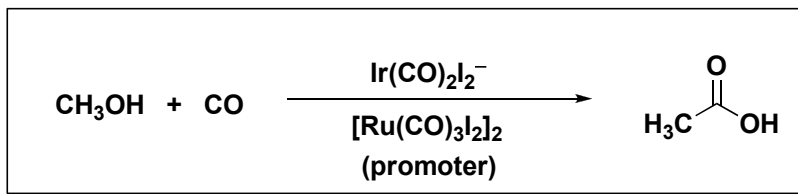


commercialized in 1983

<Mechanism>



### 17.2.3. Ir-catalyzed carbonylation of MeOH to produce AcOH (Cativa Process)



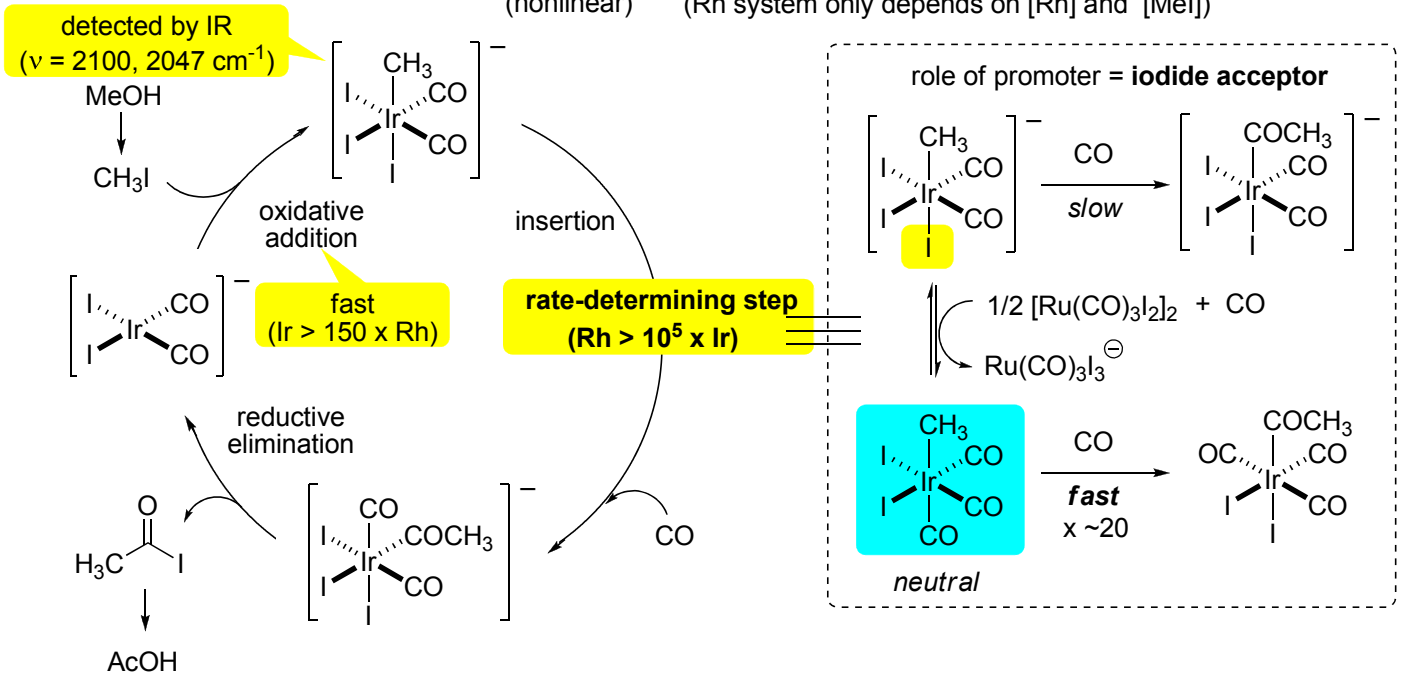
established in 1990s

- # 5 times more active
- # more H<sub>2</sub>O tolerant
- # more soluble catalyst
- # less expensive metal

than Rh

<Mechanism>

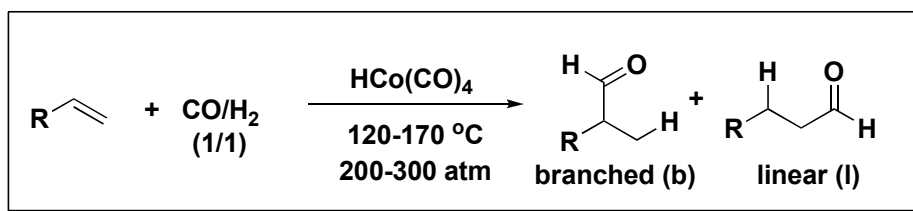
the rate depends on [CO], [H<sub>2</sub>O], [MeOAc], [MeI], [Ru promoter], [Ir] (nonlinear) (Rh system only depends on [Rh] and [MeI])



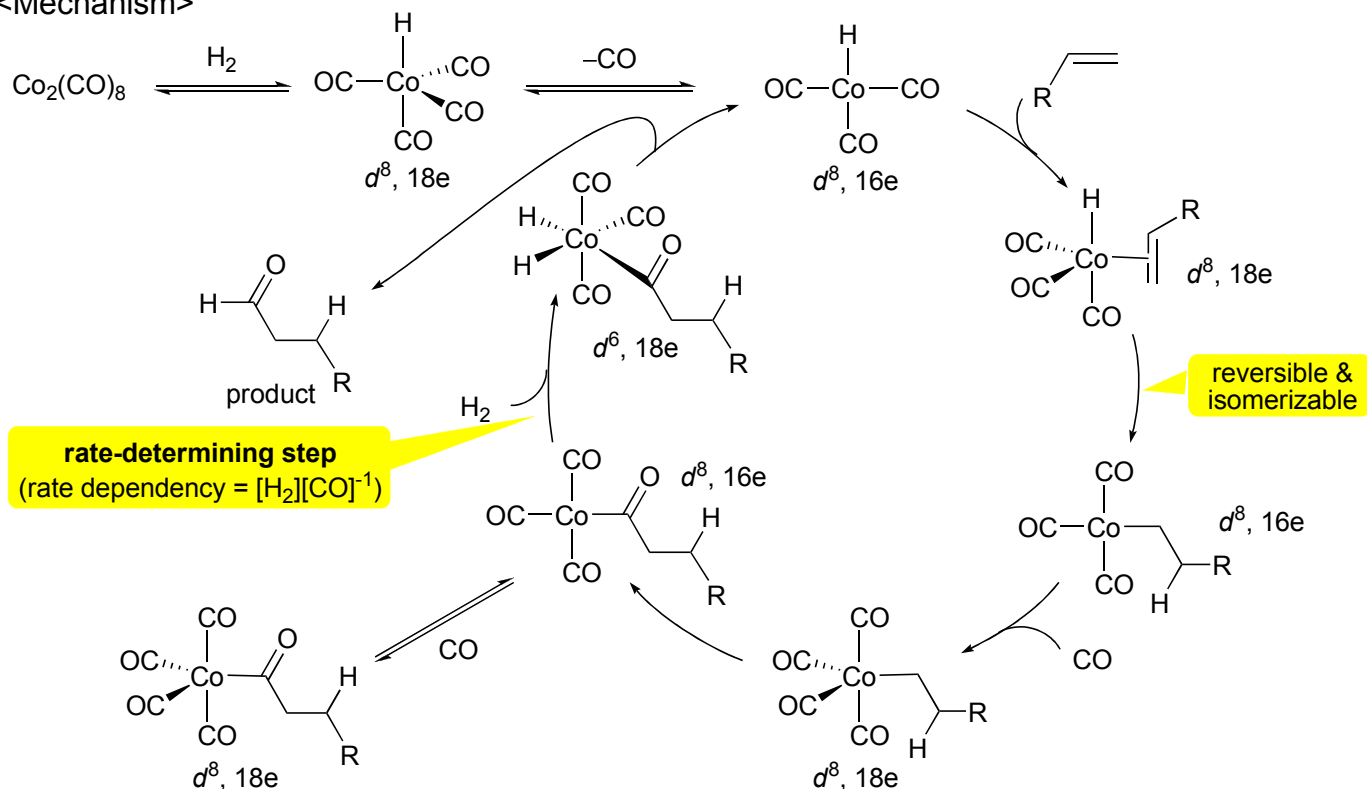
## 17.3. Hydroformylation

### 17.3.1. Overview

### 17.3.2. $\text{HCo}(\text{CO})_4$ catalysis (Oxo process)

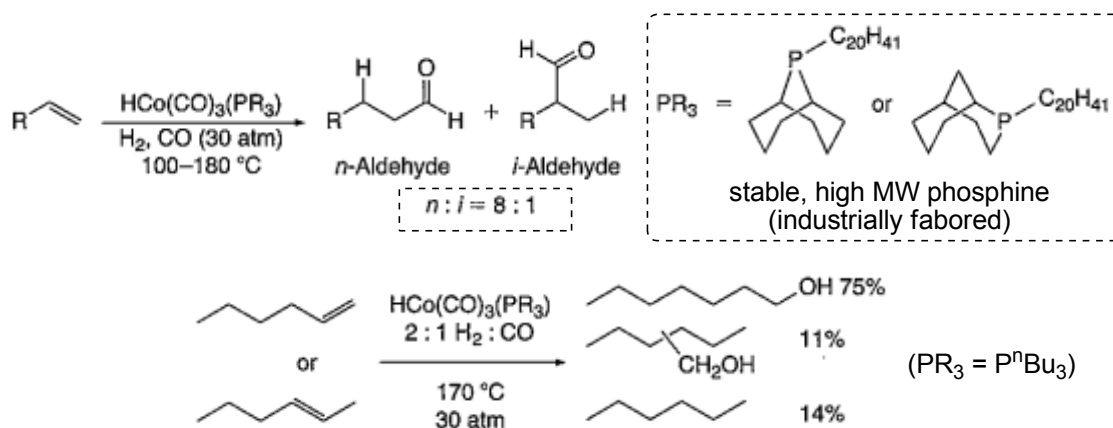


<Mechanism>



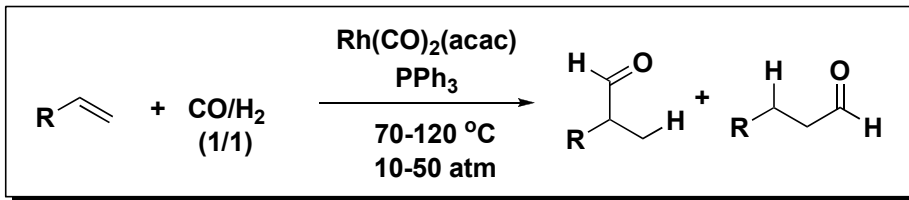
- # high pressure of CO is required to suppress cat decomp (formation of Co cluster or metallic Co)
- # all reactions have the potential to be reversible
- # l/b ratio = 3~4 : 1 at best

### 17.3.3. $\text{HCo}(\text{CO})_3(\text{PR}_3)$ catalysis

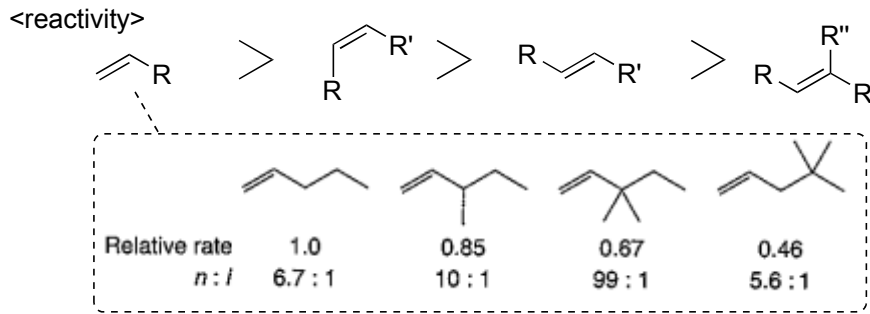


C=C isomerization is very fast.

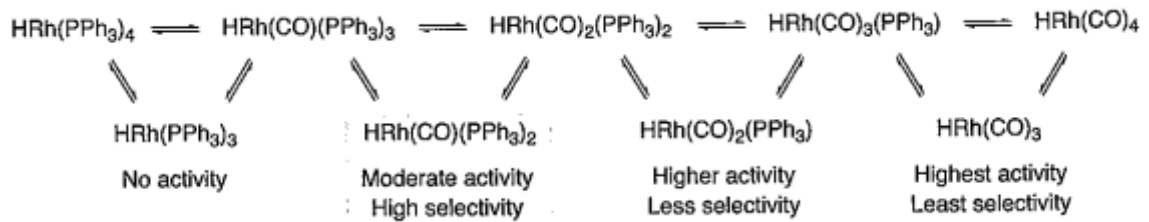
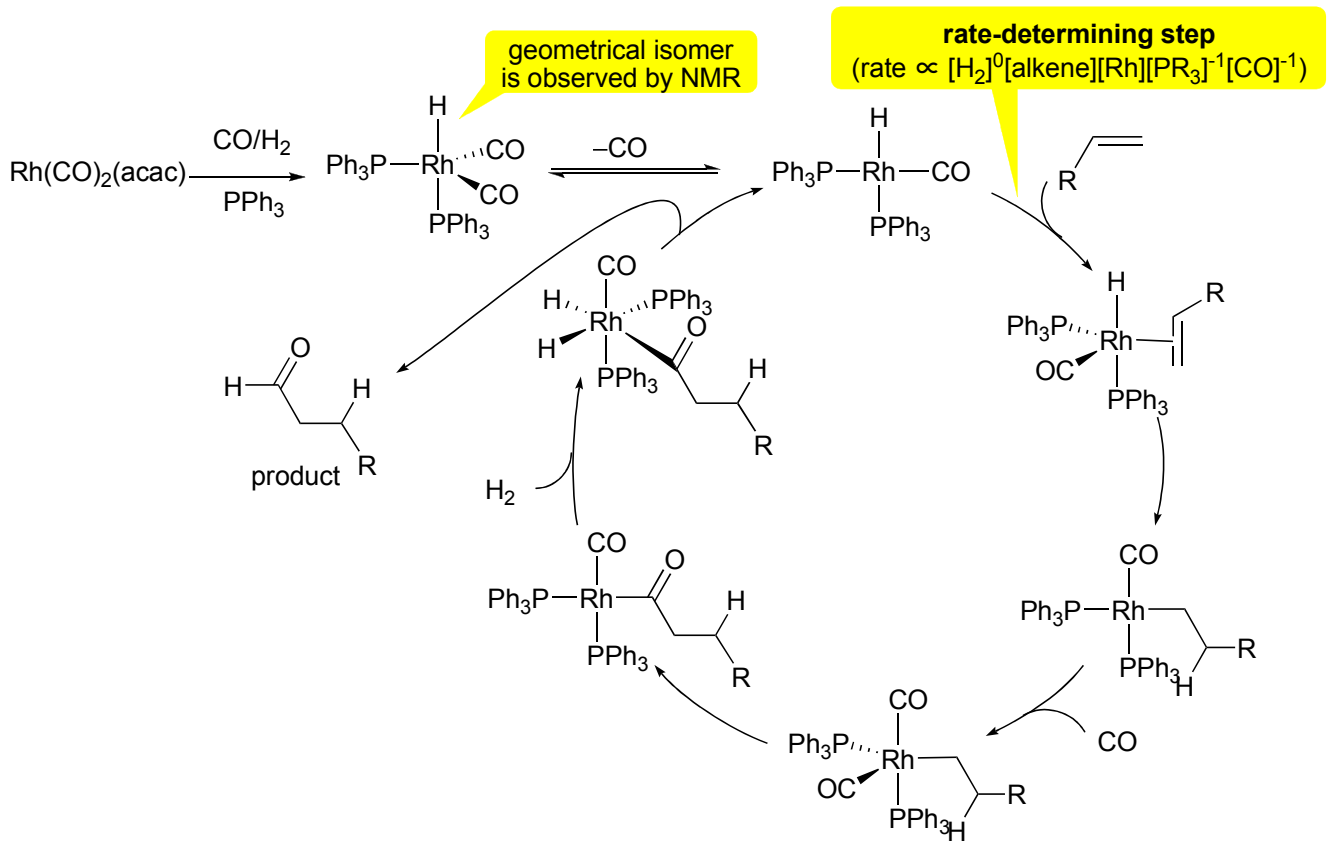
### 17.3.4. Rh catalysis



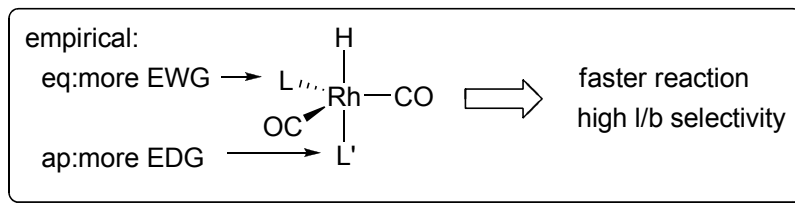
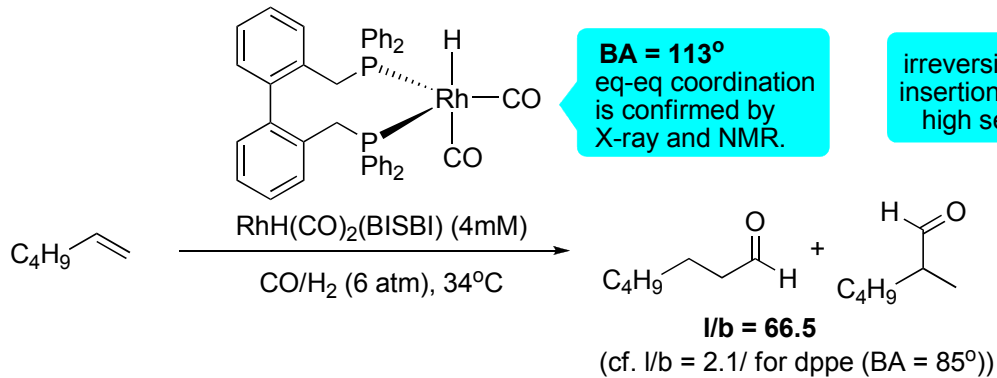
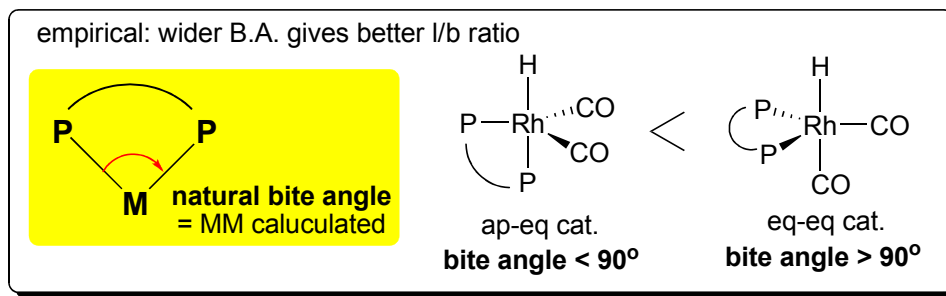
commercialized in 1970s  
 lower pressure  
 improved l/b  
 less byproduct  
 lab-scale application



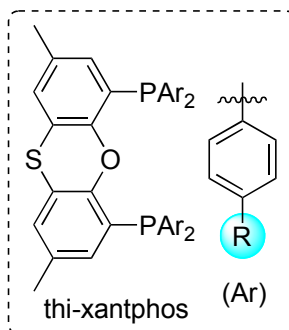
#### <Mechanism>



## <Phosphine Effect>



Piet W. N. M. van Leeuwen et al. *JACS* **1998**, *120*, 11616.



**Table 1.** Selected Data for Ligands 1–7

ligand	R	$\beta_n$ , <sup>a</sup> deg	flexibility range, <sup>a</sup> deg	$\chi_n$ , <sup>b</sup> cm <sup>-1</sup>	$\sigma_p$ <sup>c</sup>	% ee <sup>b</sup>
1	N(CH <sub>3</sub> ) <sub>2</sub>	109.1	92–124	1.8	-0.83	44–50
2	OCH <sub>3</sub>	106.9	91–123	3.4	-0.27	56–62
3	CH <sub>3</sub>	106.7	91–125	3.5	-0.17	63–69
4	H	106.4	91–127	4.3	0.00	69–75
5	F	106.6	92–128	5.0	0.06	76–83
6	Cl	107.8	91–126	5.6	0.23	81–88
7	CF <sub>3</sub>	109.3	92–128	6.6	0.54	89–96

similar B.A.

$$= \frac{[\text{eq-eq}] - [\text{ap-eq}]}{[\text{eq-eq}] + [\text{ap-eq}]}$$

of RhH(CO)<sub>2</sub>(L)

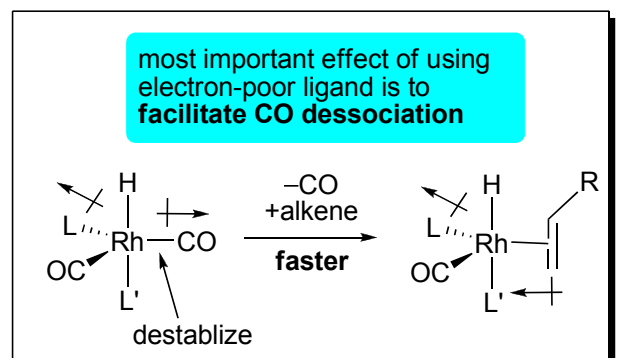
**bite angle does not always correlate.**

**Table 7.** Results of the Hydroformylation of 1-Octene at 80 °C<sup>a</sup>

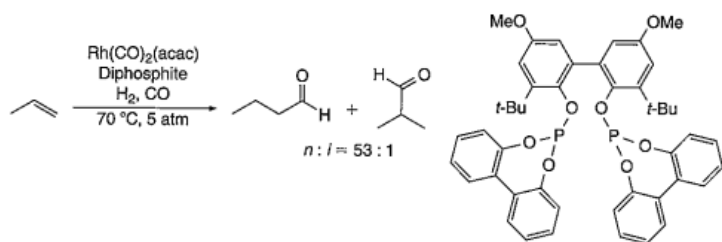
ligand	R	$\sigma_p$	I:b ratio <sup>b</sup>	% select <sup>b</sup>	% isomer <sup>b</sup>	tof <sup>b,c</sup>
1	N(CH <sub>3</sub> ) <sub>2</sub>	-0.83	44.6	93.1	4.8	28
2	OCH <sub>3</sub>	-0.27	36.9	92.1	5.3	45
3	CH <sub>3</sub>	-0.17	44.4	93.2	4.7	78
4	H	0.00	50.0	93.2	4.9	110
5	F	0.06	51.5	92.5	5.7	75
6	Cl	0.23	67.5	91.7	6.9	66
7	CF <sub>3</sub>	0.54	86.5	92.1	6.8	158

conditions:

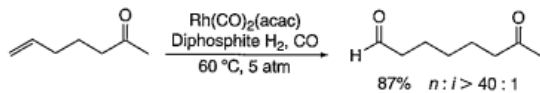
CO/H<sub>2</sub> = 1, P(CO/H<sub>2</sub>) = 20 bar, ligand/Rh = 5  
substrate/Rh = 637, [Rh] = 1.00 mM



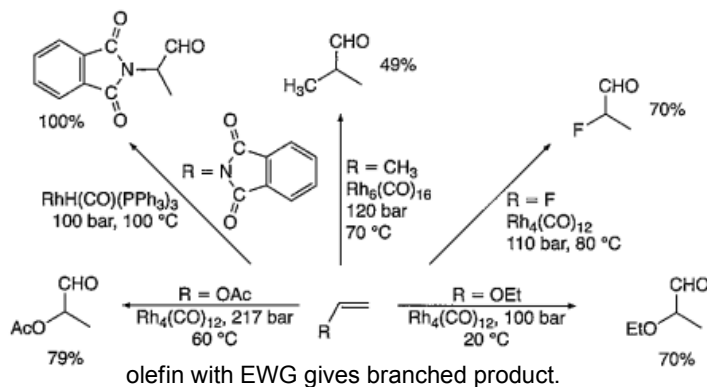
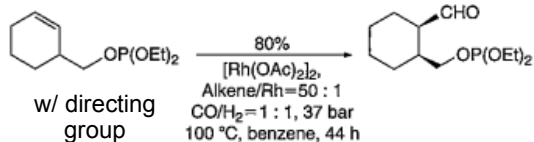
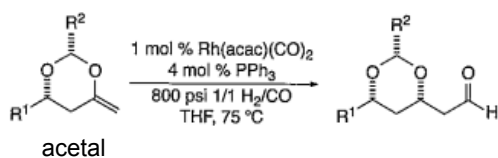
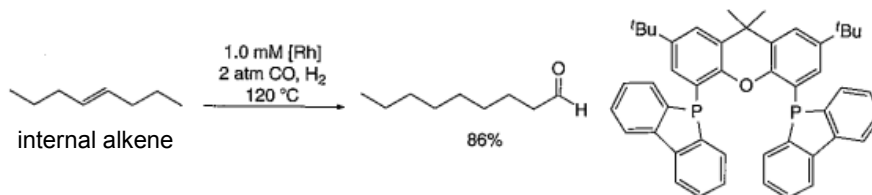
## <Phosphile Ligand>



# high *l/b* ratio  
 # faster reaction  
 # suppressed side reaction (hydrogenation)  
 than  $\text{PAr}_3$



## <Scope>



## <Enantioselective reaction>

### challenges

branched product should be selectively formed.  
 simple alkene's directing nature is small.  
 racemization must be suppressed.  
 chiral phosphine is far from reaction space.

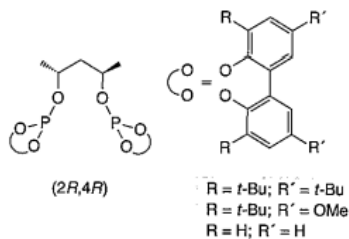
### scope with Rh-BINAPHOS

Substrate	Product	% ee
		66
		98.3
		90
		89.9
		88
		97
		68
		92
		90
		89 <sup>a</sup>

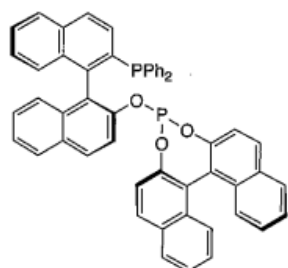
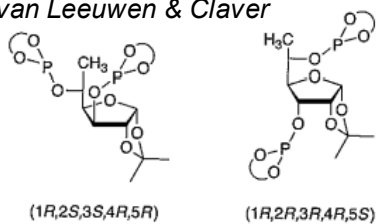
<sup>a</sup> Diastereomeric excess.

### successful ligand families

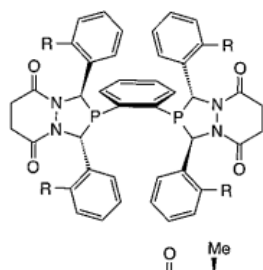
#### Babin & Whiteker



#### van Leeuwen & Claver

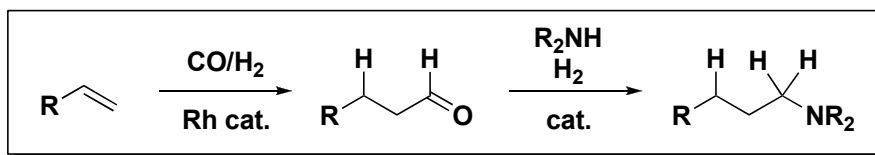


Nozaki & Takaya

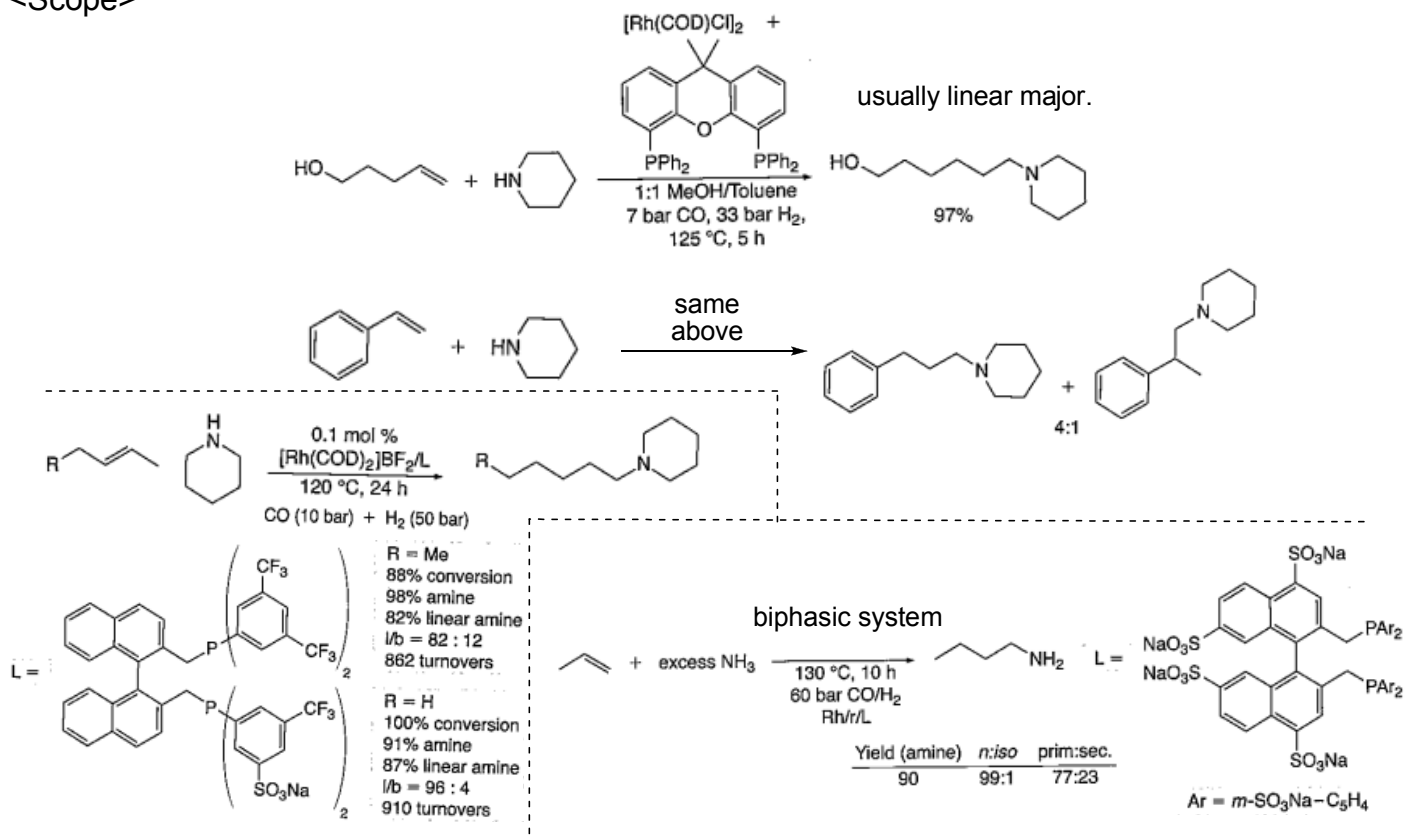


Landis

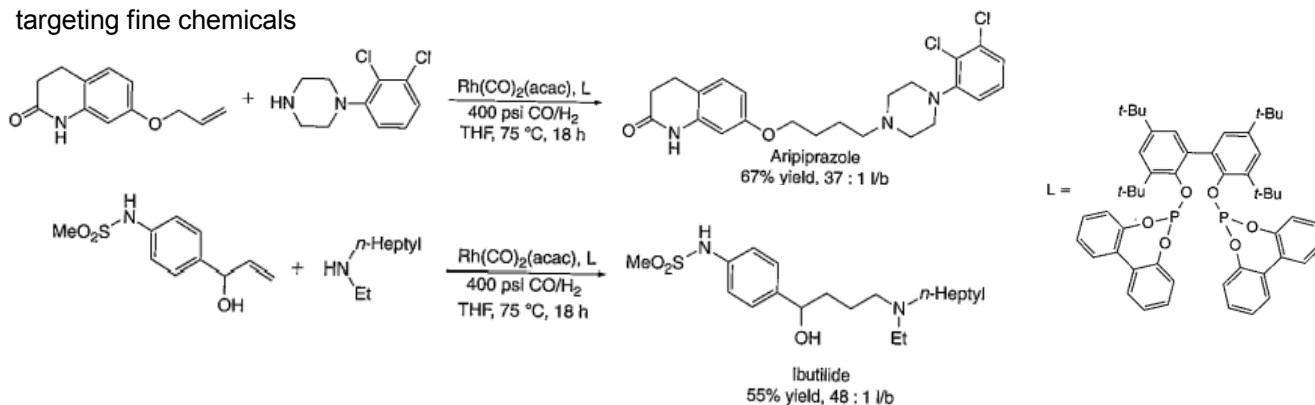
## 17.4. Hydroaminomethylation



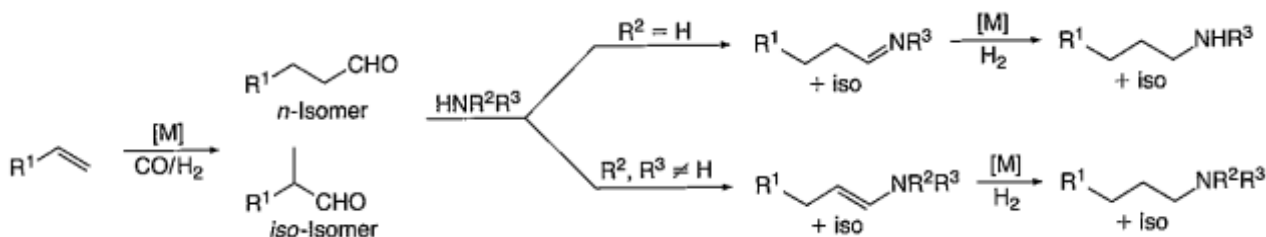
<Scope>



targeting fine chemicals



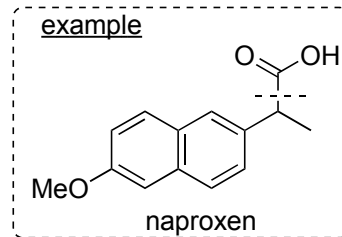
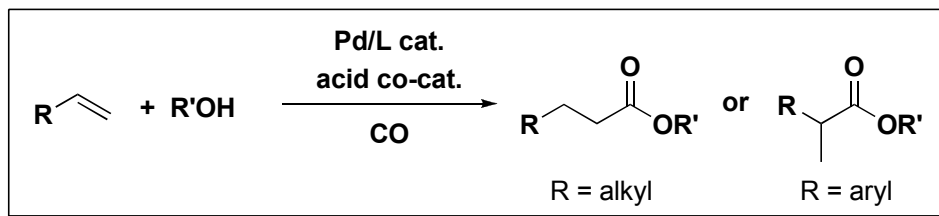
<Mechanism>



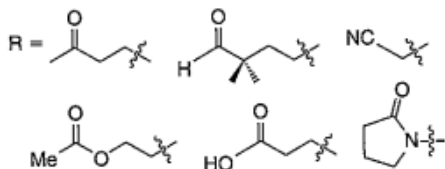
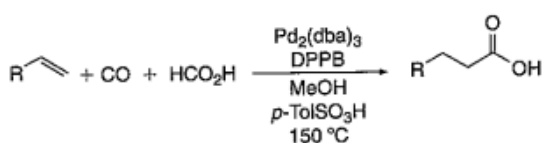
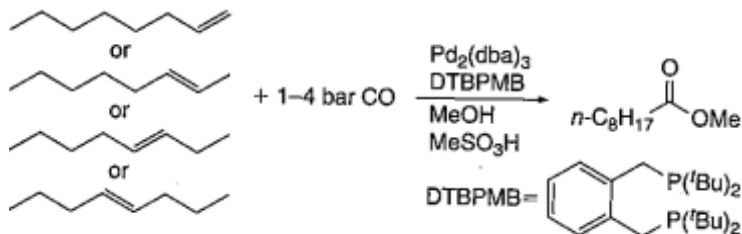
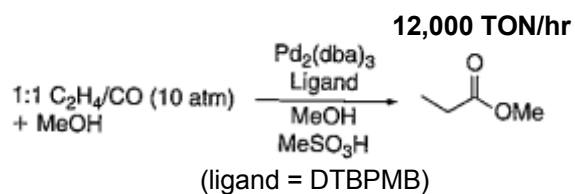
### challenges

- # prevent catalyst deactivation with excess amines
- # e-rich cat enough to reduce e-rich enamine not to prevent hydroformylation

## 17.5. Hydrocarboxylation / Hydroesterification

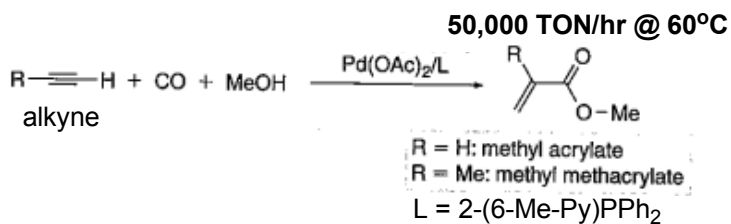
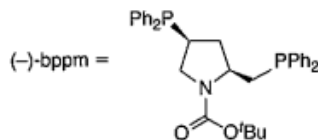
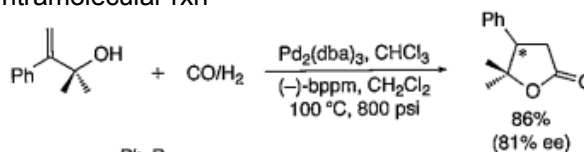


<Scope>



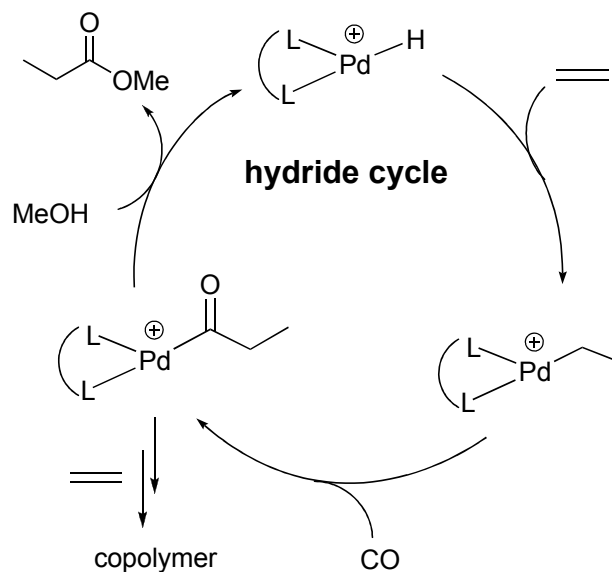
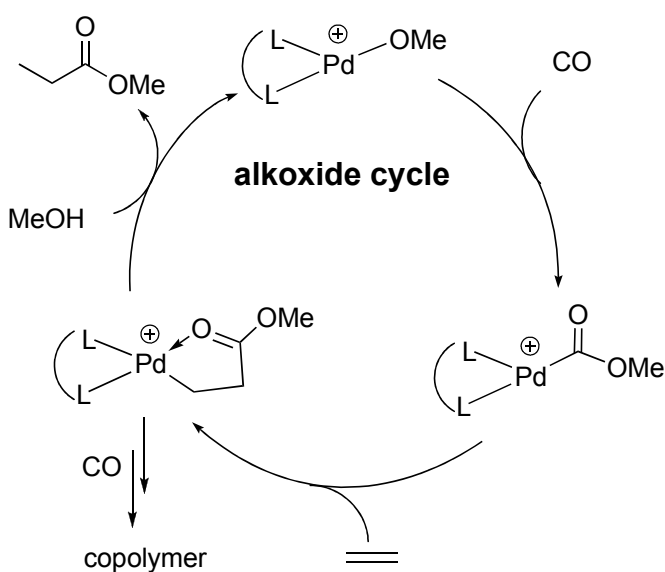
high FG tolerance

intramolecular rxn



<Mechanism>

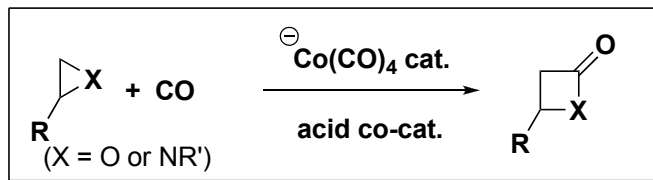
depends on the ligand nature.



(more probable in Pd/DTBPMB)

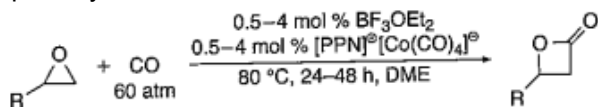


## 17.6. Carbonylation of Epoxides / Aziridines



<Scope>

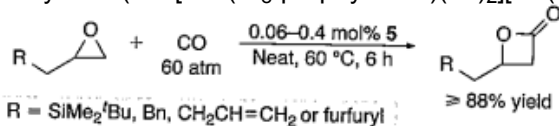
Alper's system



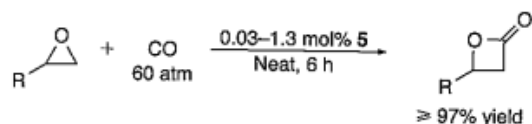
R = H, Me, <sup>n</sup>Bu, <sup>n</sup>Hex, CH<sub>2</sub>Cl, CH<sub>2</sub>O<sup>n</sup>Pr, (CH<sub>2</sub>)<sub>2</sub>CH=CH<sub>2</sub> or (CH<sub>2</sub>)<sub>4</sub>CH=CH<sub>2</sub>

(PPN = bis(triphenylphosphine)iminium)

Coates' system (**5** = [Cr<sup>III</sup>(Et<sub>6</sub>-porphyrinato)(thf)<sub>2</sub>][Co(CO)<sub>4</sub>])

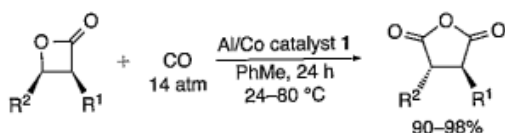


R = SiMe<sub>2</sub><sup>t</sup>Bu, Bn, CH<sub>2</sub>CH=CH<sub>2</sub> or furfuryl



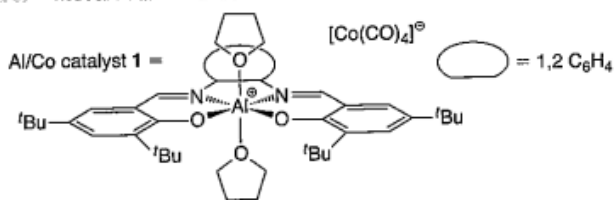
At 60 °C: R = (CH<sub>2</sub>)<sub>x</sub>OC(O)<sup>n</sup>Pr (x = 2 or 3), (CH<sub>2</sub>)<sub>2</sub>CO<sub>2</sub><sup>n</sup>Pr or (CH<sub>2</sub>)<sub>8</sub>C(O)NMe<sub>2</sub>  
At 40 °C: R = CH<sub>2</sub>OAc, CH<sub>2</sub>OC(O)<sup>n</sup>Pr or CH<sub>2</sub>OC(O)Ph

double carbonylation

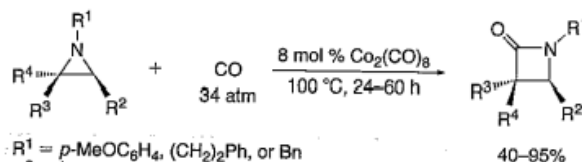


R<sup>1</sup> = H or Me

R<sup>2</sup> = H, Me, Et, decyl, CH<sub>2</sub>O<sup>n</sup>Bu, CH<sub>2</sub>OSiMe<sub>2</sub><sup>t</sup>Bu or (CH<sub>2</sub>)<sub>2</sub>CH=CH<sub>2</sub>



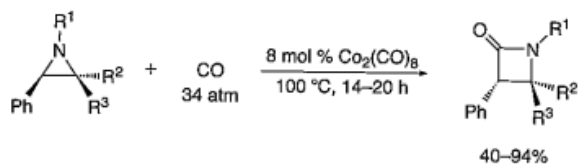
aziridines



R<sup>1</sup> = *p*-MeOC<sub>6</sub>H<sub>4</sub>, (CH<sub>2</sub>)<sub>2</sub>Ph, or Bn

R<sup>2</sup> = <sup>t</sup>Bu, Me, -(CH<sub>2</sub>)<sub>4</sub>-, CH<sub>2</sub>OSi<sup>t</sup>BuMe<sub>2</sub>, or CH<sub>2</sub>OH

R<sup>3</sup> = H, R<sup>4</sup> = H or Me



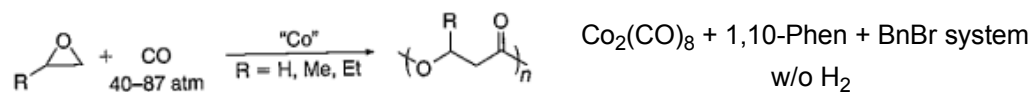
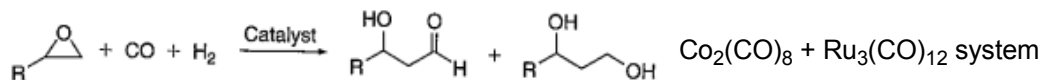
R<sup>1</sup> = Bn, CH<sub>2</sub>CO<sub>2</sub>Et, <sup>i</sup>Pr, or CH<sub>2</sub>CH=CH<sub>2</sub>

R<sup>2</sup> = CH<sub>2</sub>OSi<sup>t</sup>BuMe<sub>2</sub> or H

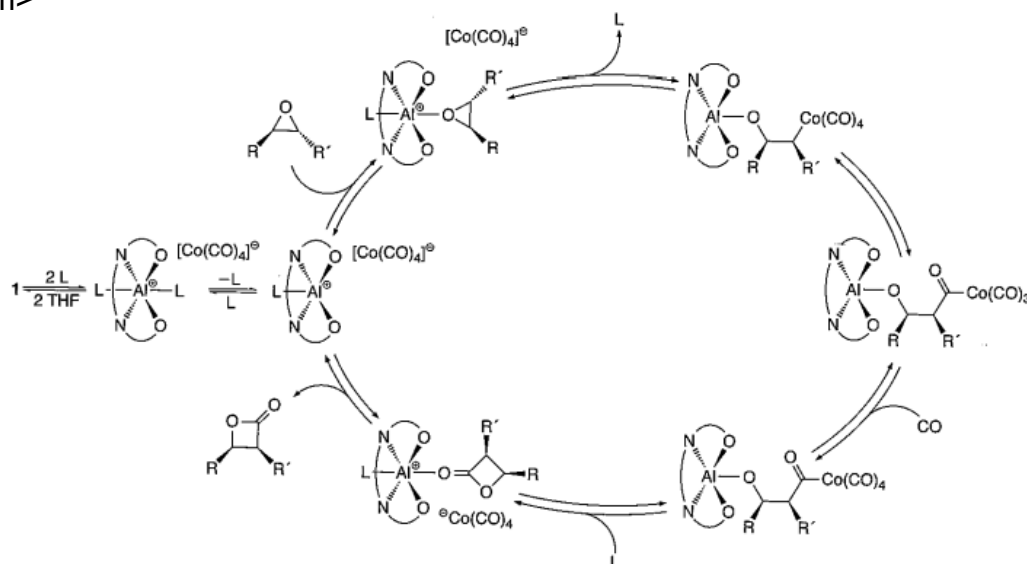
R<sup>3</sup> = H, CH<sub>2</sub>NH<sub>2</sub>, CH<sub>2</sub>OSi<sup>t</sup>BuMe<sub>2</sub>, Me, or CH(OH)CH<sub>2</sub>CH=CH<sub>2</sub>

addition of Lewis acid accelerate the rxn.

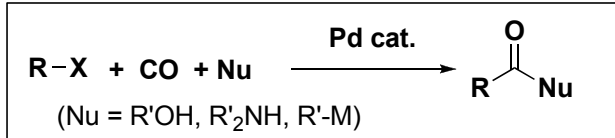
ring-opening carbonylation



<Mechanism>



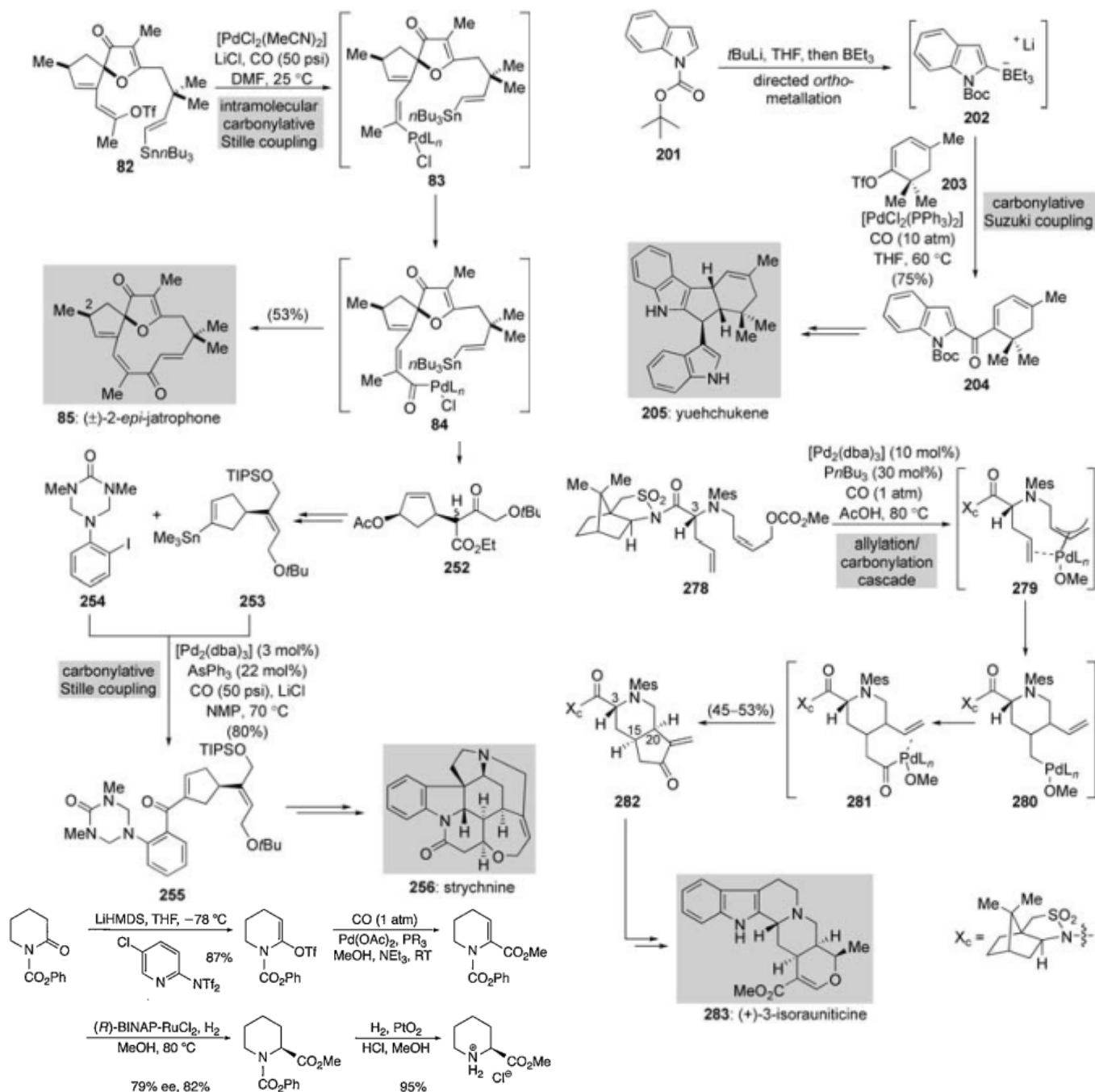
## 17.7. Carbonylation of Organic Halides (Carbonylative Cross Coupling)



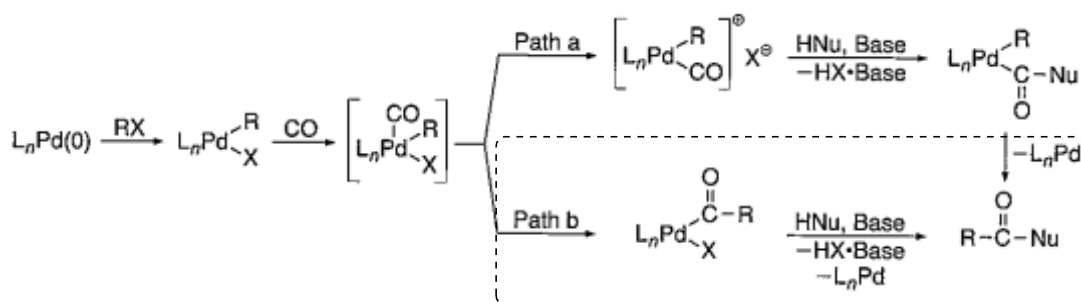
scope is similar to that of cross coupling.  
R = alkyl is remains to be developed.

<Scope>

review: Nicolaou, K. C. et al. *ACIE* 2005, 44, 4442.

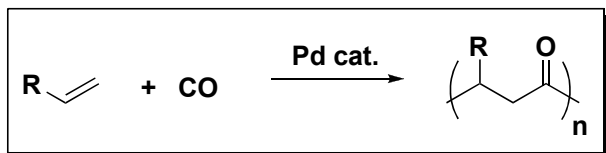


<Mechanism>



details depend on R-X and HNu.

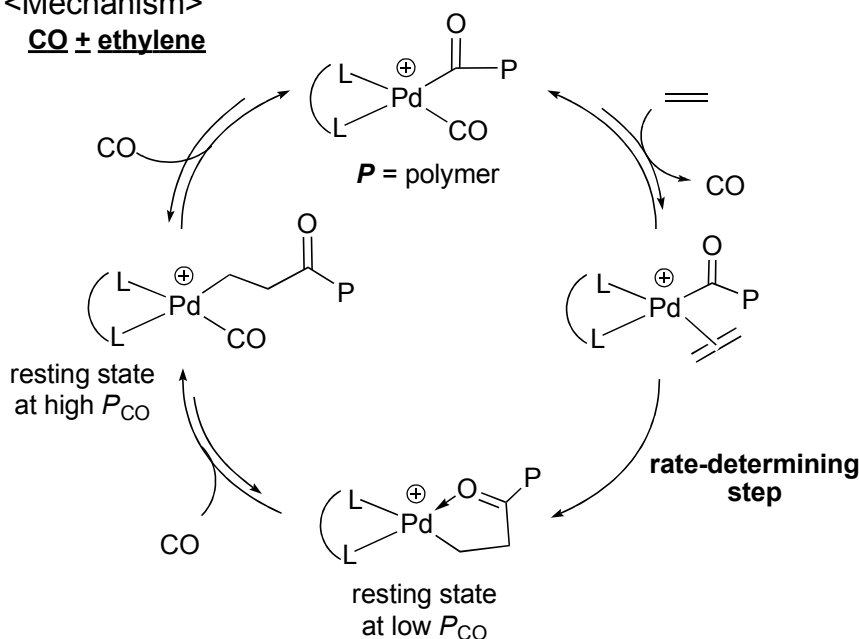
## 17.8. Copolymerization of CO and Olefins



similar conditions of hydroesterification  
in the absence of acid co-catalyst  
weakly nucleophilic alcohol or aprotic solvent is preferred.

<Mechanism>

CO + ethylene



bidentate ligand(dppp) is favored.

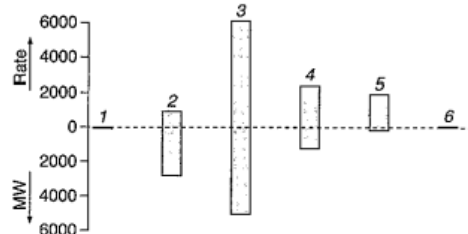
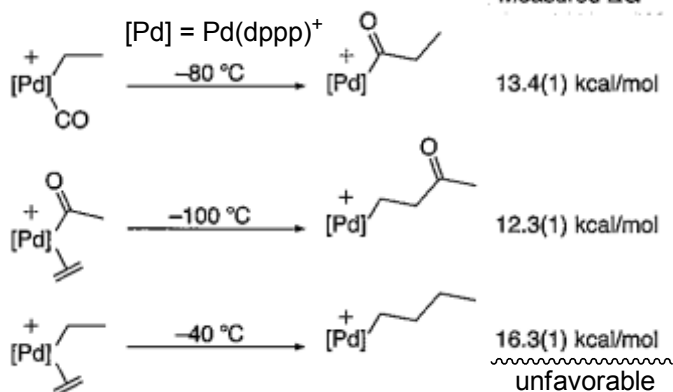
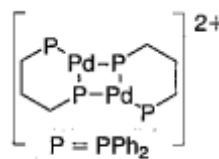


Figure 17.9. Influence of chain length of ligand  $\text{Ph}_2\text{P}(\text{CH}_2)_n\text{PPh}_2$  on the rate and molecular weight of polyketone from the copolymerization of CO and ethylene. Figure adapted from reference 304.

Origin of perfect alteration



Catalyst decomposition



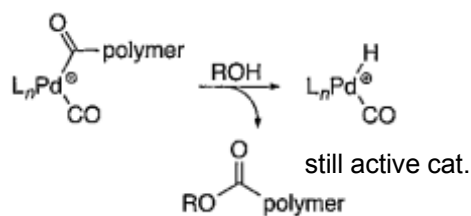
or generation of Pd(0) metal from Pd hydride

Figure 17.12. One catalyst decomposition product identified in the copolymerization of CO and olefins.

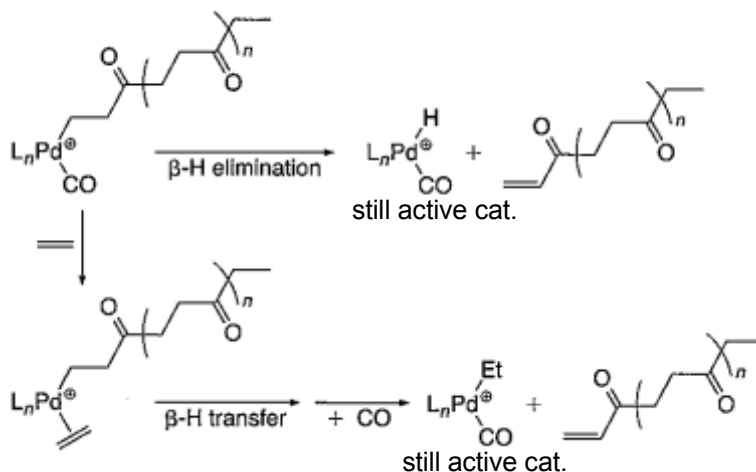
CO insertion into M-acyl bond is thermodynamically unfavorable.

Chain Termination

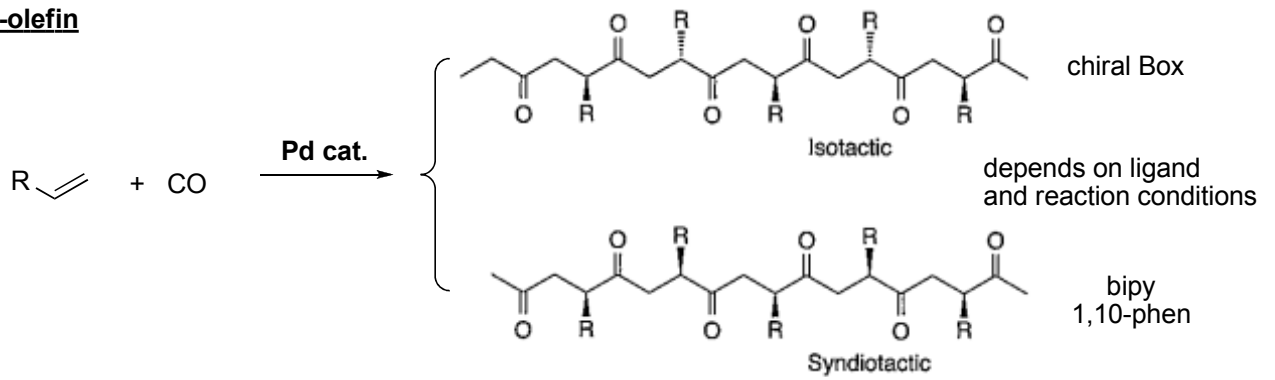
presence of ROH



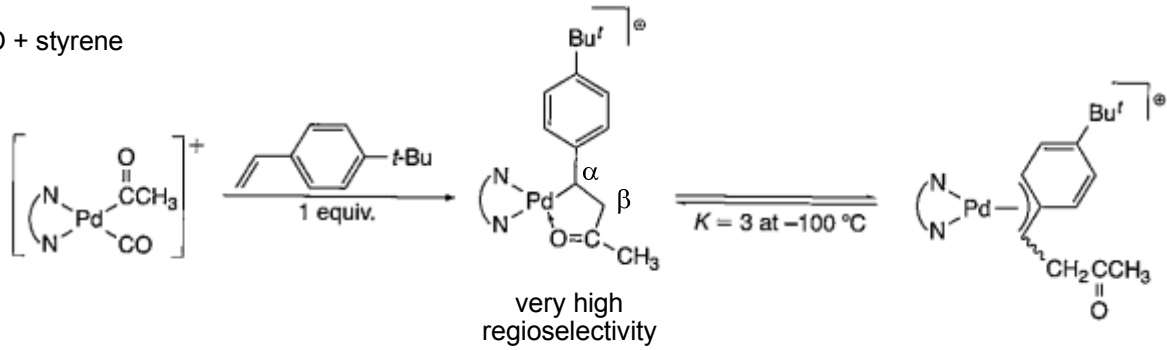
absence of ROH



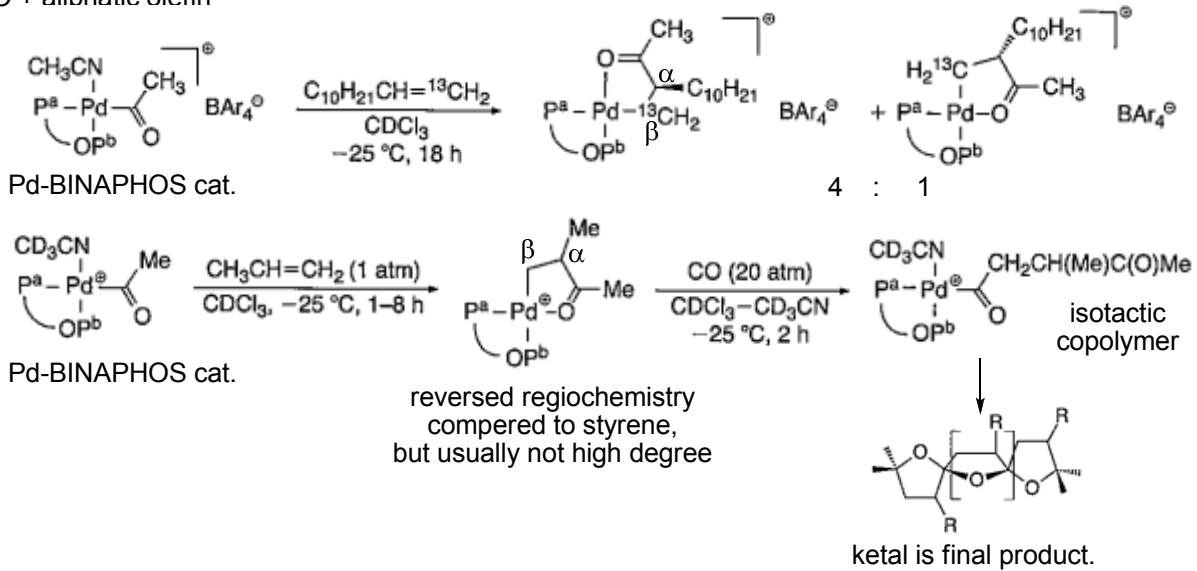
**CO +  $\alpha$ -olefin**



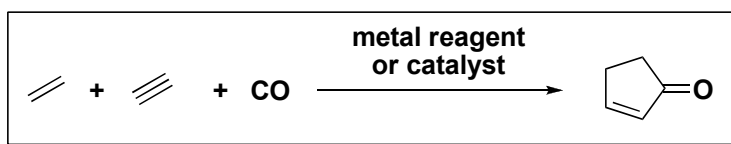
**CO + styrene**



**CO + aliphatic olefin**



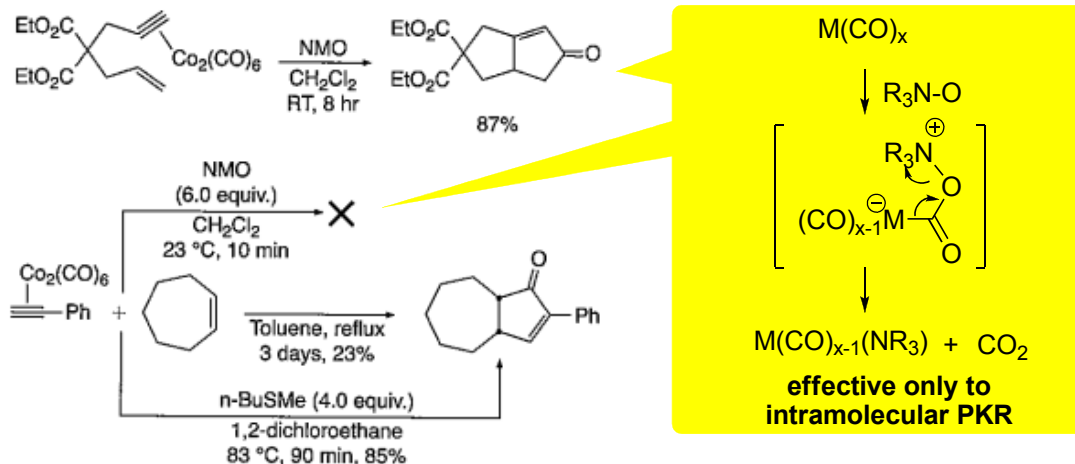
## 17.9. Pauson-Khand Reactions (PKR)



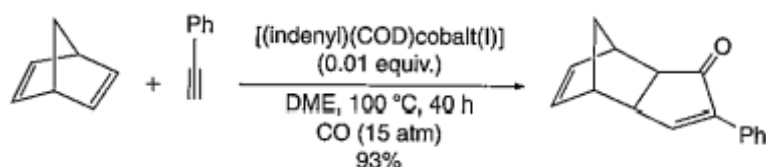
discovered in early 1970s  
typical conditions:  
stoichiometric  $\text{Co}_2(\text{CO})_8$

### additive effect

(also see Oisaki's handout, chapter 5)



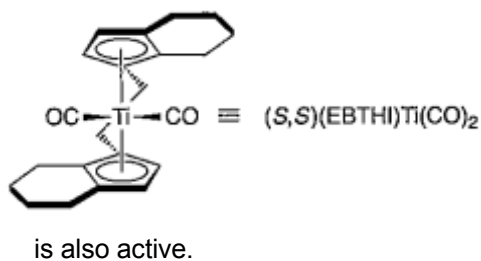
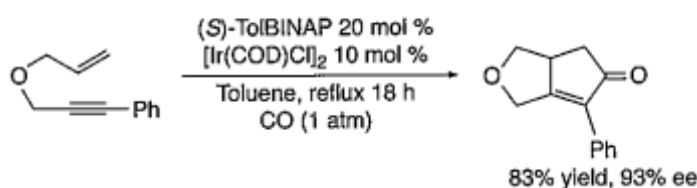
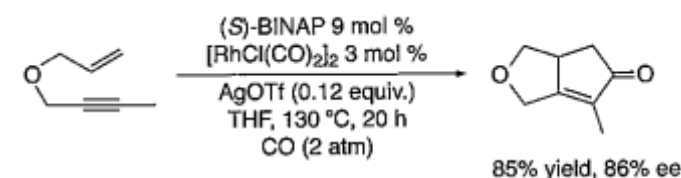
### catalytic conditions



$\text{Cp}_2\text{Ti}(\text{CO})_2$ ,  $\text{Ru}_x(\text{CO})_y$ ,  $[\text{Rh}(\text{CO})_2\text{Cl}]_2$ , Fe, Pd,  $\text{Ir}(\text{cod})\text{Cl}(\text{PPh}_3)$ ,  $\text{Mo}(\text{dmf})_3(\text{CO})_3$ ,  $\text{W}(\text{CO})_5(\text{thf})$  also showed catalytic activity.

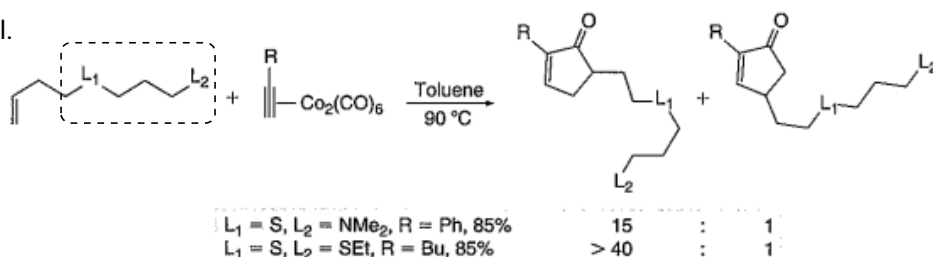
\*  $\text{Co}_2(\text{CO})_8$  is thermally unstable

### Catalytic asymmetric PKR

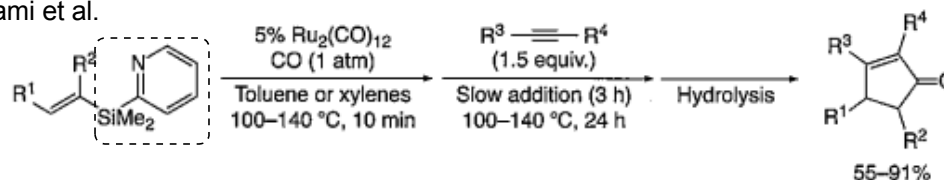


**Intermolecular PKR** : difficult to control the regioselectivity  $\longrightarrow$  chelating auxiliary is the choice.

Kraft et al.

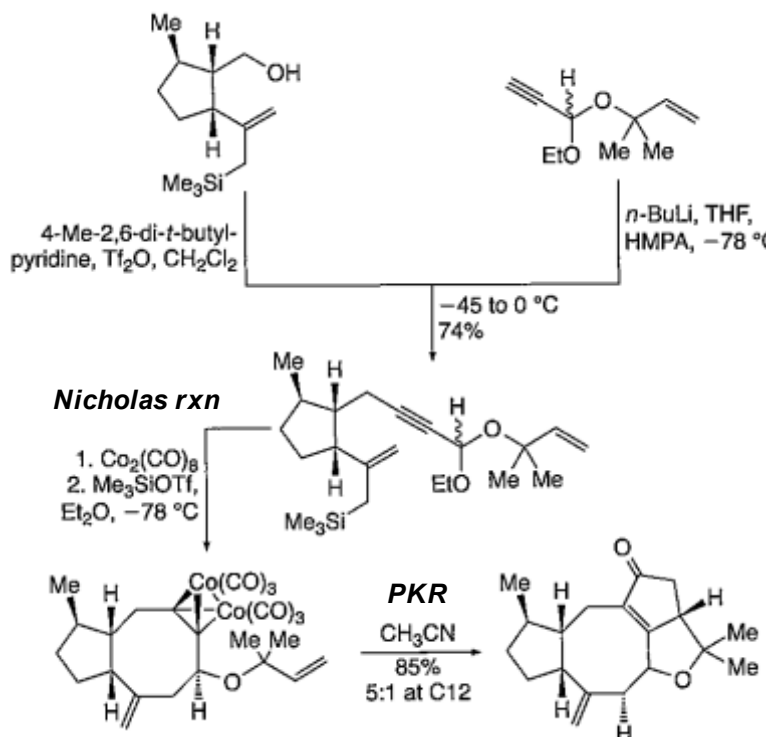


Yoshida & Itami et al.

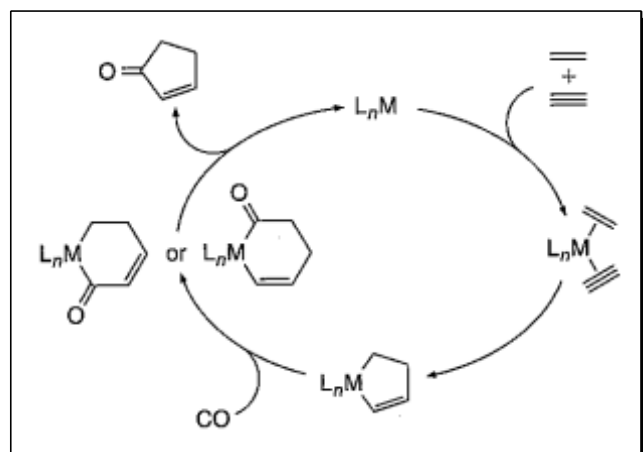


## Application in Total Synthesis

Jamison & Schreiber et al.: synthesis of (+)-epoxydictymene



<Mechanism> Magnus, P. et al. *TL* **1985**, 26, 4851.



totally irreversible in the presence of CO

